



Bob Casey

United States Senator for Pennsylvania



Grant Manual

Senator Robert P. Casey, Jr.

Pennsylvania

Dear Constituent:

One of my duties as your United States Senator is to directly respond to the needs of my constituents in Pennsylvania. Since joining the Senate, I have worked to secure funding for key initiatives across Pennsylvania that promote economic development and improve the quality of life of my constituents. We need to move forward immediately to create jobs and grow the economy, making sure all Pennsylvanians have access and information on grant opportunities is an important part of this process.

The federal government provides significant funding opportunities for many different types of projects but often, information on these opportunities is not readily available. Many constituents have asked me how their communities, small businesses, and organizations can obtain federal assistance. This grant manual is designed to make participating in the application process for federal grants easier to navigate.

I hope you find this guide useful and informative. If you decide to move forward with applying for a grant opportunity or have any questions, please do not hesitate to contact one of my offices listed below for assistance.

Sincerely,

A handwritten signature in blue ink that reads "Bob Casey, Jr." The signature is written in a cursive, flowing style.

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AGRICULTURE

Section I: Loans & Grants – U.S. Department of Agriculture (USDA)

Beginning Farmers and Ranchers

USDA, through the Farm Service Agency, provides direct and guaranteed loans to beginning farmers and ranchers who are unable to obtain financing from commercial credit sources.

Eligibility:

A beginning farmer is defined as one who: has not operated a farm for more than 10 years, does not own a farm greater than 30 percent of the median size farm in the county, meets the loan eligibility requirements of the program in which they are applying, substantially participates in the operation.

Contact Information:

<http://www.fsa.usda.gov/FSA/webapp?area=home&subject=fmlp&topic=bfl>

Business Development

USDA provides technical assistance to businesses and cooperatives located in rural communities, and establishes partnerships that leverage public and private resources to create jobs and increase economic development.

Contact Information:

<http://ric.nal.usda.gov/10351>

Community Facilities

Community Programs provide loans, grants and loan guarantees for projects to develop essential community facilities for public use in rural areas. This may include hospitals, fire protection, public safety, libraries, schools, day care centers as well as many other community-based initiatives.

Additional Information:

USDA also works to develop the capacity and ability of private, nonprofit community-based housing and community development organizations, and low income rural communities to improve housing, community facilities, community and economic development projects in rural areas.

Contact Information:

<http://www.rd.usda.gov/programs-services/community-facilities-direct-loan-grant-program>

Crop and Livestock Insurance

USDA helps producers manage their business risks. The mission of USDA's Risk Management Agency (RMA) is to promote, support, and regulate sound risk management solutions to preserve and strengthen the economic stability of America's agricultural producers. As part of this mission, RMA operates and manages the Federal Crop Insurance Corporation (FCIC).

Additional Information:

RMA has three divisions: Insurance Services, Product Management, and Risk Compliance. Seventeen private-sector insurance companies sell and service the policies. RMA develops and/or approves the premium rate, administers premium and expense subsidies, approves and supports products, and reinsures companies. RMA also sponsors educational, outreach programs, and seminars on the general risk topics.

Contact Information:

http://www.usda.gov/wps/portal/usda/usdahome?navid=CROP_LIVESTOCK_INSUR

Farm Loans

The Farm Service Agency (FSA) makes direct and guaranteed farm ownership and operating loans to family-size farmers and ranchers who cannot obtain commercial credit from a bank, Farm Credit System institution, or other lender.

Additional Information:

FSA loans can be used to purchase land, livestock, equipment, feed, seed, and supplies. Loans can also be used to construct buildings or make farm improvements.

Contact Information:

<http://www.fsa.usda.gov/programs-and-services/farm-loan-programs/index>

Federal State Marketing Improvement Program

This matching grant program, also known as FSMIP, provides matching funds to State Departments of Agriculture and other appropriate State agencies to assist in exploring new market opportunities for food and agricultural products, and to encourage research and innovation aimed at improving the efficiency and performance of the marketing system.

Contact Information:

<http://www.ams.usda.gov/AMSV1.0/FSMIP>

Housing Assistance (Loan)

The USDA provides homeownership opportunities to low- and moderate-income rural Americans through several loan, grant, and loan guarantee programs. The programs also make funding available to individuals to finance vital improvements necessary to make their homes decent, safe, and sanitary.

Eligibility:

USDA Multi-Family Housing Programs offer Rural Rental Housing Loans to provide affordable multi-family rental housing for very low-, low-, and moderate-income families; the elderly; and persons with disabilities. In addition, rental assistance is available to eligible families.

Contact Information:

http://www.usda.gov/wps/portal/usda/usdahome?navid=HOUSING_ASSISTA

Organic Cost Share Program

The organic certification cost share program reimburses eligible producers and handlers for a portion of the costs of organic certification.

Additional Information:

The cost of organic certification may be prohibitive for some. By offering reimbursement, the USDA recognizes the cost and makes certification more affordable.

Eligibility:

Any producer or handlers who have received certification or a renewal of certification are eligible to participate.

Contact Information:

<http://www.ams.usda.gov/AMSV1.0/NOPApplyingforOrganicCertification>

Rural Development Community Facilities Loan and Grant Program

The Community Facilities program is designed to help local governments in rural areas of the country to provide essential facilities and services. Funding available through this program includes guaranteed loans, direct loans and grant funds. Grant funds may be used in combination with Community Facilities loan funds, local revenues or loans and grants from other sources. USDA seeks to insure that public-use community facilities are readily available to all rural communities. These facilities include schools, libraries, childcare, hospitals, medical clinics, assisted living facilities, fire and rescue stations, police stations, community centers, public buildings and transportation.

Eligibility:

Any city with a population of 20,000 or less qualifies as —rural for the purposes of determining eligibility for Community Facilities loan or grant program assistance.

Contact Information:

Website: http://www.energystar.gov/about/federal_tax_credits#7

Phone: (888) 782-7937

Rural Development Loan Assistance

The Rural Development forges partnerships with rural communities, funding projects that bring housing, community facilities, business guarantees, utilities and other services to rural America.

Additional Information:

USDA provides technical assistance and financial backing for rural businesses and cooperatives to create quality jobs in rural areas. Rural Development promotes the President's National Energy Policy and ultimately the nation's energy security by engaging the entrepreneurial spirit of rural America in the development of renewable energy and energy efficiency improvements.

Eligibility:

Rural Development works with low-income individuals, State, local and Indian tribal governments, as well as private and nonprofit organizations and user-owned cooperatives.

Contact Information:

<http://www.rd.usda.gov/programs-services>

Specialty Crop Block Grant Program

This program is to enhance the competitiveness of specialty crops. Specialty crops are defined as “fruits, vegetables, tree nuts, dried fruits, horticulture, and nursery crops.”

Contact Information:

<http://www.ams.usda.gov/AMSV1.0/SCBGP>

The Farmers Market Promotion Program

This program offers grants to help improve and expand farmers’ markets, roadside stands, community-supported agriculture programs.

Eligibility:

This program is open to Agricultural cooperative, producer networks, producer associations, local governments, nonprofit corporations among other.

Contact Information:

<http://www.ams.usda.gov/AMSV1.0/FMPP>

Utility Services

The USDA supports deployment of reliable and affordable water, waste treatment, electric power and telecommunications services, including broadband to help rural areas improve economic development and quality of life.

Additional Information:

These funding opportunities include payments, grants, loans, and loan guarantees. For more information see the link provided below.

Contact Information:

<http://www.rd.usda.gov/about-rd/agencies/rural-utilities-service>

Section II: Disaster & Emergency Funding – U.S. Department of Agriculture (USDA)

Assistance with Flood-Damaged Crops

The U.S. Department of Agriculture (USDA) and the U.S. Food and Drug Administration (FDA) announced that assistance will be available to farmers whose crops were damaged by severe flooding from Tropical Storms Irene and Lee. USDA and FDA are working closely together to ensure that farmers with flood-damaged crops that cannot be marketed are compensated for their losses.

Additional Information:

FDA considers ready-to-eat crops whose edible portion has been in contact with flood waters to be adulterated due to potential exposure to sewage, animal waste, heavy metals, pathogenic microorganisms, or other contaminants. Therefore, these crops should not enter the food or animal feed supply. Crops insured by federal crop insurance or by the Noninsured Disaster Assistance Program (NAP) are covered when floodwaters have rendered them valueless. Additionally, disposition of crops in proximity to, or exposed to a lesser degree of flooding, where the edible portion of the crop has not come in contact with flood waters, may need to be evaluated on a case-by-case basis. FDA experts are available for these through local FDA district offices.

Contact Information:

<http://www.fsa.usda.gov/programs-and-services/disaster-assistance-program/index> or
<http://www.rma.usda.gov/>

Community Assistance

Rural Communities in the Presidentially-declared disaster areas may be eligible for funding through the Rural Community Facilities Program. Facilities eligible for funding include schools, libraries, childcare centers, hospitals, medical clinics, assisted living facilities, fire and rescue stations, police stations, community centers, public buildings and transportation.

Contact Information:

Michael Ward
Community Facilities Program Director
Pennsylvania State Office
(717) 237-2291
mick.ward@pa.usda.gov

Emergency Assistance for Livestock, Honeybees and Farm-Raised Fish

The purpose of this program is to provide emergency relief to producers of livestock, honeybees and farm-raised fish who suffered losses from disasters.

Contact Information:

<http://www.fsa.usda.gov/programs-and-services/disaster-assistance-program/index>

Emergency Conservation Program (ECP)

ECP provides funding for farmers and ranchers to rehabilitate farmland damaged by wind erosion, floods, hurricanes, or other natural disasters, and for carrying out emergency water conservation measures during periods of severe drought.

Additional Information:

For land to be eligible, the natural disaster must create new conservation problems that, if untreated, would:

- Impair or endanger the land
- Materially affect the land's productive capacity
- Represent unusual damage which, except for wind erosion, is not the type likely to recur frequently in the same area
- Be so costly to repair that federal assistance is or will be required to return the land to productive agricultural use.

Eligibility:

County FSA committees determine land eligibility based on on-site inspections of damage.

Contact Information:

<http://www.fsa.usda.gov/programs-and-services/disaster-assistance-program/index>

Emergency Farm Loans (EM)

Producers can borrow up to 100 percent of actual production or physical losses, to a maximum amount of \$500,000.

Contact Information:

<http://www.fsa.usda.gov/programs-and-services/farm-loan-programs/index>

Emergency Forest Restoration Program (EFRP)

Provides payments to eligible owners of nonindustrial private forest (NIPF) land in order to carry out emergency measures to restore land damaged by a natural disaster.

Contact Information:

<http://www.fsa.usda.gov/programs-and-services/conservation-programs/index>

Emergency Watershed Program

EWP helps protect lives and property threatened by natural disasters. The program provides technical and financial assistance to preserve life and property threatened by excessive erosion and flooding.

Eligibility:

Public, Private and Tribal Lands are all eligible.

Contact Information:

<http://www.nrcs.usda.gov/wps/portal/nrcs/main/national/programs/landscape/ewpp/>

Housing Assistance (Disaster)

USDA'S Rural Development is encouraging residents affected by the disaster to immediately apply for funding assistance under the Rural Single Family Housing Loan and Grant Programs. Funds are available for housing repair, rehabilitation and home purchases. For home financing, USDA will assist with expediting lender approval and approval for access to the Guaranteed Underwriting Services; and offer streamlined loan processing.

Contact Information:

Phone: 1-800-414-1226

Website:

<http://offices.sc.egov.usda.gov/locator/app?service=page/CountyMap&state=PA&stateName=Pennsylvania&stateCode=42>

Livestock Forage Disaster Program (LFP)

Provides financial assistance to producers who suffered grazing losses due to drought or fire.

Contact Information:

<http://www.fsa.usda.gov/programs-and-services/disaster-assistance-program/index>

Livestock Indemnity Program

The purpose of this program is to provide assistance to livestock producers for livestock deaths from disaster events, in excess of normal mortality.

Eligibility:

To be eligible, a contract grower must be in possession and control of the eligible livestock the day of the livestock death.

The contract grower's livestock also must have met the following conditions:

- Have been poultry or swine
- Have died as a direct result of the disaster
- Not have been produced for reasons other than for commercial use as part of a farming operation

Contact Information:

http://www.fsa.usda.gov/FSA/newsReleases?area=newsroom&subject=landing&topic=pfs&newstype=pr&factsheet&type=detail&item=pf_20140415_distr_en_lip.html

Supplemental Revenue Assistance Payment Program (SURE)

The purpose of this program is to provide payment assistance to producers suffering crop revenue losses from quantity or quality deficiencies in only counties and contiguous counties in declared disaster areas by the Agriculture Secretary or in cases where a farm's overall production loss exceeds 50 percent.

Additional Information:

To receive SURE payments, an eligible producer must have a qualifying loss, which is at least a 10 percent production loss affecting one crop of economic significance due to a disaster on a farm in a disaster county. Producers outside a declared disaster county, but with production losses greater than or equal to 50 percent of the normal production on the farm (expected revenue for all crops on the farm), also qualify for SURE.

Eligibility:

To be eligible for SURE, a producer must have obtained a policy or plan of insurance for all crops through the Federal Crop Insurance Corporation. Eligible farmers and ranchers who meet the definition of "Socially disadvantaged" "Limited Resource", or "Beginning Farmer or Rancher", do not have to meet this requirement.

Contact Information:

<http://www.fsa.usda.gov/programs-and-services/disaster-assistance-program/index>

Tree Assistance Program (TAP)

Provides financial assistance to qualifying orchardists to replace eligible trees, bushes, and vines damaged by natural disasters.

Contact Information:

<http://www.fsa.usda.gov/programs-and-services/disaster-assistance-program/tree-assistance-program/index>

Section III: Rural & Environmental Funding – Environmental Protection Agency (EPA)

People, Prosperity and the Planet Awards

P3 represents People, Prosperity and the Planet. This competition is focused on benefiting people, promoting prosperity, and protecting the planet through innovative designs to address challenges to sustainability in both the developed and developing world.

Additional Information:

The competition has two phases. Initially, interdisciplinary student teams compete for \$10,000 grants. Recipients use the money to research and develop their design projects during the academic year. Then, in the following spring, all P3 grant recipients attend the National Sustainable Design Expo featuring the EPA's P3 Award competition in Washington, D.C. Up to \$75,000 is given to the best student designs, providing an opportunity to further these designs, implement them in the field, and move them to the marketplace. EPA considers challenges from a wide range of categories, including agriculture, built environment, materials and chemicals, energy, information resources, and water. A peer review panel evaluates all P3 applications based on problem definition; innovation and technical merit; connections to sustainability in terms of P3; measurable results, evaluation method, implementation strategy; and integration of the P3 Award competition as an educational tool. Final funding decisions are made by EPA.

Eligibility:

Institutions of higher education located in the U.S. are eligible to apply as the recipients of grants to support teams of undergraduate and/or graduate students. Collaboration and partnerships with colleges and universities outside the United States are permitted (and up to 40% of the grant can be contracted to an international partner), but only U.S. institutions are eligible to apply.

Contact Information:

Cynthia Nolt-Helms

E-mail: nolt-helms.cynthia@epa.gov

Phone: (202) 343-9693.

ARTS, CULTURE, NATURAL & HISTORICAL PRESERVATION

Section I: Historical/Natural Preservation Grants – National Park Services, U. S. Department of the Interior (DOI)

American Battlefield Protection Program (ABPP)

The National Park Service's American Battlefield Protection Program (ABPP) invites non-profit groups, academic institutions, and local, regional, state, and tribal governments to submit grant applications for the protection of battlefield sites, and sites associated with battlefields, that are located on American soil and/or within U.S. territorial waters.

Additional Information:

The purpose of this grant program is to provide seed money for projects that lead directly to the identification, preservation and interpretation of battlefield land and/or historic sites associated with battlefields. Project funding has ranged from \$5,000 to \$80,000.

Eligibility:

All grant applications must clearly demonstrate that the proposed activity will contribute directly to the preservation of battlefield land or an associated site. Any project that does not contribute directly to the preservation of battlefield land or an associated site will not be considered for an ABPP battlefield grant.

Contact Information:

Kristen McMasters
Phone: (202) 354-2037

Paul Hawke
Phone: (202) 354-2023
Website: <http://www.nps.gov/abpp/index.htm>

Certified Local Government Grant Programs (CLG)

The Certified Local Government Grant Program is a preservation partnership between local, state and national governments focused on promoting historic preservation at the grass roots level. The program is jointly administered by the National Park Service (NPS) and the State Historic Preservation Offices (SHPOs) in each state.

Additional Information:

By certifying your local community as a CLG, you will be able to access the portion of Federal funds set aside by each SHPO for just CLGs annually. Being a CLG also shows your community's commitment to keeping what is significant from the past for future generations. As a certified town, city, or county seeking other opportunities, it becomes easy to demonstrate a readiness to take on a preservation project and be successful.

Eligibility:

Most communities are eligible. Contact Information the NY State representatives below for more information.

Contact Information:

<http://www.nps.gov/clg/>

Historically Black Colleges and Universities (HBCUs) Grant Program

In 2009, President Barack Obama signed the American Recovery and Reinvestment Act to jump-start our economy, create or save millions of jobs, and put a down payment on addressing long-neglected challenges so our country can thrive in the 21st century. Included in this Act was \$15 million to be competitively awarded to HBCUs for the preservation of campus buildings listed in the National Register of Historic Places.

Additional Information:

Provides matching grants to states, territories, and tribes to preserve historically and culturally significant sites; funding decisions are made by the state historic preservation offices. Sites selected according to 3 basic criteria: historical significance, architectural integrity and threat of structural failure.

Eligibility:

All HBCU presidents are provided applications and invited to apply for a grant award. However, only buildings or structures already listed in, or considered eligible by the State Historic Preservation Officer for listing (as evidenced by a letter), in the National Register of Historic Places as of the published deadline for submitting grant applications are eligible for a grant award.

Contact Information:

Linda Hall

Phone: 404-507-5779

E-mail: linda_hall@nps.gov

Website: <http://www.nps.gov/preservation-grants/HBCU/index.html>

Native American Graves Protection and Repatriation Act Program Grants (NAGPRA)

The National NAGPRA Program awards grants to museums, Indian tribes, and Native Hawaiian organizations for the purposes of assisting in consultation, documentation, and repatriation of Native American human remains and cultural items including funerary objects, sacred objects, and objects of cultural patrimony. Museums and Federal agencies must consult with known Indian tribes and Native Hawaiian organizations that are, or are likely to be, culturally affiliated or have demonstrated a cultural relationship with the human remains, funerary objects, sacred objects, or objects of cultural patrimony.

Additional Information:

Two types of NAGPRA grants are available: Consultation/Documentation Awards (up to \$90,000) and Repatriation Awards (up to \$15,000).

Eligibility:

The following entities are eligible to apply: An Indian tribe or Native Hawaiian organization, or a museum that has control of Native American human remains, funerary objects, sacred objects, or objects of cultural patrimony and has received Federal funds.

Contact Information:

Sangita Chari

Phone: (202) 354-2203, Fax: (202) 371-5197

E-mail: nagpra_grants@nps.gov

Website: <http://www.nps.gov/nagpra/>

National Center for Preservation Technology and Training (NCPTT)

NCPTT seeks innovative projects that advance the application of science and technology to historic preservation. The NCPTT Grants program funds projects that develop new technologies or adapt existing technologies to preserve cultural resources

Additional Information:

These projects may include, but are not limited to:

- Laboratory or field research that explores or assesses novel or adaptive methods;
- Training activities, including workshops, and course or curriculum development that promote the use of new or adaptive technologies;
- Documentation using new methods;
- Manuscript or website development that disseminates innovative preservation technologies; and
- Meetings that convene experts to discuss the use of technologies to address preservation problems.

NCPTT does not fund “bricks and mortar” projects or straight-forward documentation projects using well-established methods. Grants are awarded competitively with a maximum award of \$25,000 (including indirect costs). All grants require a one-to-one match of cash or in-kind services. Source of the match may be federal or non-federal resources.

Eligibility:

The following organizations are eligible to submit proposals:

- U.S. universities and colleges,
- U.S. non-profit organizations: Museums, research laboratories, professional societies and similar organizations in the U.S. that are directly associated with educational or research activity, and
- Government agencies in the U.S.: National Park Service and other federal, state, territorial and local government agencies, as well as Hawaiian Natives, Native American and Alaska Native tribes and their Tribal Historic Preservation Offices.
- Other organizations can participate only as contractors to eligible U.S. partners. Grants funds support only portions of projects that are undertaken or managed directly by U.S. partners. Grant funds can be used in support of projects outside of the US, provided the principal organization conducting the work is an eligible U.S. institution and the project’s results address a national preservation need.

Contact Information:

Sean Clifford

Phone: (318) 356-7444

E-mail: sean_clifford@nps.gov

Website: <http://ncptt.nps.gov/grants/how-to-apply/>

National Heritage Areas Program (NHA)

The National Heritage Areas are individually authorized by Congress and receive funding, technical assistance, and management support from the National Park Service. Heritage area designation provides a vehicle for promoting local economic and cultural vitality by capitalizing on an area's heritage assets, particularly through heritage tourism.

Additional Information:

National Heritage Area (NHA) designation begins with a grassroots, community-centered process called a "feasibility study," rather than with an application or a questionnaire. This is an exciting process that examines a region's history and resources in depth and also provides a strong foundation for eventual success as a National Heritage Area. Ultimately, it is the United States Congress that designates regions of the country as National Heritage Areas. The National Park Service, as the federal body charged with managing the National Heritage Areas program, frequently testifies as to whether or not a region has the resources and local financial and organizational capacity to carry out the responsibilities that come with designation. A feasibility study is a report that documents the processes undertaken by the residents of a region to determine whether their landscape has the distinctive resources and local capacity necessary for designation as a National Heritage Area. It examines whether authorization as a NHA is an appropriate strategy for achieving a region's resource conservation and economic development goals. The feasibility study process explores a number of important factors that inform whether national designation is the best way to achieve a region's conservation, preservation and economic development goals; it also provides Congress with information regarding the appropriateness of designating the landscape as an NHA. Funds for the feasibility process can come from a variety of sources. In some cases, Congress will pass a bill directing NPS to complete a study. Under these circumstances, resources are made available as the budget process allows. If a study is undertaken by an entity other than the NPS, funding is often obtained through state or local government, universities or private foundations.

Contact Information:

Peter Samuel
200 Chestnut Street Philadelphia, PA 19106
Phone: 215.597.1848
Website: <http://www.nps.gov/heritageareas/>

National Trails System

The National Trails System is comprised of eight national scenic trails, 18 national historic trails, and more than 1,050 national recreation trails. Although designated by Congress and administered by federal agencies, ownership may be public or private.

Additional Information:

- Funding for trails is now available from many sources. Some of the operating funds for each of the National Scenic Trails and National Historic Trails can be made available through cooperative agreements to trail partner organizations. Many national trails have access to challenge cost-share project funds for trail projects.
- National trails are officially established under the authorities of the National Trails System Act (16 USC 1241-51). There are several types:
 - National scenic trails are 100 miles or longer, continuous, primarily non-motorized routes of outstanding recreation opportunity. Such trails are established by Act of Congress.
 - National historic trails commemorate historic (and prehistoric) routes of travel that are of significance to the entire Nation. They must meet all three criteria listed in Section 5(b)(11) of the National Trails System Act. Such trails are established by Act of Congress.
 - National recreation trails, also authorized in the National Trails System Act, are existing regional and local trails recognized by either the Secretary of Agriculture or the Secretary of the Interior upon application.

Eligibility:

- An amendment to the National Trails System Act requesting a feasibility study. If the feasibility study recommends establishment, an act of Congress adding the trail to the National Trails System.
- Once the trail is established, a comprehensive management and use plan, outlining the roles of partners (including the Federal Government) the full length of the trail. This is usually conducted by the trail's administering agency.
- Altogether this process has taken anywhere from six to 15 years. Because of the dialogue back and forth between Congress and the administrative agency over many years, a trail is most likely to succeed in this process if there is a strong, well-organized, citizen-based organization at work on behalf of the trail.

Contact Information:

1849 C St., NW (Org. Code 2220) Washington, DC 20240

Phone: (202) 354-6900

Website: <http://www.nps.gov/ncrc/programs/nts/index.htm>

Preserve America Grant Program

Preserve America grants offer planning funding from the Federal Government to support communities that have demonstrated a commitment to preserving, recognizing, designating, and protecting local cultural resources. Grants are available to assist local economies find self-sustaining ways to promote and preserve their cultural resources through heritage tourism.

Additional Information:

Grants will be awarded through a competitive process and each grant will require a dollar-for-dollar, non-Federal match, which can be in the form of cash or in-kind donated services for grant-assisted work. The grant and the non-Federal match must be expended during the grant period (1 to 2 years). The minimum grant request is \$20,000 Federal share (resulting in a total project cost of \$40,000). The maximum grant request is \$250,000 (resulting in a total project cost of \$500,000).

Eligibility:

Preserve America grants support planning, development, and implementation of innovative activities and programs in heritage tourism such as surveying and documenting historic resources, interpreting historic sites, planning, marketing, and training. Successful applicants will emphasize creative projects that promote and preserve the community's cultural resources. Successful projects will involve public-private partnerships and serve as models to communities nationwide for heritage tourism, education, and economic development.

Contact Information:

Phone: (202) 354-2020

E-mail: nps_preserveamerica@nps.gov

Website: <http://www.nps.gov/preservation-grants/PreserveAmerica/>

Rivers, Trails, and Conservation Assistance Program (RTCA).

This program provides assistance to local and state agencies and private organizations working on river and trail corridor projects. No grant funding is currently available, but the program assists partners in planning and finding appropriate project funding. Specific assistance is given for river, trail, and greenway planning, regional assessments of potential trail corridors, conservation workshops, and expert consultations on related issues. The program publishes success stories and "best practices."

Additional Information:

The mission of the Rivers, Trails and Conservation Assistance program (RTCA) is to assist community-led natural resource conservation and outdoor recreation initiatives. RTCA staff provides guidance to communities so they can conserve waterways, preserve open space, and develop trails and greenways.

Eligibility:

The project applicant may be a state or local agency, tribe, non-profit organization, or citizens' group. RTCA does not provide financial assistance to support project implementation.

Contact Information:

<http://www.nps.gov/orgs/rtca/index.htm>

Save America's Treasure's Grant Program

Save America's Treasures grants provide funding for preservation and/or conservation work on nationally significant intellectual and cultural artifacts and nationally significant historic structures and sites. Intellectual and cultural artifacts include artifacts, collections, documents, sculpture and works of art. Historic structures and sites include historic districts, sites, buildings, structures and objects.

Additional Information:

Grants are awarded through a competitive process to eligible applicants. A dollar-for-dollar, non-Federal match is required. The minimum grant request for collections projects is \$25,000 Federal share; the minimum grant request for historic property projects is \$125,000 Federal share. The maximum grant request for all projects is \$700,000 Federal share. In 2006, the average Federal grant award to collections was \$132,000, and the average award to historic properties was \$223,000.

Eligibility:

This grant is open to everyone, including government agencies.

Contact Information:

Phone: 202.354.2020 X1

E-mail: NPSGrantHelp@nps.gov

Website: <http://www.nps.gov/preservation-grants/sat/>

Tribal Heritage Grants

The National Historic Preservation Act of 1966 authorizes grants to federally recognized Indian tribes for cultural and historic preservation projects. These grants assist Indian Tribes, Alaskan Natives, and Native Hawaiian Organizations in protecting and promoting their unique cultural heritage and traditions. Since 1990, more than \$17 million has been awarded to over 460 Indian and Alaskan Native communities.

Additional Information:

Grants are awarded to assist federally recognized tribes in preserving and protecting their significant cultural and historic resources. The long-term goal is to assist tribes in building sustainable Preservation Programs.

Eligibility:

Grants are awarded to Federally recognized Indian tribes, Alaskan Native groups, and Native Hawaiian organizations defined as eligible applicants under the National Historic Preservation Act (16 U.S.C. 470w).

Contact Information:

Phone: (202) 628-8476

Fax: (202) 628-2241

E-mail: info@nathpo.org

Website: <http://www.nps.gov/thpo/tribal-heritage/>

Section II: Humanities/Preservation Grants – National Endowment for the Humanities (NEH)

America's Historical and Cultural Organizations: Planning and Implementation Grants

America's Historical and Cultural Organizations grants support projects in the humanities that explore stories, ideas, and beliefs that deepen our understanding of our lives and our world. The Division of Public Programs supports the development of humanities content and interactivity that excite, inform, and stir thoughtful reflection upon culture, identity, and history in creative and new ways. Grants for America's Historical and Cultural Organizations should encourage dialogue, discussion, and civic engagement, and they should foster learning among people of all ages. To that end, the Division of Public Programs urges applicants to consider more than one format for presenting humanities ideas to the public.

Additional Information:

America's Historical and Cultural Organizations is part of America's Historic Places Grant Program: Planning and Implementation grants are used for creating plans and refining of the projects main humanities ideas and questions. Implementation grants are for shovel ready projects for which a full walkthrough and presentation of ideas already exists.

Eligibility:

Any U.S. nonprofit organization with IRS 501(c)(3) tax-exempt status is eligible, as are state and local governmental agencies. Eligible institutions include but are not limited to public, school, academic, and research libraries; museums; disciplinary and professional associations; cultural institutions; state humanities councils; and institutions of higher learning. Individuals are not eligible to apply.

Contact Information:

Phone: 202-606-8269

E-mail: publicpgms@neh.gov

Website: <http://www.neh.gov/grants/public/museums-libraries-and-cultural-organizations-implementation-grants>

Bridging Cultures: Planning and Implementation Grants for Academic Forums and Program Development Workshops

To help Americans better understand our own rich cultural heritage, while enhancing public knowledge of and respect for others both here and abroad, NEH has launched a new initiative, called Bridging Cultures. The initiative encourages projects that explore the ways in which cultures from around the globe, as well as the myriad subcultures within America's borders, have influenced American society. With the aim of revitalizing intellectual and civic life through the humanities, NEH welcomes projects that expand both scholarly and public discussion of diverse countries, peoples, and cultural and intellectual traditions worldwide.

Additional Information:

As part of its Bridging Cultures initiative, NEH welcomes proposals to plan and implement a program consisting of a forum and a workshop on one of two humanities themes: "Civility and Democracy" or "The Muslim World and the Humanities."

Eligibility:

Any U.S. nonprofit organization with IRS 501(c)(3) tax-exempt status is eligible, as are state and local governmental agencies. Eligible institutions include but are not limited to public, academic, and research libraries; museums; disciplinary and professional associations; cultural institutions; state humanities councils; and institutions of higher learning. Individuals are not eligible to apply. When two or more institutions or organizations collaborate on a project, one of them must serve as the lead applicant and administer the grant on behalf of the others.

Contact Information:

Phone: (202) 606-8337

E-mail: bridgingcultures@neh.gov

Website: <http://www.neh.gov/grants/guidelines/BridgingCultures.html>

Challenge Grants

NEH challenge grants are capacity-building grants, intended to help institutions and organizations secure long-term improvements in and support for their humanities programs and resources. Grants may be used to establish or enhance endowments or spend-down funds (that is, funds that are invested, with both the income and the principal being expended over a defined period of years) that generate expendable earnings to support ongoing program activities. Funds may also be used for one-time capital expenditures (such as construction and renovation, purchase of equipment, and acquisitions) that bring long-term benefits to the institution and to the humanities more broadly.

Additional Information:

Through endowments or spend-down funds, challenge grant funds might be used to support: faculty and staff salaries and benefits; acquisitions for collections; faculty, teacher, and staff development; research fellowships; lecture or exhibition series; visiting scholars or consultants; publishing subventions; and preservation and conservation programs. Through direct expenditure, challenge grant funds might be used to support capital expenditures, such as purchase, construction, or renovation of facilities; acquisitions for collections; purchase of equipment and software; fundraising costs (totaling no more than 10 percent of challenge grant funds); and “bridge” funds to begin an endowed activity while the endowment is being established.

Eligibility:

With the exception of elementary and secondary schools (public or private) or school districts, any U.S. nonprofit institution (public agency, private nonprofit organization, tribal government) working wholly or in part with the humanities may apply for a challenge grant. Affiliated institutions (e.g., university museums) should consult with NEH staff on questions of separate eligibility. Institutions that support research, education, preservation, and public programming in humanities disciplines are eligible to apply for an NEH challenge grant.

Contact Information:

Phone: (202) 606-8309

E-mail: challenge@neh.gov

Website: <http://www.neh.gov/grants/challenge/challenge-grants#eligibility>

Digital Humanities Startup Grants

This program is designed to encourage innovations in the digital humanities. By awarding relatively small grants to support the planning stages, NEH aims to encourage the development of innovative projects that promise to benefit the humanities.

Additional Information:

Proposals should be for the planning or initial stages of digital initiatives in any area of the humanities. Digital startup grants may involve research that brings new approaches or documents best practices in the study of the digital humanities; planning and developing prototypes of new digital tools for preserving, analyzing, and making accessible digital resources, including libraries' and museums' digital assets; scholarship that examines the philosophical implications and impact of the use of emerging technologies; and innovative uses of technology for public programming and education utilizing both traditional and new media.

Eligibility:

Eligibility is limited to U.S. nonprofit organizations or institutions with IRS 501(c)(3) tax-exempt status; and state and local governmental agencies and Native American tribal organizations. Degree candidates may not be project directors.

Contact Information:

Brett Bobley

Phone: (202) 606-8401

E-mail: bbobley@neh.gov

Website: <http://www.neh.gov/grants/odh/digital-humanities-start-grants>

Humanities Collections and Reference Resources Grant Program

This program supports projects that provide an essential foundation for scholarship, education, and public programming in the humanities. Thousands of libraries, archives, museums, and historical organizations across the country maintain important collections of books and manuscripts, photographs, sound recordings and moving images, archaeological and ethnographic artifacts, art and material culture, electronic records, and digital objects.

Additional Information:

Funding from this program strengthens efforts to extend the life of such materials and make their intellectual content widely accessible, often through the use of digital technology. Awards are also made to create various reference resources that facilitate use of cultural materials, from works that provide basic information quickly to tools that synthesize and codify knowledge of a subject for in-depth investigation.

Eligibility:

Any U.S. nonprofit organization is eligible, as are state and local governmental agencies and tribal governments. Grants are not awarded to individuals.

Contact Information:

Nadina Gardner

Phone: 202.606.8442

E-mail: ngardner@neh.gov

Website: <http://www.neh.gov/grants/guidelines/HARR.html>

Preservation and Access Education and Training

Preservation and Access Education and Training grants help the staff of cultural institutions, large and small, obtain the knowledge and skills needed to serve as effective stewards of humanities collections. Grants also support educational programs that prepare the next generation of conservators and preservation professionals, as well as projects that introduce the staff of cultural institutions to new information and advances in preservation and access practices.

Additional Information:

Awards normally are for two years. Grants to regional preservation field service organizations typically range from \$50,000 to a maximum of \$250,000 per year. For all other applicants, the maximum award is \$125,000 per year.

Eligibility:

Any U.S. nonprofit organization is eligible, as are state and local governmental agencies and tribal governments. Individuals are not eligible to apply.

Contact Information:

Division of Preservation and Access

Phone: (202) 606-8570

E-mail: preservation@neh.gov

Website: <http://www.neh.gov/grants/preservation/preservation-and-access-education-and-training>

Preservation and Access Research and Development

Preservation and Access Research and Development grants support projects that address major challenges in preserving or providing access to humanities collections and resources. These challenges include the need to find better ways to preserve materials of critical importance to the nation's cultural heritage—from fragile artifacts and manuscripts to analog recordings and digital assets subject to technological obsolescence—and to develop advanced modes of searching, discovering, and using such materials.

Additional Information:

Applicants should define a specific problem, devise procedures and potential solutions, and explain how they would evaluate their projects and disseminate their findings. Project results must serve the needs of a significant segment of humanists. The maximum award is \$350,000 for up to three years. Applicants whose projects focus on the three areas of special interest noted above may request up to \$400,000.

Eligibility:

Any U.S. nonprofit organization is eligible, as are state and local governmental agencies and tribal governments. Individuals are not eligible to apply.

Contact Information:

Phone: (202) 606-8570

E-mail: preservation@neh.gov

Website: <http://www.neh.gov/grants/preservation/research-and-development>

Preservation Assistance Grants for Smaller Institutions

Preservation Assistance Grants help small and mid-sized institutions—such as libraries, museums, historical societies, archival repositories, cultural organizations, town and county records offices, and colleges and universities—improve their ability to preserve and care for their humanities collections. These may include special collections of books and journals, archives and manuscripts, prints and photographs, moving images, sound recordings, architectural and cartographic records, decorative and fine art objects, textiles, archaeological and ethnographic artifacts, furniture, historical objects, and digital materials.

Additional Information:

Small and mid-sized institutions that have never received an NEH grant are especially encouraged to apply. Preservation Assistance Grants may be used for purposes like these: General preservation assessments; Consultations with professionals to address a specific preservation issue, need, or problem; Purchase of storage furniture and preservation supplies; Purchase of environmental monitoring equipment for humanities collections; and Education and training.

Eligibility:

Any U.S. nonprofit organization is eligible, as are state and local governmental agencies and tribal governments. Individuals are not eligible to apply.

Contact Information:

Phone: (202) 606-8570

E-mail: preservation@neh.gov

Website: <http://www.neh.gov/grants/preservation/preservation-assistance-grants-smaller-institutions#eligibility>

Rediscovering Afghanistan Program

The National Endowment for the Humanities invites applications for projects that focus on Afghanistan's history and culture. The special initiative is designed to promote research, education, and public programs about Afghanistan and to encourage United States institutions to assist Afghanistan in efforts to preserve and document its cultural resources.

Additional Information:

Proposals for the initiative may take the form of projects to preserve and provide access to documents and artifacts; education and training for Afghan archivists, librarians, and museum professionals; new scholarship; scholarly translations; archaeology projects; educational projects in US schools, colleges, and universities for every level, K-16; and public programs conducted by US libraries, museums and historical societies, including exhibitions, film, radio, and Internet-based programs.

Eligibility:

Applications must be submitted to one of the Endowment's existing grant programs at its regular deadline. Proposals will be evaluated through NEH's established review process and will not receive special consideration. Applicants are urged to discuss their project with a program officer or send a preliminary proposal by mail or e-mail.

Contact Information:

Susan Daisey

Phone: (202) 606-8494

E-mail: sdaisey@neh.gov

Website: <http://www.neh.gov/grants/guidelines/afghanistan.html>

Sustaining Cultural Heritage Collections

Sustaining Cultural Heritage Collections helps cultural institutions meet the complex challenge of preserving large and diverse holdings of humanities materials for future generations by supporting preventive conservation measures that mitigate deterioration and prolong the useful life of collections. As they strive to be effective stewards of humanities collections, cultural repositories are increasingly interested in sustainable preservation strategies. NEH therefore invites proposals that explore and implement energy-efficient and cost-effective preventive conservation measures designed to mitigate the greatest risks to collections.

Additional Information:

This program offers two different kinds of grants:

- The first grant is for planning and helping an institution develop and assess preventive conservation strategies. Grants of up to \$40,000 will support planning and evaluation projects, which may encompass such activities as site visits, planning sessions, monitoring, testing, project-specific research, and preliminary designs for implementation projects. Planning and evaluation grants may be especially helpful to institutions interested in exploring sustainable preventive conservation strategies.
- The second grant is for implementation. It is designed to help an institution implement a preventive conservation project; grants of up to \$400,000 are available. Implementation projects should be based on planning that has been specific to the needs of the institution and its collections within the context of its local environment. It is not necessary to receive an NEH planning and evaluation grant to be eligible for an implementation grant.

Eligibility:

Any U.S. nonprofit organization is eligible, as are state and local governmental agencies and tribal governments. Grants are not awarded to individuals.

Contact Information:

Phone: (202) 606-8570

E-mail: preservation@neh.gov

Joel Wurl

Phone: (202) 606-8570

Email: mailto:jwurl@neh.gov

Website: <http://www.neh.gov/grants/guidelines/SCHC.html>

Section III: Museum & Native American Grants – Institute of Museum & Library Services (IMLS)

21st Century Museum Professionals

The purpose of the 21st Century Museum Professionals Grants program is to increase the capacity of museums by improving the knowledge and skills of museum professionals in multiple institutions. These grants are intended to reach broad groups of museum professionals throughout a city, county, state, region, or the nation. Grants fund a wide range of activities, including the development and implementation of classes, seminars, and workshops; resources to support leadership development; collection, assessment, development, and/or dissemination of information that leads to better museum operations; activities that strengthen the use of contemporary technology tools to deliver programs and services; support for the enhancement of pre-professional training programs; and organizational support for the development of internship and fellowship programs.

Eligibility:

Museums that fulfill the eligibility requirements for museums (detailed at the beginning of this section) may apply. Public or private not-for-profit agencies, organizations or associations that engage in activities designed to advance museums and the museum profession may also apply. In addition, institutions of higher education, including public and nonprofit universities are eligible.

Contact Information:

Christopher J. Reich
1800 M Street NW, 9th Floor Washington, D.C. 20036-5802
Phone: (202) 653-4685
E-mail: creich@imls.gov
Website: <http://www.imls.gov/applicants/21mp.aspx>

American Heritage Preservation Grants

Bank of America is partnering with the Institute to provide grants to small museums, libraries, and archives. The grants will raise awareness and fund preservation of treasures held in small museums, libraries and archives. Grants will help to preserve specific items, including works of art, artifacts and historical documents that are in need of conservation. Applicants will build on completed conservation assessments of their collections to ensure that the grants are used in accordance with best practices in the field and underscore the importance of assessment planning.

Eligibility:

Museums and libraries that fulfill the eligibility requirements for museums and libraries (detailed at the beginning of this section) may apply.

Contact Information:

Museums: Christine Henry, Senior Program Officer Telephone: (202) 653-4674
E-mail: chenry@imls.gov

Libraries: Kevin Cherry, Senior Program Officer Telephone: (202) 653-4662
E-mail: kcherry@imls.gov
Website: <http://www.imls.gov/applicants/detail.aspx?GrantId=3>

Collaborative Planning Grants

Enable project teams from more than one institution to work together to plan a project for a National Leadership Grant. Awards are made in two levels: Level I Collaborative Planning Grants support activities required to fully develop ideas for a National Leadership Grant project among project partners and should result in such products as plans, prototypes, or proofs of concept, which could lead to a single, subsequent National Leadership Grant proposal. Level II Collaborative Planning Grants support workshops, symposia, and other convenings of experts with the purpose of fostering discussion and consideration of nationally important issues to libraries, archives, and/or museums. Grant-supported meetings are expected to actively engage their intended communities and produce white papers (and potentially other publications, print or digital) to be broadly disseminated.

Eligibility:

Museums and Libraries that fulfill the eligibility requirements for museums and libraries (detailed at the beginning of this section) may apply. For libraries, see the program guidelines for special conditions of eligibility for this program. For museums, public or private nonprofit agencies, organizations, or associations that engage in activities designed to advance museums and the museum profession may also apply. In addition, institutions of higher education, including public and non-profit universities, are eligible. Matching Requirement: 1:1 for requests over \$250,000, except research projects. Cost sharing of at least one-third is encouraged for requests under \$250,000 and for research projects.

Contact Information:

Charles (Chuck) Thomas

Phone: (202) 653-4663

E-mail: cthomas@imls.gov

Website: <http://www.imls.gov/applicants/detail.aspx?GrantId=14>

Connecting to Collections Statewide Implementation Grants

Statewide Implementation Grants, an important component of the Connecting to Collections initiative, will fund a limited number of grants to implement the plans or models created with the Statewide Planning grants, addressing issues identified in the Heritage Health Index, to: provide safe conditions for their collections; develop an emergency plan; assign responsibility for collections care; and work together to increase public and private support for, and raise public awareness about, collections care. These grants are designed to encourage people and institutions in each state to cooperate on a plan that will benefit all. Project activities should accommodate needs of institutions in each state; they do not need to address all four recommendations.

Eligibility:

Building on a successful Statewide Planning Grant will include multiple partnerships among representatives of libraries, museums, archives, statewide service organizations, and state agencies. Museums and libraries that fulfill the eligibility requirements for museums and libraries may apply.

Contact Information:

Christine Henry

Phone: (202) 653-4674

E-mail: chenry@imls.gov

Website: <http://www.imls.gov/applicants/detail.aspx?GrantId=5>

Conservation Assessment Program (CAP)

The Conservation Assessment Program (CAP) is supported through a cooperative agreement between the Institute of Museum and Library Services and Heritage Preservation. The general conservation assessment (unlike a detailed collection survey) provides an overview of all of the museum's collections as well as its environmental conditions and policies and procedures relating to collections care. The program supports a two-day site visit by a conservation professional to perform the assessment. For museums located in historic structures, the program supports a two-day site visit by a preservation architect. Assessment reports are then provided.

Additional Information:

CAP also helps institutions with living animal collections, such as zoos and aquariums, that do not have an assessment of the animals' physical conditions and habitats. Institutions with fully surveyed living animal collections (such as those accredited by the American Zoological Association) may assess the conservation needs of their material collections only. Botanic gardens and arboretums may assess the conservation needs of both their living and material collections.

Eligibility:

Any not-for-profit museum that cares for and owns or uses tangible objects, exhibits them on a regular basis, is open to the public at least 90 days annually, has at least one full-time paid or volunteer staff person or the equivalent, and is located in one of the 50 states of the Union, the District of Columbia, or U.S. territories is eligible to apply. All types of museums may participate: aquariums; arboreta, botanical gardens and nature centers; art museums/centers; children's museums; historic houses and sites; history, science and natural history museums; science technology centers and planetariums; specialized museums; and zoos. Institutions with fully surveyed living animal collections (such as those accredited by the American Zoo and Aquarium Association) may use CAP to assess the conservation needs of their non-living collections only. CAP is designed to serve only those museums whose collections and facilities can be assessed in a two-day period. Museums whose collections and/or facilities cannot be surveyed within two days should apply for a general conservation survey grant through the Institute of Museum and Library Services' (IMLS) Conservation Project Support program.

Contact Information:

Sara Gonzales
1012 14th Street, NW, Suite 1200 Washington, D.C. 20005
Phone: (202) 233-0800
E-mail: sgonzales@heritagepreservation.org
Website: <http://www.heritagepreservation.org/CAP/>

Conservation Project Support

The Conservation Project Support program awards grants to help museums identify conservation needs and priorities, and perform activities to ensure the safekeeping of their collections. Conservation Project Support grants help museums develop and implement a logical, institution-wide approach to caring for their living and material collections. Applicants should apply for the project that meets one of the institution's highest conservation needs. All applications must demonstrate that the primary goal of the project is conservation care, and not collection management or maintenance.

Eligibility:

Grants are available for many types of conservation activities, including surveys (general, detailed condition, or environmental); training; treatment; and environmental improvements. Matching Requirement: 1:1 for total project request

Contact Information:

Christine Henry

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E-mail: chenry@imls.gov

Website: <http://www.imls.gov/applicants/cps.aspx>

Libraries – Institute of Museum and Library Services Grant

Eligibility:

- Either a unit of state or local government or private nonprofit organization that has tax-exempt status under the Internal Revenue Code;
- Located in one of the fifty states of the United States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, or the Republic of Palau; and
- One of the six types of organizations listed below:
 - A library or a parent organization, such as a school district, a municipality, a state agency, or an academic institution, that is responsible for the administration of a library. Eligible libraries include public libraries, elementary and secondary school libraries, college and university libraries, research libraries and archives that are not an integral part of an institution of higher education and that make publicly available library services and materials that are suitable for scholarly research and not otherwise available, and private or special libraries that have been deemed eligible to participate in this program by the state in which the library is located.
 - An academic or administrative unit, such as a graduate school of library and information science, which is a part of an institution of higher education through which it would make application.
 - A digital library, if it makes library materials publicly available and provides library services, including selection, organization, description, reference, and preservation under the supervision of at least one permanent professional staff librarian.
 - A library agency that is an official agency of a state or other unit of government and is charged by the law governing it with the extension and development of public library services within its jurisdiction.
 - A library consortium that is a local, statewide, regional, interstate, or international cooperative association of library entities that provides for the systematic and effective coordination of the resources of eligible libraries, as defined above, and information centers that work to improve the services delivered to the clientele of these libraries.
 - A library association that exists on a permanent basis, serves libraries or library professionals on a national, regional, state, or local level, and engages in activities designed to advance the well-being of libraries and the library profession.

Additional Information:

Note: Special conditions of eligibility apply to the Grants to States program, some categories of the Laura Bush 21st Century Librarian Program, the National Leadership Grants program, and the Native American and Native Hawaiian Library Services programs. Please see the individual guidelines for these programs for specific eligibility requirements.

Contact Information

Maura Marx
Deputy Director for Library Services
(202) 653-4700
mmark@imls.gov

Museums – Institute of Museum and Library Services Grant

All types of museums, large and small, are eligible for funding. Eligible museums include aquariums, arboretums and botanical gardens, art museums, youth museums, general museums, historic houses and sites, history museums, nature centers, natural history and anthropology museums, planetariums, science and technology centers, specialized museums, and zoological parks. Federally operated and for-profit museums may not apply for IMLS funds.

Eligibility:

- Either a unit of state or local government or a private not-for-profit organization that has tax-exempt status under the Internal Revenue Code;
- located in one of the fifty states of the United States of America, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated states of Micronesia, or the Republic of Palau; and
- a museum that, using a professional staff, (1) is organized on a permanent basis for essentially educational or aesthetic purposes; (2) owns or uses tangible objects, either animate or inanimate; (3) cares for these objects; and (4) exhibits these objects to the general public on a regular basis through facilities which it owns or operates.
- An organization uses a professional staff if it employs at least one professional staff member, or the fulltime equivalent, whether paid or unpaid primarily engaged in the acquisition, care, or exhibition to the public of objects owned or used by the institution.
- An organization “exhibits objects to the general public” if such exhibition is a primary purpose of the institution. Further, an organization which exhibits objects to the general public for at least 120 days a year shall be deemed to exhibit objects to the general public on a regular basis. An organization which exhibits objects by appointment may meet the requirement to exhibit objects to the general public on a regular basis, if it can establish, in light of the facts under all the relevant circumstances, that this method of exhibition does not unreasonably restrict the accessibility of the institution's exhibits to the general public.
- A museum located within a parent organization that is a state or local government or multipurpose non-profit entity, such university, historical society, foundation, or a cultural center, may apply on its own behalf, if the museum: (1) is able to independently fulfill all the eligibility requirements listed above; (2) functions as a discrete unit within the parent organization; (3) has its own fully segregated and itemized operating budget; and (4) has the authority to make the application on its own. When any of the last three conditions cannot be met, a museum may apply through its parent organization.
- Prospective applicants that cannot fulfill all of these requirements should Contact Information IMLS to discuss their eligibility before applying. IMLS may require additional supporting documentation from the applicant to determine the museum’s autonomy. Each eligible applicant within a single parent organization should clearly delineate its own programs and operations in the application narrative. A parent organization that controls multiple museums that are not autonomous but which are otherwise eligible may submit only one application per grant program; the application may be submitted by the parent organization on behalf of one or more of the eligible museums.
- Note: Special conditions of eligibility apply to the 21st Century Museum Professionals program, the Museum Grants for African American History and Culture program, the National Leadership Grants program, and the Native American/Native Hawaiian Museum Services Program.

Contact Information:

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Supervisory Grants Management Specialist
(202) 653-4798
cbodner@imls.gov

Museum Assessment Program (MAP)

The Museum Assessment Program (MAP) is supported through a cooperative agreement between the Institute of Museum and Library Services and the American Association of Museums. It is designed to help museums assess their strengths and weaknesses, and plan for the future. The program provides technical assistance for a variety of institutional assessments. In all MAP assessments, members of the museum staff and governing authority complete a self-study and receive a site visit by one or more museum professionals, who tour the museum and meet with staff, governing officials, and volunteers. The surveyors work with the museum and MAP staff to produce a report evaluating the museum's operations, making recommendations, and suggesting resources.

Eligibility:

- Eligible institutions include aquariums, arboreta, art museums, botanical gardens, children/youth museums, general museums (having two or more significant disciplines), historic houses/sites, history museums, natural history/anthropology museums, nature centers, planetariums, science/technology museums, specialized museums (museums with collections limited to one narrowly defined discipline—e.g., textiles, stamps, maritime, ethnic group), and zoos.
- An applicant must be a museum that:
 - Is organized on a permanent basis for essentially educational or aesthetic purposes;
 - Cares for and owns or uses tangible objects, whether animate or inanimate, and exhibits these objects on a regular basis through facilities it owns or operates;
 - Is a unit of state or local government or a private nonprofit organization;
 - Has at least one professional staff member or the full-time equivalent, whether paid or unpaid, whose responsibilities relate solely to the museum's services and operations;
 - Is open and providing museum services to the general public on a regular basis (a museum that exhibits objects to the general public for at least 90 days a year fulfills this requirement);
 - And is located in one of the fifty states of the U.S., the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, the Republic of the Marshall Islands, the Federated States of Micronesia, or the Republic of Palau.
- For applicants applying under the Flexible Participation Program:
 - An applicant can also be a unit of the federal government.
 - A museum that does not have a full-time professional staff member needs to demonstrate in the application that it has the full-time equivalent of at least one professional staff member and that, if it is selected to participate in the program, one of the part-time professional staff members will serve as a Contact Information and will oversee program activities. Professional museum activities include those responsibilities that relate to the governance, administration, programming, and collections management of the museum.
 - If a museum is not scheduled to be open to the public 90 days a year, it is still eligible to participate in MAP if it can demonstrate that, in the year preceding the submission of its application, the combination of days it was routinely scheduled to be open and days it was open by appointment equal at least 90 days.
- When any of these conditions cannot be met, a museum may apply through its parent organization, and the parent organization may submit an application(s) for one or more of its museums.

Contact Information:

Jill Connors-Joyner

1575 Eye Street, NW, Suite 400 Washington, D.C. 20005

Phone: (202) 289-9111

E-mail: map@aam-us.org

Website: <http://www.aam-us.org/resources/assessment-programs/MAP>

Museums for America

Museums for America grants strengthen a museum's ability to serve the public more effectively by supporting high-priority activities that advance the institution's mission and strategic goals. Museums for America grants are designed to be flexible: funds can be used for a wide variety of projects, including ongoing museum activities, research and other behind the-scenes activities, planning, new programs, purchase of equipment or services, and activities that will support the efforts of museums to upgrade and integrate new technologies.

Additional Information:

IMLS also welcomes proposals that promote the skills necessary to develop 21st century communities, citizens, and workers, and that encourage broad community access and participation.

Grants are awarded in the following categories:

- Engaging Communities (Education, Exhibitions, Interpretation, and Inclusive Participation): Support projects that represent a broad range of educational activities through which museums share collections, content, and knowledge to support learning.
- Building Institutional Capacity (Management, Policy, and Training): Support projects that serve to improve the infrastructure of museums to better serve their communities.
- Collections Stewardship: Support projects that museums undertake to maintain and improve the management of museum collections in order to fulfill a museum's public service mission.

Eligibility:

Museums that fulfill the eligibility requirements for museums (detailed at the beginning of this section) may apply.

Contact Information:

Sandra Narva

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E-mail: snarva@imls.gov

Website: <http://www.imls.gov/applicants/detail.aspx?GrantId=11>

Museum Grants for African American History and Culture

Museum Grants for African American History and Culture are intended to enhance institutional capacity and sustainability through professional training, technical assistance, internships, outside expertise, and other tools. Successful proposals will focus on one or more of the following three goals: (1) developing or strengthening knowledge, skills, and other expertise of current staff at African American museums; (2) attracting and retaining professionals with the skills needed to strengthen African American museums; and (3) attracting new staff to African American museum practice and providing them with the expertise needed to sustain them in the museum field.

Eligibility:

Eligible applicants include museums whose primary purpose is African American life, art, history, and/or culture, encompassing the period of slavery; the era of reconstruction; the Harlem Renaissance; the civil rights movement; and other periods of the African Diaspora. Public or private nonprofit organizations whose primary purpose is to support museums identified above may also apply. Historically Black Colleges or Universities (HBCUs) are also eligible. Please see Program Guidelines for specific eligibility criteria.

Contact Information:

Christopher J. Reich

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Phone: (202) 653-4685

E-mail: creich@imls.gov

Website: <http://www.imls.gov/applicants/detail.aspx?GrantId=12>

Native American Library Services Basic Grants

The Native American Library Services Basic Grant is noncompetitive and distributed in equal amounts among eligible applicants. Basic Grants are available to support existing library operations and to maintain core library services. The Education/Assessment Option is supplemental to the Basic Grant. It also is noncompetitive and must be requested. The purpose of the Education/Assessment Option is to provide funding for library staff to attend continuing education courses and training workshops on- or off-site, for library staff to attend or give presentations at conferences related to library services, and to hire a consultant for an on-site professional library assessment.

Eligibility:

Indian tribes, Alaska Native villages, regional corporations, and village corporations are eligible to apply for funding under the Native American Library Services grant program. Entities such as libraries, schools, tribal colleges, or departments of education are not eligible applicants, although they may be involved in the administration of this program and their staff may serve as project directors, in partnership with an eligible applicant.

Contact Information:

Alison Freese

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E-mail: afreese@imls.gov

Website: <http://www.imls.gov/applicants/detail.aspx?GrantId=15>

Native American Library Services Enhancement Grants

Enhancement Grants support projects to enhance existing library services or implement new library service.

Additional Information:

The Library Services and Technology Act (LSTA) listed here:

- To expand services for learning and access to information and educational resources in a variety of formats, in all types of libraries, for individuals of all ages;
- To develop library services that provide all users with access to information through local, state, regional, national, and international electronic networks;
- To provide electronic and other linkages between and among all types of libraries,
- To develop public and private partnerships with other agencies and community-based organizations;
- To target library services to help increase access and ability to use information resources for individuals of diverse geographic, cultural, and socioeconomic backgrounds, individuals with disabilities, and individuals with limited functional literacy or information skills, and
- To target library and information services to help increase access and ability to use information resources for persons having difficulty using a library and for underserved urban and rural communities, including children from birth to age 17 from families with incomes below the poverty line (as defined by the Office of Management and Budget).

Eligibility:

Indian tribes and Alaska Native villages are eligible to apply for the Enhancement Grant only if they have applied for a Native American Library Services Basic Grant in the same fiscal year. See program guidelines for specific eligibility criteria. Indian tribes, Alaska Native villages, regional corporations, and village corporations are eligible to apply for funding under the Native American Library Services grant program. Entities such as libraries, schools, tribal colleges, or departments of education are not eligible applicants, although they may be involved in the administration of this program and their staff may serve as project directors, in partnership with an eligible applicant.

Contact Information:

Alison Freese

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E-mail: afreese@imls.gov

Website: <http://www.imls.gov/applicants/detail.aspx?GrantId=16>

Native American Museum Services Program

The Native American Museum Services program promotes enhanced learning and innovation within museums and museum related organizations, such as cultural centers.

Additional Information:

The program provides opportunities for Native American tribes and Native Hawaiian organizations to sustain heritage, culture, and knowledge by strengthened museum services in the following areas:

- Programming: Services and activities that support the educational mission of museums and museum related organizations.
- Professional development: Education or training that builds skills, knowledge, or other professional capacity for persons who provide or manage museum service activities. Individuals may be paid or volunteers.
- Enhancement of museum services: Support for activities that enable and improve museum services.

Eligibility:

- Federally recognized Indian tribes,
- Alaskan Native Villages and corporations, and,
- Organizations that primarily serve and represent Native Hawaiians.
- Entities such as museums, libraries, schools, tribal colleges, or departments of education are not eligible applicants, although they may be involved in the administration of the program and their staff may serve as project directors, in partnership with eligible applications.

Contact Information:

Sandra Narva

Phone: (202) 653-4634

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Website: <http://www.imls.gov/applicants/detail.aspx?GrantId=17>

National Medal for Museum and Library Service

The National Medal honors outstanding institutions that make exceptional contributions to their communities. Selected institutions demonstrate extraordinary and innovative approaches to public service and inclusiveness, exceeding the expected levels of community outreach, and may also include libraries and museums advancing global cultural understanding. Beginning with the 2011 awards, an honor will be included for libraries and museums that are advancing global cultural understanding.

Eligibility:

Museums and Libraries that fulfill the eligibility requirements for museums and libraries (detailed at the beginning of this section) may apply. Institutions that have won an award may be re-nominated after 10 years.

Contact Information:

Michele Farrell

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Christopher J. Reich

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Website: <http://www.imls.gov/about/medals.aspx>

Sparks! Ignition Grants

The Sparks! Ignition Grants for Libraries and Museums initiative is a new funding opportunity developed by IMLS to expand and test the boundaries of library, archive, and museum services and practices. It provides small, targeted investments in high-risk, innovative responses to the challenges and opportunities facing cultural heritage institutions in a rapidly changing information environment. Innovation is the key to using time, money, and staff more productively, and to helping cultural heritage institutions improve their services, processes, programs, and products. Partnerships are permitted, but not required. Examples of projects that might be funded by this program include: exploring the potential of highly original, experimental collaborations, testing inventive new workflows or processes that may result in substantial cost savings, rapid prototyping and testing of new types of software tools, or creating useful new ways to link separate software applications used in libraries, archives, or museums, research that involves the deployment, testing, and evaluation of a specific innovation, offering innovative new types of services or new service options to library, archive, or museum visitors.

Eligibility:

Museums and Libraries that fulfill the eligibility requirements for museums and libraries (detailed at the beginning of this section) may apply.

Contact Information:

Charles (Chuck) Thomas

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E-mail: cthomas@imls.gov

Website: <http://www.imls.gov/applicants/detail.aspx?GrantId=19>

Tribal Organizations – Institute of Museum and Library Services Grant

For the Native American Library and Museum Services grant programs, Indian tribes and Alaska Native villages and corporations are eligible to apply for funding. Entities such as libraries, museums, schools, tribal colleges, or departments of education are not eligible applicants, although they may be involved in the administration of this program and their staff may serve as project directors. For purposes of funding under these grant programs, “Indian tribe” is defined as any tribe, band, nation, or other organized group or community, including any Alaska Native village, regional corporation, or village corporations (as defined in or established pursuant to the Alaska Native Claims Settlement Act (43 U.S.C. Section 1601 et seq.)) that is recognized by the Secretary of the Interior as eligible for the special programs and services provided by the United States to Indians because of their status as Indians. Eligible applicants are listed on the Bureau of Indian Affairs Web site (<http://www.doi.gov/bureaus/bia.cfm>), except for the recognized regional corporations and village corporations (Alaskan entities should refer to applicable provisions in the Alaska Native Claims Settlement Act, referenced above).

Eligibility:

If an Indian tribe has several bands, colonies, rancherias, communities, or other organizational entities listed in parentheses following a tribe name on the Department of Interior’s list of federally recognized tribes, only one of those entities may receive a grant in a fiscal year. For example, if a tribe has four bands, only one of the bands may apply for a grant in a fiscal year. It is the responsibility of the tribal chief executive to determine which entity will apply for the grant.

Contact information:

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Supervisory Grants Management Specialist

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Section IV: Art/Scholastic Funding – National Endowment for the Arts (NEA)

Arts and Artifacts Indemnity Program: Domestic Indemnity

Museums and other non-profit organizations planning temporary exhibitions may be eligible for coverage. The indemnity agreement is backed by the full faith and credit of the United States. In the event of loss or damage to an indemnified object, the Federal Council on the Arts and the Humanities must certify the validity of the claim and request Congress to authorize payment.

Additional Information:

Museums and other non-profit organizations planning temporary exhibitions that involve bringing works of art and artifacts from abroad to this country or sending works of art from this country abroad may be eligible for International Indemnity coverage.

Eligibility:

Eligible objects include art works, other artifacts or objects, rare documents, books and other printed materials, photographs, films, and videotapes. Such objects must have educational, cultural, historical, or scientific value. If an exhibition is being shown at several institutions, one institution should apply on behalf of all participants.

Contact Information:

Alice M. Whelihan Indemnity Administrator

National Endowment for the Arts 1100 Pennsylvania Avenue, N.W. Washington, D.C. 20506

Phone: 202-682-5574

Fax: 202-682-5603

E-mail: whelihaa@arts.gov

Website: <http://arts.gov/news/news08/DomesticIndemnity.html>

Access to Artistic Excellence Grant Program

Encourages and supports artistic creativity, preserves our diverse cultural heritage, and makes the arts more widely available in communities throughout the country. While projects in this category may focus on just one of these areas, the Arts Endowment recognizes that many of the most effective projects encompass both artistic excellence and enhanced access.

Additional Information:

The Arts Endowment is particularly interested in projects that extend the arts to underserved populations - those whose opportunities to experience the arts are limited by geography, ethnicity, economics, or disability. This is achieved in part through the use of Challenge America: Reaching Every Community funds.

Eligibility:

Funding is available for projects only. A project may consist of one or more specific events or activities; it may be a part of an applicant's regular season or activities. Organizations that undertake a single short-term project in a year -- a ten-day jazz festival, for example -- could apply for that event, or they could identify certain components (such as the presentation of a key artist and the associated activities) as their project. Describe the activities for which Arts Endowment support is requested, and provide specific information on the artists, productions, venues, distribution plans, etc., that will be involved. Organizations may apply for any or all phases of a project, from its planning through its implementation. A project does not have to be new. Excellent existing projects can be just as competitive as new activities. Projects do not need to be large. The Arts Endowment welcomes small projects that can make a difference in a community or field.

Contact Information:

Mr. Walls

Phone: 202/682-5586

E-mail: wallsd@arts.gov

Website: <http://www.arts.gov/grantcenter/funding-topic/arts-and-humanities/access-artistic-excellence>

Challenge America Fast-Track Review Grants

These grants offer support to small and mid-sized organizations for projects that extend the reach of the arts to underserved populations. Eligible projects include those focusing on the development of cultural tourism and cultural districts, and assisting local economic development and cultural publicity efforts.

Additional Information:

This category supports focused, distinct projects that take place over limited periods of time and involve limited geographic areas. Such projects generally are smaller in scale and shorter in duration than those in the Access to Artistic Excellence or Learning in the Arts for Children and Youth categories. Fast-Track grants are available only for: 1) An arts event or events that will feature one or more guest artists. 2) The creation of professionally directed public art projects such as murals, sculptures, or environmental art that are developed through community engagement. 3) Civic design activities that involve the renovation, restoration, or adaptive reuse of structures to be used as cultural facilities. 4) The unified promotion of community-wide arts activities and resources to enhance cultural tourism or activities in cultural districts.

Eligibility:

Partnerships can be valuable to the success of these projects. While not required, applicants are encouraged to consider partnerships among organizations, both in and outside of the arts, as appropriate to their project.

Contact Information:

E-mail: fasttrack@arts.gov

Phone: (202) 682-5700

Website: <http://arts.gov/news/news08/NPDP2.html>

NEA New Play Development Program (NPDP)

To advance the American nonprofit theater's ability to provide meaningful support for new work, the program will provide financial support for playwrights and institutions developing outstanding new American plays, advance the field's ability to support the development process for new work, and disseminate information on effective models for developing outstanding new American plays.

Additional Information:

The NEA New Play Development Program also will support artistic excellence in the theater field by encouraging dialogue around existing and new models for new play development, including the seven selected projects. In partnership with the NEA, Arena Stage will provide a forum that will not only encourage this discussion but will actively support the dissemination of any findings throughout the field.

Eligibility:

Playwrights: An applicant playwright must be a citizen or a permanent of the United States at the time of the application. Organizations: Organizations applying to receive support through the NPDP must meet the Eligibility Requirements of the NEA: Nonprofit; tax-exempt 501(c) (3), U.S. organizations; units of state or local government; or federally organized tribal communities or tribes may apply.

Contact Information:

Paulette Beete

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E-mail: beetep@arts.gov

Website: <http://www.nea.gov/news/news08/NPDP2.html>

Universal Design Leadership Program

The NEA seeks to enter into a Cooperative Agreement with an organization that will carry out a project to increase understanding, acceptance, and practice of universal design within the design profession, by design educators, and by the American public. Proposed projects should focus on extending the appeal of universal design from the disability community into the mainstream of American design and American society. The project should educate designers and others including developers, city planners, and consumers on this important issue.

Additional Information:

Proposed projects should focus on the design of spaces and/or landscapes and should: Involve collaboration with targeted populations; identify, document, and disseminate information on projects that exemplify best practices in universal design and that make connections between designers, decision makers, and the disability community as well as the American public; engage public policy makers and raising their awareness so that universal design education can be integrated into their identified priorities.

Eligibility:

Nonprofit, tax-exempt 501(c)(3), U.S. organizations; units of state or local government; or federally recognized tribal communities or tribes may apply. The applicant organization must meet the Arts Endowment's "Legal Requirements" including nonprofit, tax-exempt status at the time of application. Applicants should have at least three years of experience with the universal design field.

Contact Information:

Room 724 National Endowment for the Arts 1100 Pennsylvania Ave, N.W. Washington, DC 20506

Phone: (202) 682-5733

E-mail: evyk@arts.gov or terryp@arts.gov

COMMUNITY DEVELOPMENT & HUMAN SERVICES

Section I: Community Outreach Grants – Department of Health & Human Services (HHS)

Administration for Children and Families

- The Administration for Children and Families (ACF), within the Department of Health and Human Services (HHS) is responsible for federal programs that promote the economic and social well-being of families, children, individuals, and communities. ACF programs aim to empower children and their families to increase their own economic independence and productivity.
- Additionally, ACF works towards strong, healthy, supportive communities that have a positive impact on the quality of life and the development of children. Through the following funding opportunities, I am confident that their goals will be met.

Community Economic Development Projects

The purpose of the CED program is to provide technical and financial assistance for community economic development activities. It is designed to address the economic needs of low-income individuals and families through the creation of employment and business opportunities in low-income communities.

Additional Information:

The Office of Community Service seeks to fund projects that implement strategies for fostering self-sufficiency for low-income families and achieving sustained employment opportunities in low-income communities. The program further aims to contribute to community revitalization.

Eligibility:

- Social service and community organizations are eligible to apply.
- An applicant must be a private, non-profit Community Development Corporation (CDC) with 501(c)(3) IRS status experienced in planning, developing, or managing low-income housing or community development activities.
- For purposes of this grant program, the CDC must be governed by a Board of Directors consisting of residents of the community, business, and civic leaders.
- The CDC must have as a principal purpose: planning, developing, or managing low-income housing or community development activities.

Contact Information:

Rafael J. Elizalde

Office of Community Services Operations Center Administration for Children and Families 1515 Wilson Blvd., Suite 100 Arlington, VA 22209

E-mail: OCSGRANTS@ACF.hhs.gov

Phone: 1-800-281-9519

Development of Comprehensive Drug/Alcohol and Mental Health Treatment Systems for Persons Who are Homeless

The purpose of this program is to expand and strengthen treatment services for persons who are homeless (including those who are chronically homeless), who also have substance use disorders, mental disorders, or co-occurring substance use and mental disorders. The primary goal is to link treatment services with housing programs and other services (e.g., primary care).

Eligibility:

Social service and community organizations are eligible to apply. Eligible applicants are domestic public and private nonprofit entities. For example, State and local governments, federally recognized American Indian/Alaska Native Tribes and tribal organizations, urban Indian organizations, public or private universities and colleges; and community- and social services organizations may apply. Grantees from the FY 2005, 2006, and 2008 funding cohorts for the Treatment for Homeless program are not eligible to apply for this program. Applicants for services in supportive housing awards that do not provide sufficient documentation in Appendix 6 of their applications to meet the requirements of Section I-2.2 will not be considered for funding to provide services in supportive housing and will be considered for funding as a general homeless program.

Contact Information:

Kathleen Sample

Office of Program Services, Division of Grants Management Substance Abuse and Mental Health
Services Administration 1 Choke Cherry Road Room 7-1089 Rockville, Maryland 20857

Phone: (240) 276-1407

E-mail: kathleen.sample@samhsa.hhs.gov

Family Connection Grants: Child Welfare/TANF Collaboration in Kinship Navigation Programs

The purpose of this program is to develop and implement Kinship Navigation programs that will assist kinship givers, through information and referral systems to learn about, find, and use existing programs and services to meet their own needs and the needs of the children they are raising. This program also looks to promote effective partnerships between public and private, community and faith-based agencies to better serve the needs of kinship caregiver families.

Additional Information:

Grant projects funded under this announcement will be implemented through strong collaboration between the grantee; the public Child Welfare agency (if the primary applicant is not the public Child Welfare agency); and the agency administering the Temporary Assistance for Needy Families (TANF) program. These Kinship Navigator programs will be designed to promote protective factors as a means to support social and emotional well-being and healthy, positive functioning in kinship caregiver families served or potentially served by the Child Welfare and TANF systems.

Eligibility:

- State, County, City or Township Governments
- Nonprofits with and without 501© (3) status with the IRS
- State, Local or tribal child welfare agencies
- Private nonprofit organizations that have experience working with foster children
- Community and Faith-based organizations

Contact Information:

Catherine Heath

(202) 690-7888

Catherine.heath@acf.hhs.gov

Mentoring Children of Prisoners Program

This program supports the creation and maintenance of one-on-one mentoring relationships between children of incarcerated parents and caring, supportive adult volunteer mentors.

Additional Information:

The intent of this program is to support the establishment or expansion and operation of mentoring programs, using a network of public and private community entities, in areas with substantial numbers of children of incarcerated parents.

Eligibility:

State and county governments, Cities and townships, independent school districts, and nonprofit organizations are eligible to apply for this grant.

Contact Information:

Lisa Dammar

Phone: 202-401-5513

E-mail: ACFOGME-Grants@acf.hhs.gov

Section 1115 Demonstration Grants

The Office of Child Support Enforcement (OCSE) invites eligible applicants to propose projects for unwed parents to assist them in addressing both the financial and emotional needs of their child(ren). OCSE envisions projects that provide parents with information about how child support works so they are well informed and active participants in their IV-D case.

Additional Information:

OCSE also envisions projects that provide parents with the opportunity to agree upon an amount of child support, (while addressing their State's child support guidelines), that the noncustodial parent can reasonably be expected to pay and the custodial parent can then reasonably rely upon receiving.

Eligibility:

Social service and community organizations are eligible to apply. Eligible applicants for these Section 1115 demonstration project grants are State (including the District of Columbia, Guam, Puerto Rico, and the Virgin Islands) Title IV-D agencies or the umbrella agencies of the IV-D program.

Contact Information:

Alexander Roy

Administration for Children and Families Office of Child Support Enforcement 370 L'Enfant Promenade, SW, 4th Floor, West Washington, DC 20447

Phone: (202) 401-5690

Fax: (202) 401-5681

E-mail: alexander.roy@acf.hhs.gov

Services for Survivors of Torture Program: Assistance to Torture Survivors Through Direct Services

The purpose of this program is to provide services to torture survivors to enable them to regain their health and independence and rebuild productive lives. It is also to conduct training to improve services and care for torture survivors.

Eligibility:

Social Service, community organizations, and all domestic applicants are eligible to apply.

Contact Information:

Ms. Holly Herrera

Office of Refugee Resettlement Administration for Children and Families 370 L'Enfant Promenade, SW, 8th Floor, West Washington, DC 20447

Phone: (202) 401-5541

E-mail: hherrera@acf.hhs.gov

Website: <http://www.acf.hhs.gov/programs/orr/programs/survivors-of-torture/about>

Special Improvement Project (SIP) -- Improving Child Support Enforcement (CSE) and Court Collaboration

Funding for projects which address specific strategies listed in the grant announcement for Title IV-D Social Security Act cases to foster collaboration impacting child support enforcement and court outcomes.

Eligibility:

Social Service, community organizations, and all domestic applicants are eligible to apply.

Contact Information:

Jean Robinson

Division of State, Tribal and Local Assistance Office of Child Support Enforcement Administration for Children and Families 370 L'Enfant Promenade, SW, 4th Floor, West Washington, DC 20447

Phone: (202) 401-5330

E-mail: jean.robinson@acf.hhs.gov

Special Improvement Project (SIP) -- Using New Methods to Improve Collection Rates

This is funding for Special Improvement Projects (SIP) which furthers the national child support mission, vision, and goals to ensure that all children receive financial and medical support from their parents and addresses using new technology and enforcement tools to increase child support collections.

Eligibility:

Social Service, community organizations, and all domestic applicants are eligible to apply.

Contact Information:

Jean Robinson Division of State, Tribal and Local Assistance Office of Child Support Enforcement Administration for Children and Families 370 L'Enfant Promenade, SW, 4th Floor, West Washington, DC 20447

Phone: (202) 401-5330

E-mail: jean.robinson@acf.hhs.gov

Wilson/Fish Alternative Program

Enable applicants to implement alternative projects under one of two categories in order to provide interim financial assistance, social services and case management to refugees in a manner that encourages self-sufficiency, reduces the likelihood of welfare dependency and fosters greater coordination among resettlement agencies and service providers in a community.

Eligibility:

- According to Section 412 (e) of the INA [Cash Assistance and Medical Assistance to Refugees], "The (ORR) Director is authorized to provide assistance, reimbursement to States, and grants to, and contracts with, public or private nonprofit agencies..."
- Social service and community organizations that meet the statutory eligibility requirements are eligible to apply under this announcement.
- Foreign entities are not eligible under this announcement.

Contact Information:

Jean Robinson

Division of State, Tribal and Local Assistance Office of Child Support Enforcement Administration for Children and Families 370 L'Enfant Promenade, SW, 4th Floor, West Washington, DC 20447

Phone: (202) 401-5330

E-mail: jean.robinson@acf.hhs.gov

Section II: Health/Substance Abuse Treatment Funding – U. S. Department of Health & Human Services (HHS)

2009 Reg. 2 Family Planning Services FOA

This announcement seeks applications from public and nonprofit private entities to establish and operate voluntary family planning services projects, which shall provide family planning services to all persons desiring such services, with priority for services to persons from low-income families.

Eligibility:

Social service and community organizations are eligible to apply.

Contact Information:

Renee Scales

Phone: (240) 453-8822

E-mail: renee.scales@hhs.gov

Abandoned Infants Assistance: Comprehensive Support Services for Families Affected by Substance Abuse and/or HIV/AIDS

The services needed by these infants and their families are many. The needed services are likely to be provided by many different community-based agencies. Applicants must utilize an existing consortium of community-based service providers or develop a consortium for the purpose of implementing this demonstration project. One of the purposes of this grant is to develop and implement programs of comprehensive community-based support and services for the target population, as described in the Abandoned Infants Assistance Act of 1988.

Eligibility:

- Social services and community organizations are eligible to apply.
- Eligible applicants are domestic public and private nonprofit entities. For example, State and local governments, federally recognized American Indian/Alaska Native Tribes and tribal organizations, urban Indian organizations, public or private universities and colleges; and community-based organizations may apply.
- Consortia of tribal organizations are eligible to apply, but each participating entity must indicate its approval. The statutory authority for this program prohibits grants to for-profit agencies.
- Funding is not designed to meet statewide treatment needs, but to meet the needs of individual communities in cities, towns, counties, and multi-county partnerships.
- States that apply must identify a specific city, town, county or multi-county partnership that will be the targeted geographic area of need.

Contact Information:

Lisa Dammar

Division of Discretionary Grants ACYF/ Operations Center c/o Dixon Group, Inc. ATTN: Children's Bureau 118 Q St., NE. Washington, DC 20002-2132

Phone: (886) 796-1591

E-mail: ACFOGME-Grants@acf.hhs.gov

Capacity Building Assistance (CBA) to Improve the Delivery and Effectiveness of Human Immunodeficiency Virus (HIV) Prevention Services for High-Risk and/or Racial/Ethnic Minority Populations

The purpose of the program is to build the capacity of organizations to operate optimally and to provide evidence-based interventions and public health strategies that can help reduce the burden of HIV infection among high-risk and/or racial/ethnic minority populations with the U.S. and its Territories.

Eligibility:

Social Service, community organizations, and all domestic applicants are eligible to apply.

Contact Information:

Phone: (770) 488-2700

Drug Free Communities Mentoring Program

The purpose of the DFC Mentoring Program is to provide grant funds to existing DFC grantees so that they may serve as Mentors to newly-formed and/or developing coalitions that have never received a DFC grant. Mentor grant funds should be used for the direct benefit of the Mentee Community/Coalition. Through the support of DFC mentoring funds, Mentor Coalitions are expected to share their expertise with non-grantee coalitions (Mentee Community/Coalition) and enable them to successfully compete for a DFC grant.

Eligibility:

Social Service, community organizations, and all domestic applicants are eligible to apply.

Contact Information:

Barbara Orlando

Office of Program Services, Division of Grants Management Substance Abuse and Mental Health Services Administration 1 Choke Cherry Road Room 7-1091 Rockville, Maryland 20857

Phone: (240) 276-1422

E-mail: barbara.orlando@samhsa.hhs.gov

Drug Free Communities (DFC) Support Program

The purpose of this program is to establish and strengthen collaboration to support the efforts of community coalitions working to prevent and reduce substance use among youth. DFC is a collaborative initiative sponsored by the Office of National Drug Control Policy (ONDCP) in partnership with the Substance Abuse and Mental Health Services Administration (SAMHSA) in order to: (1) establish and strengthen collaboration among communities, public and private non-profit agencies, and Federal, State, local, and tribal governments to support the efforts of community coalitions to prevent and reduce substance use among youth; (2) encourage citizen participation in substance abuse reduction efforts; and (3) disseminate information about effective programs.

Eligibility:

- Non-profit organizations
- Faith-based and community based organizations

Contact Information:

Barbara Orlando

1 Choke Cherry Road Room 7-1091 Rockville, Maryland 20857

Phone: (240) 276-1422

E-mail: barbara.orlando@samhsa.hhs.gov

Website: <http://www.grants.gov/web/grants/view-opportunity.html?oppId=51199>

Family Centered Substance Abuse Treatment Grants for Adolescents and their Families

The purpose of this program is to provide substance abuse services to adolescents, their families/primary caregivers and older transition age youth and where appropriate, any significant others/mentors or other appropriate adults. Grantees will implement evidence-based practices, specifically the Adolescent Community Reinforcement Approach (A-CRA) coupled with Assertive Continuing Care (ACC), that are context specific focusing on the interaction between youth and their environments, family centered and community-based.

Eligibility:

- Social service and community organizations are eligible to apply.
- Eligible applicants are domestic public and private nonprofit entities. For example, State and local governments, federally recognized American Indian/Alaska Native Tribes and tribal organizations, urban Indian organizations, public or private universities and colleges; and community-based organizations may apply.
- Tribal organization means the recognized body of any AI/AN Tribe; any legally established organization of American Indians/Alaska Natives which is controlled, sanctioned, or chartered by such governing body or which is democratically elected by the adult members of the Indian community to be served by such organization and which includes the maximum participation of American Indians/Alaska Natives in all phases of its activities.
- Consortia of tribal organizations are eligible to apply, but each participating entity must indicate its approval. The statutory authority for this program prohibits grants to for-profit agencies. State applicants must propose to implement a project at a single site within the State and must have a selected site at the time of application.

Contact Information:

Kathleen Sample

Office of Program Services, Division of Grants Management Substance Abuse and Mental Health
Services Administration 1 Choke Cherry Road Room 7-1089 Rockville, Maryland 20857

Phone: (240) 276-1407

E-mail: kathleen.sample@samhsa.hhs.gov

Grants to Expand Substance Abuse Treatment Capacity in Targeted Areas of Need-Local Recovery-Oriented Systems of Care

This program is designed to foster the development and utilization of local recovery-oriented systems of care to address gaps in treatment capacity by supporting person-centered and self-directed approaches for substance abuse (including alcohol and drug) treatment and recovery services in communities with serious drug problems.

Additional Information:

The purpose of this program is to expand the community's ability to provide integrated and comprehensive community-based responses to a targeted, well-documented substance abuse treatment capacity problem and/or improve the quality and intensity of services. The focus is on providing support for local organizations, including grass-roots, social services and community-based treatment programs, and recovery community organizations, that can link services critical to the population of focus and deliver them in a manner consistent with the principles of recovery-oriented systems of care.

Eligibility:

- Social service and community organizations are eligible to apply.
- Eligible applicants are domestic public and private nonprofit entities. For example, State and local governments, federally recognized American Indian/Alaska Native Tribes and tribal organizations, urban Indian organizations, public or private universities and colleges; and community and social service organizations may apply.
- Funding is not designed to meet statewide treatment needs, but to meet the needs of individual communities in cities, towns, counties, and multi-county partnerships.
- Those who apply must identify a specific city, town, county or multi-county partnership that will be the targeted geographic area of need.

Contact Information:

Kathleen Sample

Office of Program Services, Division of Grants Management Substance Abuse and Mental Health
Services Administration 1 Choke Cherry Road Room 7-1089 Rockville, Maryland 20857

Phone: (240) 276-1407

E-mail: kathleen.sample@samhsa.hhs.gov

Ryan White Part D: Coordinated HIV Services and Access to Research for Women, Infant, Children, and Youth

The purpose of the Part D funding is to provide family centered care involving outpatient or ambulatory care (directly or through contracts) for women, infants, children, and youth with HIV/AIDS. This means all Part D grantees are expected to directly provide or coordinate for the provision of primary medical care to the clients they serve. The projects are expected to conduct case finding in order to bring infected people into care. Once in care, specific efforts must be made to retain individuals in care. Grantees are expected to provide care, treatment, and support services or create a network of medical and social service providers, who collaborate to supply services.

Eligibility:

- Faith-based and community organizations
- State, county, city, and township governments
- Educational organizations/institutions
- Organizations with IRS designation 501(c)(3)

Contact Information:

Hanna Endale

5600 Fishers Lane, Room 7A-21 Rockville, MD 20857

Phone: (301) 443-1326

E-mail: hendale@hrsa.gov

Website: <http://www.hrsa.gov/grants/index.html>

Section III: At-Risk Youth Program Funding – U. S. Department of Justice (DOJ)

Community Policing Development

Community Policing Development (CPD) funds are used to advance the practice of community policing in law enforcement agencies through training and technical assistance, the development of innovative community policing strategies, applied research, guidebooks, and best practices that are national in scope.

Eligibility:

- Faith-based and community organizations
- Non-profit institutions
- Institutions of higher education

Contact Information:

1100 Vermont Avenue NW Washington, D.C. 20005

Phone: (800) 421-6770

E-mail: Askcopsrc@usdoj.gov

Website: <http://www.cops.usdoj.gov/Default.asp?Item=2450>

Community Prevention Program

The Community Prevention Grants Program is a Federal grants program to fund collaborative, community-based delinquency prevention efforts. It integrates six fundamental principles—comprehensive and multidisciplinary approaches, research foundation for planning, community control and decision-making, leveraging of resources and systems, evaluation to monitor program progress and effectiveness, and a long-term perspective that combine to form a strategic approach to reducing juvenile delinquency.

Additional Information:

The program provides communities with funding and a guiding framework for developing and implementing comprehensive juvenile delinquency prevention plans. The 3-year prevention plans are designed to reduce risk factors associated with juvenile delinquency and decrease the incidence of juvenile problem behavior.

Eligibility:

Units of local government (city, county, township, or other political subdivision) can apply to their states, which award Community Prevention Grants Program funds through a competitive process. Programs may be funded in 12-month increments for up to 3 years.

Contact Information:

Elizabeth Wolfe
810 Seventh Street, NW. Washington, DC 20531
Phone: 202-514-0582
Fax: 202-307-2819
E-mail: elizabeth.wolfe@usdoj.gov

Enforcing Underage Drinking Laws Program

The EUDL Discretionary Program supports and enhances states' and local jurisdictions' efforts to prohibit the sale of alcoholic beverages to minors and the purchase and consumption of alcoholic beverages by minors. (For the purpose of this solicitation, "minors" are defined as individuals under the age of 21.)

Additional Information:

Activities under the EUDL Program may include statewide task forces of state and local law enforcement and prosecutorial agencies to target establishments suspected of a pattern of violations of state laws governing the sale and consumption of alcohol by minors. It will also include public advertising programs to educate establishments about statutory prohibitions and sanctions and innovative programs to prevent and combat underage drinking.

Eligibility:

To receive a Block Grant, Governors and the Mayor of the District of Columbia designated an agency to serve as the point of contact to apply for, receive, and administer the targeted grant funds. The designated State agencies may apply to receive a Discretionary Grant.

Contact Information:

Sharon Cantelon/Scott Pestridge
810 Seventh Street, N.W. Washington, District of Columbia 20531
E-mail: Sharie.cantelon@usdoj.gov; Scott.pestridge@usdoj.gov
Phone: (202) 616-3658; (202) 514-5655.

Evaluations of Girls' Delinquency Program

Juvenile delinquency prevention, intervention, and treatment programs have been customarily designed with boys in mind while little is known about how well girls respond to these interventions. While many states and communities design programs to prevent and treat female delinquency, little is known about whether these programs work as intended.

Additional Information:

OJJDP intends to establish a Girls Delinquency Evaluation Advisory Board to provide guidance to the grantees who receive funding through this solicitation. The grantees should focus on the design, sample sizes to gain a better understanding of a group, measure behaviors and remark on measurements of sustained effects.

Eligibility:

- Applications are welcomed from public agencies, including state agencies, units of local government (including federally-recognized Indian tribal governments as determined by the Secretary of the Interior and published in the Federal Register), public universities and colleges (including tribal institutions of higher education), and private nonprofit and for-profit organizations.
- Joint applications from two or more eligible applicants are welcome; however, one applicant must be clearly indicated as the primary applicant (for correspondence, award, and management purposes) and the others indicated as co-applicants.

Contact Information:

Ms. Barbara Kelley

E-mail: barbara.kelley@usdjoj.gov

Phone: (202) 616 -9517

Juvenile Accountability Incentive

This program has been created to provide States, units of local government, and Indian Tribes as defined by Section 102 of the Federally Recognized Indian Tribe List Act of 1994, with funds to develop programs to strengthen and promote greater accountability in the juvenile justice system.

Additional Information:

The program consists of 17 focus areas that focus on developing, implementing, and administering graduated sanctions for juvenile offenders as well as building, expanding, renovating or operating temporary or permanent juvenile correction, detention, or corrections facilities. The program also looks to hiring juvenile court judges, probation officers, and court-appointed defenders and special advocates, and funding pretrial services including mental health screening and assessment for juvenile offenders, to promote the effective and expeditious administration of the juvenile justice system.

Eligibility:

Each State and territory (except Palau) is eligible to receive an allocation and award of funds for State and units of local government if the Governor certifies, consistent with guidelines established by the AG.

Contact Information:

810 Seventh Street, N.W. Washington, District of Columbia 20531

Phone: (202) 307-5914

Juvenile Mentoring Program

The program aims to provide funding to state, local, community, and national organizations to propose the enhancement or expansion of initiatives that will assist in the development and maturity of community programs to provide mentoring services to high-risk populations that are underserved due to location, shortage of mentors, special physical or mental challenges of the targeted population, or other analogous situations identified by the community in need of mentoring services.

Additional Information:

National Mentoring Programs provides support to national organizations to enhance or expand mentoring initiatives to assist in the development and continued growth of community programs. These programs provide mentoring services to high-risk populations that are underserved due to location, shortage of mentors, special physical or mental challenges of the targeted population, or other related situations identified by the community in need of mentoring services.

Eligibility:

To be eligible for an Award under the Juvenile Mentoring Program, an applicant must respond to legislative requirements contained in Section 261 (a) and (b) of the JJDP Act, as amended as well as specific program guidelines issued by the Office of Juvenile Justice and Delinquency Prevention (OJJDP); Also one must be consistent with the objectives and priorities of OJJDP; provide for proper program administration, evaluation, and fiscal reporting; demonstrate, in the overall quality of the proposal, that the program is technically sound and will achieve the required program objectives at the highest possible level; and respond to clear and documentable needs.

Contact Information:

810 Seventh Street, N.W. Washington, District of Columbia 20531
Phone: (202) 307-5914

Office of Juvenile Justice and Delinquency Prevention (OJJDP) FY 2011 Field Initiated Research and Evaluation Program

OJJDP's Field Initiated Research and Evaluation (FIRE) Program funds research and evaluation that address how the juvenile justice system responds to juvenile delinquency. Research projects funded through this program will inform policy and suggest ways to enhance the juvenile justice system.

Eligibility:

- Faith-based and community organizations
- State, county, and local governments
- Public and state controlled institutions of higher education

Contact Information:

Michael Shader
Phone: (202) 616-2605
E-mail: Michael.shader@usdoj.gov
Website: <http://www.cops.usdoj.gov/Default.asp?Item=2450>

Office of Juvenile Justice and Delinquency Prevention (OJJDP) 2011 Group Mentoring Research and Evaluation Program

OJJDP seeks to expand what is known about nontraditional mentoring programs as a prevention and intervention strategy for juvenile delinquency. While mentoring appears to be a promising intervention for disadvantaged youth, more evaluation work is required to identify the programs and program elements that best bring about desired changes in participating youth. OJJDP seeks to evaluate the effectiveness of select group mentoring programs supported by local Boys and Girls Clubs. The evaluator will work with the Boys and Girls Clubs of America (BGCA) to identify the project's scope and will monitor the implementation of mentoring programs at several sites across the country. The evaluator will conduct process and outcome evaluations to measure the success of the implementation of these programs and their impact on intervention in and reduction of juvenile delinquency.

Eligibility:

- Faith-based and community organizations
- State, county, and local governments
- Public controlled institutions of higher education

Contact Information:

Dennis Mondoro

Phone: (202) 514-3913

E-mail: dennis.mondoro@usdoj.gov

Website: <http://ojjdp.ncjrs.gov/grants/solicitations/FY2010/GroupMentor.pdf>

Office of Juvenile Justice and Delinquency Prevention (OJJDP) 2011 Mentoring for Youth With Disabilities Initiative

OJJDP invites community mentoring partnerships or collaborative groups that target youth with disability-related challenges that heighten their risk for delinquency to apply. This initiative builds upon the foundation of evidence-based practices for effective mentoring and encourages communities to implement and enhance mentoring programs for youth with disabilities.

Eligibility:

- Faith-based and community organizations
- State, county, and local governments
- Public controlled institutions of higher education

Contact Information:

Dennis Mondoro

Phone: (202) 514-3913

Website: <http://www.ojjdp.gov/grants/solicitations/FY2011/MentoringDisabilities.pdf>

Office of Juvenile Justice and Delinquency Prevention (OJJDP) FY 2011 National Girls Institute

OJJDP seeks to establish a National Girls Institute (NGI) that will advance the understanding and application of promising and evidence-based prevention, intervention, treatment, and aftercare programs and services for delinquent and at-risk girls. NGI will accomplish its mission through a broad range of activities, including training and technical assistance; information dissemination; collaboration with researchers and program developers; partnership with Federal, State, and local agencies; policy development; and other leadership functions.

Eligibility:

- Faith-based and community organizations
- State governments
- County governments
- Public and state controlled institutions of higher learning

Contact Information:

Cecelia Duquela-Fuentes

Phone: (202) 514-9372

E-mail: Cecelia.duquela@usdoj.gov

Website: <http://www.ojjdp.gov/enews/newsletter.html>

Section IV: Developmental Research – National Institute Health (NIH)

Addressing the Mental Health Needs of Returning Combat Veterans in the Community (R01)

This Funding Opportunity Announcement (FOA), issued by the National Institute of Mental Health (NIMH), solicits applications to study the impact of existing national, state, and/or local community-based programs addressing the adjustment and mental health needs of recent combat veterans. This includes returning National Guard, Army Reserve, and newly separated active duty personnel.

Eligibility:

- Social services and community organizations are eligible to apply.
- Eligible applicants are domestic public and private nonprofit entities. For example, State and local governments, federally recognized American Indian/Alaska Native Tribes and tribal organizations, urban Indian organizations, public or private universities and colleges; and community-based organizations may apply.
- Consortia of tribal organizations are eligible to apply, but each participating entity must indicate its approval. The statutory authority for this program prohibits grants to for-profit agencies.
- Funding is not designed to meet statewide treatment needs, but to meet the needs of individual communities in cities, towns, counties, and multi-county partnerships.
- States that apply must identify a specific city, town, county or multi-county partnership that will be the targeted geographic area of need.

Contact Information:

Joy R. Knipple

Division of Extramural Activities National Institute of Mental Health 6001 Executive Boulevard, Room 6131 MSC 9605 Bethesda, MD 20892-9605

Phone: (301) 443-8811 , Fax: (301) 443-6885

E-mail: jk173r@nih.gov

Agency for Healthcare Research and Quality (AHRQ) Small Research Grant Programs

This grant supports different types of health services research projects including: 1) pilot and feasibility studies; 2) secondary analysis of existing data; 3) small, self-contained research projects; 4) development of research methodology; 5) and development of new research technology.

Eligibility:

- Faith-based and community organizations
- County governments
- City or township governments
- Private institutions of higher education

Contact Information:

Brian Campbell

Phone: 301- 427-1266

E-mail: GMI@ahrq.hhs.gov

Website: <http://grants.nih.gov/grants/guide/pa-files/PAR-10-168.html>

Building System Capacity for Implementing Evidence-Based Practices in Substance Abuse Treatment and Prevention (R34)

This Funding Opportunity Announcement (FOA) issued by the National Institute on Drug Abuse and the National Institutes of Health, provides resources to facilitate research on the adoption, implementation, and sustainability of evidence-based clinical treatment practices, prevention approaches, and business practices in community-based service delivery settings.

Eligibility:

- Social services and community organizations are eligible to apply.
- Eligible applicants are domestic public and private nonprofit entities. For example, State and local governments, federally recognized American Indian/Alaska Native Tribes and tribal organizations, urban Indian organizations, public or private universities and colleges; and community organizations may apply.
- Consortia of tribal organizations are eligible to apply, but each participating entity must indicate its approval. The statutory authority for this program prohibits grants to for-profit agencies.
- Funding is not designed to meet statewide treatment needs, but to meet the needs of individual communities in cities, towns, counties, and multi-county partnerships.
- States that apply must identify a specific city, town, county or multi-county partnership that will be the targeted geographic area of need.

Contact Information:

Edith Davis

Grants Management Branch National Institute on Drug Abuse 6010 Executive Boulevard, Room 260
Bethesda, MD 20892-8403

Phone: (301) 443-6710, Fax: (301) 594-6849

E-mail: Edavis1@nida.nih.gov

Developmental Behavioral Research Network (DBP)

The purpose of the DBP Research Network is to establish a multi-center scientific and clinical research network that will address health issues for children with the most significant developmental disorders, including children with autism spectrum disorder and other related developmental disabilities. The goals of the network are to: 1) provide an infrastructure to support rapid scientific discovery; 2) conduct ongoing, interactive, and collaborative activities among network members; 3) identify cutting edge research projects and leverage network capacity to compete for grant opportunities from other federal agencies or private foundations; 4) foster research and mentorship opportunities for new investigators; and 5) enrich the field with peer reviewed publications.

Eligibility:

Public and non-profit institutions. Faith-based and community organizations.

Contact Information:

Sue Lin

Phone: (301) 443-2923

E-mail: slin@hrsa.gov

Website: <http://www.hrsa.gov/grants/index.html>

Influence of Religiosity and Spirituality on Health Risk Behaviors in Children and Adolescents (R21)

Research studies that are qualitative, quantitative, and combined methods; that examine the mechanisms, mediators, and moderators by which religious and spiritual beliefs develop and are transmitted across generations; and whether and how these beliefs influence early sexual behaviors and alcohol or other drug use that may facilitate the transmission of HIV in children and adolescents.

Eligibility:

- Social services and community organizations are eligible to apply.
- Eligible applicants are domestic public and private nonprofit entities. For example, State and local governments, federally recognized American Indian/Alaska Native Tribes and tribal organizations, urban Indian organizations, public or private universities and colleges; and community-based organizations may apply.
- Tribal organization means the recognized body of any AI/AN Tribe; any legally established organization of American Indians/Alaska Natives which is controlled, sanctioned, or chartered by such governing body or which is democratically elected by the adult members of the Indian community to be served by such organization .
- Consortia of tribal organizations are eligible to apply, but each participating entity must indicate its approval. The statutory authority for this program prohibits grants to for-profit agencies.
- Funding is not designed to meet statewide treatment needs, but to meet the needs of individual communities in cities, towns, counties, and multi-county partnerships.
- States that apply must identify a specific city, town, county or multi-county partnership that will be the targeted geographic area of need.

Contact Information:

Judy Fox Chief

Grants Management Branch National Institute on Alcohol Abuse and Alcoholism 5635 Fishers Lane,
Room 3023 Bethesda, MD 20892-9304

Phone: (301) 443-4704, Fax: (301) 443-3891

E-mail: jfox@mail.nih.gov

Influence of Religiosity and Spirituality on Health Risk Behaviors in Children and Adolescents (R03)

Research studies that are qualitative, quantitative, and combined methods; that examine the mechanisms, mediators, and moderators by which religious and spiritual beliefs develop and are transmitted across generations; and whether and how these beliefs influence early sexual behaviors and alcohol or other drug use that may facilitate the transmission of HIV in children and adolescents.

Eligibility:

- Social services and community organizations are eligible to apply.
- Eligible applicants are domestic public and private nonprofit entities. For example, State and local governments, federally recognized American Indian/Alaska Native Tribes and tribal organizations, urban Indian organizations, public or private universities and colleges; and community-based organizations may apply.
- Tribal organization means the recognized body of any AI/AN Tribe; any legally established organization of American Indians/Alaska Natives which is controlled, sanctioned, or chartered by such governing body or which is democratically elected by the adult members of the Indian community to be served by such organization and which includes the maximum participation of American Indians/Alaska Natives in all phases of its activities.
- Consortia of tribal organizations are eligible to apply, but each participating entity must indicate its approval. The statutory authority for this program prohibits grants to for-profit agencies.
- Funding is not designed to meet statewide treatment needs, but to meet the needs of individual communities in cities, towns, counties, and multi-county partnerships.
- States that apply must identify a specific city, town, county or multi-county partnership that will be the targeted geographic area of need.

Contact Information:

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Room 3023 Bethesda, MD 20892-9304

Phone: (301) 443-4704 , Fax: (301) 443-3891

E-mail: jfox@mail.nih.gov

Reducing Risk Behaviors by Promoting Positive Youth Development (R01)

Encourage Research Project Grant (R01) applications from institutions/organizations that propose to enhance our understanding of effective positive youth development programs and the mechanisms responsible for positive health and developmental outcomes.

Eligibility:

- Social services and community organizations, Nonprofits with or without 501(c)(3) status are eligible
- Eligible applicants are domestic public and private nonprofit entities. For example, State and local governments, federally recognized American Indian/Alaska Native Tribes and tribal organizations, urban Indian organizations, public or private universities and colleges; and community-based organizations may apply.
- Tribal organization means the recognized body of any AI/AN Tribe; any legally established organization of American Indians/Alaska Natives which is controlled, sanctioned, or chartered by such governing body or which is democratically elected by the adult members of the Indian community to be served by such organization and which includes the maximum participation of American Indians/Alaska Natives in all phases of its activities.
- Consortia of tribal organizations are eligible to apply, but each participating entity must indicate its approval. The statutory authority for this program prohibits grants to for-profit agencies.
- Funding is not designed to meet statewide treatment needs, but to meet the needs of individual communities in cities, towns, counties, and multi-county partnerships.
- States that apply must identify a specific city, town, county or multi-county partnership that will be the targeted geographic area of need.

Contact Information:

Judy Fox Chief
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5635 Fishers Lane, Room 3023 Bethesda, MD 20892-9304
Phone: (301) 443-4704 , Fax: (301) 443-3891
E-mail: jfox@mail.nih.gov

Reducing Risk Behaviors by Promoting Positive Youth Development (R01)

The purpose of this grant is to encourage Research Project Grant (R01) applications from institutions/organizations that propose to enhance our understanding of effective positive youth development programs and the mechanisms responsible for positive health and developmental outcomes.

Eligibility:

- Faith-based and community organizations, Non-profits with or without 501(c)(3) status
- Domestic public and private non-profit entities, State and local governments
- Public or private universities and colleges, community- and faith-based organizations
- Funding is not designed to meet statewide treatment needs, but to meet the needs of individual communities in cities, towns, counties, and multi-county partnerships.

Contact Information:

Judy Fox
5635 Fishers Lane, Room 3023 Bethesda, MD 20892-9304
Phone: (301) 443-4704 , Fax: (301) 443-3891
E-mail: jfox@mail.nih.gov
Website: <http://grants.nih.gov/grants/guide/pa-files/PA-08-241.html>

Reducing Risk Behaviors by Promoting Positive Youth Development (R03)

Encourage Research Project Small (R03) Grant applications from institutions/ organizations that propose to enhance our understanding of effective positive youth development programs and the mechanisms responsible for positive health and developmental outcomes.

Eligibility:

- Social services and community organizations are eligible to apply.
- Nonprofits with or without 501(c)(3) status are eligible to apply.
- Eligible applicants are domestic public and private nonprofit entities. For example, State and local governments, federally recognized American Indian/Alaska Native Tribes and tribal organizations, urban Indian organizations, public or private universities and colleges; and community-based organizations may apply.
- Tribal organization means the recognized body of any AI/AN Tribe; any legally established organization of American Indians/Alaska Natives which is controlled, sanctioned, or chartered by such governing body or which is democratically elected by the adult members of the Indian community to be served by such organization and which includes the maximum participation of American Indians/Alaska Natives in all phases of its activities.
- Consortia of tribal organizations are eligible to apply, but each participating entity must indicate its approval. The statutory authority for this program prohibits grants to for-profit agencies.
- Funding is not designed to meet statewide treatment needs, but to meet the needs of individual communities in cities, towns, counties, and multi-county partnerships.
- States that apply must identify a specific city, town, county or multi-county partnership that will be the targeted geographic area of need.

Contact Information:

Judy Fox Chief

Grants Management Branch National Institute on Alcohol Abuse and Alcoholism

5635 Fishers Lane, Room 3023 Bethesda, MD 20892-9304

Phone: (301) 443-4704

Fax: (301) 443-3891

E-mail: jfox@mail.nih.gov

Reducing Risk Behaviors by Promoting Positive Youth Development (R03)

The purpose of this grant is to encourage Research Project Small (R03) Grant applications from institutions/ organizations that will help to enhance our understanding of effective positive youth development programs and the mechanisms responsible for positive health and developmental outcomes.

Eligibility:

- Faith-based and community organizations
- Non-profits with or without 501(c)(3) status
- Domestic public and private non-profit entities
- State and local governments
- Public or private universities and colleges
- Community and faith-based organizations
- Funding is not designed to meet statewide treatment needs, but to meet the needs of individual communities in cities, towns, counties, and multi-county partnerships.
- States that apply must identify a specific city, town, county or multi-county partnership that will be the targeted geographic area of need.

Contact Information:

Judy Fox Chief

5635 Fishers Lane, Room 3023 Bethesda, MD 20892-9304

Phone: (301) 443-4704

Fax: (301) 443-3891

E-mail: jfox@mail.nih.gov

Website: <http://grants.nih.gov/grants/guide/pa-files/PA-08-242.html>

State/Local Section I: State & Local Block Grants/Funding

Child Care and Development Fund (CCDF)

The Child Care and Development Fund (CCDF) have made available \$5 billion to States, Territories, and Tribes. This program, authorized by the Child Care and Development Block Grant Act, and Section 418 of the Social Security Act, assists low-income families, families receiving temporary public assistance, and those transitioning from public assistance in obtaining child care so they can work or attend training/education.

Eligibility:

Social services and community organizations are eligible to apply

Contact Information:

Office of Child Development and Early Learning

Pennsylvania Departments of Education and Public Welfare

(717) 346-9320

<http://www.dpw.state.pa.us>

Community Services Block Grant (CSBG)

The Community Services Block Grant (CSBG) provides States, the District of Columbia, the Commonwealth of Puerto Rico, U.S. Territories, and Federal and State-recognized Indian Tribes and tribal organizations, Community Action Agencies, migrant and seasonal farm workers or other organizations designated by the States, funds to alleviate the causes and conditions of poverty in communities.

Eligibility:

Social services and community organizations are eligible to apply.

Contact Information:

Ed Geiger

Pennsylvania Department of Community and Economic Development

(717) 720-7407

egeiger@pa.gov

Projects for Assistance in Transition from Homelessness (PATH)

The PATH Program, is a formula grant program that funds the 50 States, District of Columbia, Puerto Rico, and four U.S. Territories to support service delivery to individuals with serious mental illnesses, as well as individuals with co-occurring substance use disorders, who are homeless or at risk of becoming home-less. Substance Abuse and Mental Health Services Administration (SAMHSA) provides technical assistance to states and local providers funded by the PATH program.

Eligibility:

Social services and community organizations are eligible to apply.

Contact Information:

Tison Thomas

M.S.W. Director, PATH Program

path@samhsa.hhs.gov

Substance Abuse Prevention and Treatment Block Grant (SAPT)

Part of the mission of the Center for Substance Abuse Treatment (CSAT) and the Center for Substance Abuse Prevention (CSAP) is to assist States and communities to improve activities and services provided with funds from the Substance Abuse Prevention and Treatment (SAPT) Block Grant.

Eligibility:

Social services and community organizations are eligible to apply.

Contact Information:

Gary Tennis, J.D.

Pennsylvania Department of Drug and Alcohol Programs

gtennis@pa.gov

State Abstinence Education Program

The purpose of the State Abstinence Education Program grants is to enable States to create or augment existing abstinence education programs and, at the option of the State, provide mentoring, counseling, and adult supervision to promote abstinence from sexual activity with a focus on those groups most likely to bear children out-of-wedlock. Social services and community-based organizations can participate in this program by collaborating with States to administer abstinence programs.

Eligibility:

Social services and community organizations are eligible to apply.

Contact Information:

National Clearinghouse on Families & Youth,
(301) 608-8098
ncfy@acf.hhs.gov

Temporary Assistance to Needy Families (TANF)

TANF is designed to help needy families achieve self-sufficiency. To carry out its mission, the TANF Bureau: 1) develops legislative, regulatory, and budgetary proposals; 2) presents operational planning objectives and initiatives related to welfare reform to the Director; 3) oversees the progress of approved activities; 4) provides leadership and coordination for welfare reform within ACF; and 5) provides leadership and linkages with other agencies on welfare reform issues, including agencies within DHHS, relevant agencies across the Federal, State, local, and Tribal governments, and non-governmental organizations at the Federal, State, and local levels.

Eligibility:

Social services and community organizations are eligible to apply.

Contact Information:

Pennsylvania Temporary Assistance for Needy Families
General Information: (800) 692-7462,
Pennsylvania Department of Welfare, (717) 787-1870

ECONOMIC DEVELOPMENT

Section I: Economic Development Administration (EDA) – U. S. Department of Commerce (DOC)

Economic Development Administration

The Economic Development Administration (EDA) was established under the Public Works and Economic Development Act of 1965 (42 U.S.C. § 3121), as amended, to generate jobs, help retain existing jobs, and stimulate industrial and commercial growth in economically distressed areas of the United States. EDA assistance is available to rural and urban areas of the Nation experiencing high unemployment, low income, or other severe economic distress. In fulfilling its mission, EDA is guided by the basic principle that distressed communities must be empowered to develop and implement their own economic development and revitalization strategies. EDA helps distressed communities address problems associated with long-term economic distress, as well as sudden and severe economic dislocations including recovering from the economic impacts of natural disasters, the closure of military installations and other Federal facilities, changing trade patterns, and the depletion of natural resources.

Economic Development Assistance Programs

Grants made under these programs will focus on innovation and regional collaboration to create and retain higher wage and sustainable jobs, leverage the flow of private capital, encourage economic development, and strengthen America's ability to compete in the global marketplace.

Additional Information:

Applications must address national strategic priorities, including technology-led development, support for small- and medium-sized businesses, global competitiveness and innovation, economic dislocation due to auto industry restructuring or natural disasters, commercializing research, and environmentally sustainable development. Successful applications will also assist economically distressed and underserved communities, demonstrate a good return on EDA investment, demonstrate or support regional collaboration to support the growth of innovation clusters, and employ public-private partnerships to leverage a expertise.

Eligibility:

Eligible applicants for and eligible recipients of EDA investment assistance include: (1) District Organizations; (2) Indian Tribes or a consortium of Indian Tribes; (3) States, cities or other political subdivisions of a State, including special purpose units of a State or local government engaged in economic or infrastructure development activities, or a consortium of political subdivisions; (4) institutions of higher education or a consortium of institutions of higher education; or (5) public or private non-profit organizations or associations acting in cooperation with officials of a political subdivision of a State.

Contact Information:

Calvin Edghill

The Curtis Center-Suite 140 South 601 Walnut Street Philadelphia, PA

Phone: (215) 597-6769

Economic Development Facilities and Public Works

Provides funding for construction of infrastructure in areas that are not attractive to private investment. Most funding is for water and sewer infrastructure but some has been designated for communications projects.

Additional Information:

Public Works and Economic Development investments help support the construction or rehabilitation of essential public infrastructure and facilities necessary to generate or retain private sector jobs and investments, attract private sector capital, and promote regional competitiveness, including investments that expand and upgrade infrastructure to attract new industry, support technology-led development, redevelop Brownfield sites and provide eco-industrial development.

Eligibility:

An Eligible Applicant that is a non-profit organization must include in its application for Investment Assistance a resolution passed by (or a letter signed by) an authorized representative of a general purpose political subdivision of a State, acknowledging that it is acting in cooperation with officials.

Contact Information:

Willie C. Taylor, Regional Director

Phone: (215)597-4603, Fax: (215)597-1063 fax

E-mail: Wtaylor@eda.doc.gov

The Curtis Center, Suite 140 South 601 Walnut Street Philadelphia, PA 19106-3821

Planning and Local Technical Assistance Programs

This program provides grant-based investments under the Planning and Local Technical Assistance Programs. These programs will help communities develop the planning and technical expertise to support communities and regions in their comprehensive, entrepreneurial, and innovation-based economic development efforts. Resulting in increased private investment and higher-skill, higher-wage jobs in areas experiencing substantial and persistent economic distress, these programs are designed to enhance the competitiveness of regions.

Additional Information:

Under this program, EDA provides assistance to eligible recipients to create regional economic development plans in order to stimulate and guide the economic development efforts of a community or region. As part of this program, EDA supports Partnership Planning investments to facilitate the development, implementation, revision, or replacement of comprehensive economic development strategies. Applications are accepted by the EDA on a rolling basis and processed as received.

Eligibility:

Eligible applicants for and eligible recipients of EDA investment assistance include: (1) District Organizations; (2) Indian Tribes or a consortium of Indian Tribes; (3) States, cities or other political subdivisions of a State, including special purpose units of a State or local government engaged in economic or infrastructure development activities, or consortium of political subdivisions; (4) institutions of higher education or a consortium of institutions of higher education; or (5) public or private non-profit organizations or associations acting in cooperation with officials of a political state subdivision.

Contact Information:

Calvin Edghill

The Curtis Center-Suite 140 South, 601 Walnut Street Philadelphia, PA

Phone: (215) 597-6769

Public Works and Economic Adjustment Assistance Programs Opportunity

This program will support construction, non-construction, and revolving loan fund investments under the Public Works and Economic Adjustment Assistance (EAA) programs. Grants made under these programs will leverage regional assets to support the implementation of regional economic development strategies designed to create jobs, leverage private capital, encourage economic development, and strengthen America's ability to compete in the global marketplace.

Additional Information:

Successful applications will assist economically distressed and underserved communities, demonstrate a good return on EDA investment, demonstrate or support regional collaboration to support the growth of innovation clusters, and employ public-private partnerships to leverage a expertise. EDA is not authorized to provide grants directly to individuals or to for-profit entities seeking to start or expand a private business. Such requests may be referred to State or local agencies, or to non-profit economic development organizations serving the region in which the project will be located.

Eligibility:

Eligible applicants for and eligible recipients of EDA investment assistance include: (1) District Organizations; (2) Indian Tribes or a consortium of Indian Tribes; (3) States, cities or other political subdivisions of a State, including special purpose units of a State or local government engaged in economic or infrastructure development activities, or a consortium of political subdivisions; (4) institutions of higher education or a consortium of institutions of higher education; or (5) public or private non-profit organizations or associations cooperating with officials of a political state subdivision .

Contact Information:

Calvin Edghill

The Curtis Center-Suite 140 South 601 Walnut Street Philadelphia, PA

Phone: (215) 597-6769

Section II: Farming & Employment Funding – U. S. Department of Labor (DOL)

Civic Justice Corps

Each year, Juvenile Courts in the United States handle roughly 1.6 million delinquency cases and an estimated 144,000 youth are placed in juvenile correctional facilities. Even worse, many of these young people will return to crime upon release. Civic Justice Corps projects provide young offenders the opportunity to give something back to their communities through community service to make up for past transgressions. Such projects hold promise for reducing the recidivism rate of juvenile offenders by improving their vocational and educational skills and long-term prospects in the labor market and by increasing their attachment to their community and their sense of community responsibility. Each project must have six components; they must have a strong community service component, encourage educational involvement, establish connections to the local community, maintain high staff to participant ratio, have a career development component and provide post-program follow-up.

Eligibility:

Faith-based and community organizations. Non-profit organizations

Contact Information:

Denise Roach

Phone: (202) 693-3820

Website: <http://www.doleta.gov/grants/pdf/SGA-DFA-PY-10-04.pdf>

National Farmworkers Jobs Program

The National Farmworker Jobs Program (NFJP) is a nationally-directed, locally administered program of job training and employment assistance for migrants and seasonal farmworkers (MSFWs) and their dependents. Services include classroom and on-the-job training, as well as some supportive services such as nutrition, health care, child care, and temporary shelter. The services available for assisting MSFWs include Workforce Investment Act (WIA)-defined core, intensive, training, and related assistance services, but the applicant does not have to be a current or previous grantee from the WIA program.

Eligibility:

- Faith-based and community organizations
- Non-profit institutions
- State or local government

Contact Information:

Jeanette Flowers

(202) 693-3046

Website: <http://www.doleta.gov/msfw/html/nfjp.cfm>

National Farmworker Jobs Program (NFJP) Housing Assistance Program

NFJP serves economically disadvantaged persons who primarily depend on employment in agricultural labor performed within the United States and who experience chronic unemployment or underemployment. Housing assistance is a supportive service offered to assist migrant and seasonal farmworkers (MSFWs) to retain employment, or enter into or complete training. Housing assistance under NFJP became available three decades ago as a tool to improve economic outcomes for farmworkers and was included as one of a number of supportive services to assist farmworkers to retain employment or enter into and/or remain in training.

Eligibility:

- Faith-based and community organizations
- Non-profit institutions
- State or local government

Contact Information:

Eileen Banks

Phone: (202) 693-3046

Website: <http://www.doleta.gov/msfw/html/nfjp.cfm>

Reintegration of Ex-Offenders - Adult Program Grants

Released prisoners face many challenges that contribute to their return to criminal activity, leading to re-arrest and re-incarceration. Joblessness among ex-prisoners has been broadly linked to recidivism rates. The Reintegration of Ex-Offenders – Adult (RExO – Adult) program is designed to strengthen the communities to which the majority of ex-offenders return through an employment-centered program that focuses on job opportunities and training in in-demand occupations such as those in “green” industries, incorporates mentoring, and facilitates the connection to supportive services such as housing, substance abuse programs and mental health treatment. Each project must address the issue in three ways; projects must create job opportunities in low-income communities, provide vocational or job training for recently released former inmates and must provide mentoring programming to help ensure that the formerly incarcerated can succeed.

Eligibility:

Faith-based and community organizations, non-profit organizations

Contact Information:

Brinda Ruggles

Phone: (202) 693-3437

Website: <http://www.grants.gov/search/search.do?mode=VIEW&oppId=70153>

Section III: Small Business Innovation Research Program (SBIR) – U. S. Small Business Administration (SBA)

Environmental Protection Agency (EPA) SBIR Program

The Environmental Protection Agency (EPA) is one of 11 federal agencies that participate in the SBIR Program established by the Small Business Innovation Development Act of 1982. The purpose of this Act was to strengthen the role of small businesses in federally funded R&D and help develop a stronger national base for technical innovation.

Additional Information:

EPA issues annual solicitations for Phase I and Phase II research proposals from science and technology-based firms. Under Phase I, the scientific merit and technical feasibility of the proposed concept is investigated. EPA awards firm-fixed-price Phase I contracts of up to \$80,000 for 6 months. Through this phased approach to SBIR funding, EPA can determine whether the research idea, often on high-risk advanced concepts, is technically feasible, whether the firm can do high-quality research, and whether sufficient progress has been made to justify a larger Phase II effort. Phase II contracts are limited to small businesses that have successfully completed their Phase I contracts. The objective of Phase II is to develop and commercialize the Phase I technology. Competitive awards are based on the results of Phase I and the commercialization potential of the Phase II technology. In Phase II, EPA awards contracts of up to \$300,000 for two years. EPA also offers a "Commercialization Option" of up to \$70,000 and one additional year for firms with third party financing for accelerating commercialization.

Eligibility:

In order to be eligible, the company must be at least 51% American-owned and independently operated, be located in the U.S., be a for-profit business with no more than 500 employees, and the principal researcher must be primarily employed with the business throughout the project.

Contact Information:

James Gallup, Ph.D.
1200 Pennsylvania Avenue, NW
Washington, DC 20460

Phone: (202) 343-9703

Fax: (202) 233-0678

E-mail: Gallup.James@epa.gov

Website: <http://www.epa.gov/ncer/sbir/>

National Aeronautics and Space Administration SBIR Program

The NASA SBIR Program provides an opportunity for small, high technology companies and research institutions (RI) to participate in Federal Government sponsored research and development (R&D) efforts in key technology areas. NASA's SBIR Program is a three- phased approach for the small business concern to develop a technology in response to a specific set of NASA mission driven needs as presented in the NASA SBIR Annual Solicitation, which will be posted here:
<http://sbir.gsfc.nasa.gov/SBIR/SBIR.html>

Additional Information:

The SBIR/STTR program provides the small business researcher, or research institution, with a technology or idea, the opportunity to adapt or apply that technology towards a specific subtopic for NASA mission needs, which will be identified in the annual program solicitations. If the idea or technology is recommended by a Center in response to a topic or subtopic need, a Phase I contract will be negotiated. Search the NASA SBIR/STTR Archives Gateway for information on previous awardees, and their innovations. The Archives Gateway is located here: <http://sbir.gsfc.nasa.gov/SBIR/archives.htm>. NASA will not accept unsolicited proposals.

Eligibility:

To be eligible for the SBIR Program, a small business must be independently owned and operated in the United States by U.S. citizens or permanent resident aliens. It must be organized for profit. Including any affiliates, the company can be the employer of no more than 500 people. The Principal Investigator (PI), who is listed in the proposal as the technical manager to lead the proposed research, is considered key to the success of the effort; therefore, a PI's involvement with the project must be substantial. For SBIR, the PI must be primarily employed by the small business at the time of contract award.

Contact Information:

Carl Ray
300 E St. SW - Code - XC
Washington, DC 20546
Phone: (202) 358-4652 , Fax: (202) 358-3878
E-mail: CRAY@HQ.NASA.GOV
Website: <http://sbir.gsfc.nasa.gov/>

National Science Foundation (NSF) SBIR Program

The primary objective of the NSF SBIR Program is to increase the incentive and opportunity for small firms to undertake cutting-edge, high risk, high quality scientific, engineering, or science and engineering education research that would have a high potential economic payoff if the research is successful.

Additional Information:

Under this solicitation, SBIR Phase I proposals may be submitted for funding up to \$150,000. SBIR Phase I projects run for six months. The program expects to make approximately 250 fixed amount awards. Award notification is typically four to six months from the proposal submission deadline date.

Eligibility:

Only firms qualifying as a small business are eligible to participate in the SBIR program. Socially and economically disadvantaged small businesses and women-owned small businesses are particularly encouraged to participate. For an SBIR Phase I proposal, a minimum of two-thirds of the research must be performed by the small business and the balance may be done by a consultant or subcontractor or a combination of the two. Proposals from joint ventures and partnerships are permitted, provided the entity created qualifies as a small business. Proposing firms are also encouraged to take advantage of research expertise and facilities that may be available to them at colleges, universities, national laboratories, and from other research providers. Such collaborations may include research subcontracts, consulting agreements or the employment of faculty as senior personnel and of graduate or undergraduate students as assistants by the small business.

Contact Information:

Gregory T. Baxter

Phone: (703) 292-7795

Website: <http://www.nsf.gov/eng/iip/sbir/>

Small Business Technology Transfer Program (STTR)

STTR is a program that expands funding opportunities in the federal innovation research and development arena. Central to the program is expansion of the public/private sector partnership to include the joint venture opportunities for small business and the nation's premier nonprofit research institutions. STTR's most important role is to foster the innovation necessary to meet the nation's scientific and technological challenges in the 21st century.

Additional Information:

STTR is a highly competitive program that reserves a specific percentage of federal R&D funding for award to small business and nonprofit research institution partners. Small business has long been where innovation and innovators thrive. But the risk and expense of conducting serious R&D efforts can be beyond the means of many small businesses. Conversely, nonprofit research laboratories are instrumental in developing high-tech innovations. But frequently, innovation is confined to the theoretical, not the practical. STTR combines the strengths of both entities by introducing entrepreneurial skills to high-tech research efforts. The technologies and products are transferred from the laboratory to the marketplace. The small business profits from the commercialization, which, in turn, stimulates the U.S. economy.

Each year, the following five federal departments and agencies are required by STTR to reserve a portion of their R&D funds for award to small business/nonprofit research institution partnerships:

- Department of Defense: <http://www.acq.osd.mil/osbp/sbir/solicitations/>
- Department of Energy: <http://www.sc.doe.gov/sbir/>
- Department of Health and Human Services: http://www.hhs.gov/about/smallbusiness/sbir_sttr.html
- National Aeronautics and Space Administration: <http://sbir.nasa.gov/>
- National Science Foundation: <http://www.nsf.gov/eng/iip/sbir/>
- Following submission of proposals, agencies make STTR awards based on small business/nonprofit research institution qualification, degree of innovation, and future market potential. Small businesses that receive awards then begin a three-phase program.
- Phase I is the startup phase. Awards of up to \$100,000 for approximately one year fund the exploration of the scientific, technical, and commercial feasibility of an idea or technology.
- Phase II awards of up to \$750,000, for as long as two years, expand Phase I results. During this period, the R&D work is performed and the developer begins to consider commercial potential. Only Phase I award winners are considered for Phase II.
- Phase III is the period during which Phase II innovation moves from the laboratory into the marketplace. No STTR funds support this phase. The small business must find funding in the private sector or other non-STTR federal agency funding.

Eligibility:

In order to be eligible, the company must be at least 51% American-owned and independently operated, be located in the U.S., be a for-profit business with no more than 500 employees, and the principal researcher must be primarily employed with the business throughout the project. There is no size limit for the partnering nonprofit research institution, but this institution must be located in the U.S. and meet one of the three following definitions: nonprofit college or university, domestic nonprofit research organization, or federal funded R&D center (FFRDC). The following link provides a master list of all federally funded R&D centers: <http://www.nsf.gov/statistics/nsf05306/>

Contact Information:

409 Third Street, SW Washington, DC 20416

Phone: (202) 205-6450

Website: <http://grants.nih.gov/grants/funding/sbir.htm> or

http://archive.sba.gov/aboutsba/sbaprograms/sbir/sbirstir/SBIR_STTR_DESCRIPTION.html

U.S. Department of Agriculture SBIR Program

The Small Business Innovation Research (SBIR) program at the U.S. Department of Agriculture (USDA) makes competitively awarded grants to qualified small businesses to support high quality, advanced concepts research related to important scientific problems and opportunities in agriculture that could lead to significant public benefit if successful.

Additional Information:

The main programs executed by the USDA are Small Business Innovation Research Program, Phases I and II. SBIR Phase I grants are limited to \$100,000 and duration of 8 months and are open to any small business concern that meets the SBIR eligibility requirements. SBIR Phase II grants are limited to \$500,000 and duration of 24 months and are only open to previous Phase I awardees. SBIR program funds are allocated in proportion to the number of proposals received over 10 broad topic areas.

Eligibility:

For Phase I, small businesses and small proprietorships that are in business for profit are eligible to submit applications to this program. Each organization submitting a proposal must qualify as a small business concern for research or research and development purposes. For Phase II, each above requirement must be met in addition to the receipt of a Phase I grant.

Contact Information:

Charles F. Cleland
3252 Waterfront Centre 800 9th St., SW, Washington, DC 20024
Phone: (202) 401-4002
Website: <http://www.sbir.gov/solicitations/1>

U.S. Department of Commerce – National Institute of Standards and Technology SBIR Program

The National Institute of Standards and Technology's (NIST) Small Business Innovation Research (SBIR) program solicits from small businesses scientific and engineering related R&D proposals for contracts that respond to specific technical needs that are specified in the annual solicitation that will be posted here: <http://www.nist.gov/tpo/sbir/>. Only proposals responding to those specific technical needs expressed in the NIST Solicitation will be reviewed and evaluated for possible funding.

Additional Information:

SBIR Programs do not fund projects that have already established a proof-of-concept. The first phase of any SBIR award is a feasibility study, and that phase may not be skipped. The NIST SBIR Program awards contracts as the funding agreement type for its awards. As such, research conducted by small businesses awarded NIST SBIR funds perform R&D in accordance with Federal Acquisition Regulation (FAR) rules and under the supervision of a NIST technical representative that monitors the progress of the R&D. The NIST SBIR Program does not award grants.

Eligibility:

Specific eligibility requirements and evaluation criteria for NIST's SBIR program can be found at the following site: <http://www.nist.gov/tpo/sbir/participation-eligibility-and-evaluation-criteria.cfm>

Contact Information:

100 Bureau Drive, M/S 2200 Gaithersburg, MD 20899-2200
Phone: (301) 975-6691 or (301) 975-4188
E-mail: sbir@nist.gov

U.S. Department of Commerce – National Oceanic and Atmospheric Administration (NOAA) SBIR Program

NOAA's Office of Research and Technology Applications (ORTA) SBIR functions are NOAA-wide. ORTA invites small businesses to submit research proposals that meet the needs of the specifically-defined research areas announced in the annual solicitation. Objectives of this program include stimulating technological innovation in the private sector and strengthening the role of small business in meeting federal research and development (R&D) needs. This program also seeks to increase the commercial application of innovations derived from federal research and to foster and encourage participation by socially and economically disadvantaged and woman-owned small businesses.

Additional Information:

- The NOAA SBIR Program is not a substitute for existing unsolicited proposal mechanisms.
- Unsolicited proposals are not accepted under the SBIR program.
- NOAA's SBIR Program only awards contracts, not grants.

Contact Information:

1335 East West Highway Silver Spring, MD 20910-3284

Phone: (301) 713-3565

E-mail: Joseph.bishop@noaa.gov

Website: <http://www.oar.noaa.gov/orta/>

U.S. Department of Defense (DoD) SBIR Program

The DoD SBIR program, funded at over \$1 billion annually, is made up of 12 participating components: Army, Navy, Air Force, Missile Defense Agency (MDA), Defense Advanced Research Projects Agency (DARPA), Chemical Biological Defense (CBD), Special Operations Command (SOCOM), Defense Threat Reduction Agency (DTRA), National Geospatial-Intelligence Agency (NGA), Defense Logistics Agency (DLA), Defense Microelectronics Activity (DMEA), and Defense Research & Engineering (DDR&E). These programs are intended to fund early-stage research and development at small technology companies.

Additional Information:

The main programs executed by the DOD SBIR are accomplished in two phases. The Small Business Innovation Research program funds early-stage R&D at small technology companies and is designed to stimulate technological innovation, increase private sector commercialization of federal research and development, increase small business participation in federally funded research and development, and foster participation by minority and disadvantaged firms in technology.

Eligibility:

In order to be eligible, a firm must be a U.S. for-profit small business of 500 or fewer employees, work must be performed in the United States, a minimum of 2/3 of the effort must be performed by the proposing firm during Phase I, a minimum of 1/2 of the effort in Phase II, and the Principal Investigator must spend more than 1/2 of the time employed by the proposing firm.

Contact Information:

Christopher S. Rinaldi

Phone: 866-724-7457

Website: <http://www.acq.osd.mil/osbp/sbir/>

U.S. Department of Education SBIR Program

This program funds research and development projects that propose a sound approach to the investigation of an important education or assistive technology, science, or engineering question under topics identified each year in the solicitation. The purpose of the program is to stimulate technological innovation, increase small business participation in federal research and development, foster and encourage participation by minority and disadvantaged persons in technological innovation, and increase private sector commercialization of technology derived from federal research and development.

Additional Information:

Each year, the program funds Phase I feasibility projects for approximately six months. After completion of the Phase I stage, most of these businesses can compete for Phase II awards. Phase II awards can last up to 24 months. The objective of Phase I is to determine the scientific or technical merit and feasibility of the proposed research or research and development (R/R&D) efforts. The Phase I period concentrates on the R/R&D efforts that prove the scientific or technical feasibility of the approach or concept and serves as a prerequisite for further Department of Education support in Phase II. Phase I awards are for periods up to 6 months in amounts up to \$100,000. The objective of Phase II is to continue the R/R&D effort initiated in Phase I with approaches that appear sufficiently promising as a result of Phase I. Phase II awards are for periods up to 2 years in amounts up to \$750,000.

Eligibility:

In order to be eligible, the company must at least be 51% American-owned and independently operated, be located in the U.S., be a for-profit business with no more than 500 employees, and the principal researcher must be primarily employed with the business throughout the project.

Contact Information:

Edward Metz, Ph.D.

555 New Jersey Avenue, NW, Suite 608D Washington, DC 20208

Phone: (202) 208-1983

Website: <http://www2.ed.gov/programs/sbir/index.html>

U.S. Department of Energy (DOE) SBIR Program

Each year (typically around the beginning of October), DOE issues a solicitation inviting small businesses to apply for SBIR Phase I grants. The program contains technical topics in such research areas as energy production, energy use, fundamental energy sciences, environmental management, and nuclear nonproliferation. Grant applications submitted by small businesses must respond to a specific topic and subtopic during an open solicitation.

Additional Information:

The solicitation contains technical topics in such research areas as energy production (fossil, nuclear, renewable, and fusion), energy use (in buildings, vehicles, and industry), fundamental energy sciences (materials, life, environmental, and computational), environmental management, and nuclear nonproliferation. Phase I explores the feasibility of innovative concepts with awards up to \$100,000 for about 9 months. Phase II focuses on the principal R&D effort, with awards up to \$750,000, over a two-year period.

Eligibility:

In order to be eligible, the company must be at least 51% American-owned and independently operated, be located in the U.S., be a for-profit business with no more than 500 employees, and the principal researcher must be primarily employed with the business throughout the project.

Contact Information:

Germantown Building 1000 Independence Ave., SW Washington, DC 20585-1290

Phone: 301-903-5707

Website: <http://science.energy.gov/sbir/>

U.S. Department of Health and Human Services (HHS) SBIR Program

The objectives of the HHS SBIR program, operated through the National Institutes of Health (NIH), include stimulating technological innovation in the private sector, strengthening the role of small business in meeting federal R/R&D needs, increasing private sector commercialization of innovations developed through federal SBIR R&D, increasing small business participation in federal R&D, and fostering and encouraging participation by socially and economically disadvantaged small business concerns and women-owned small business concerns in the SBIR program.

Additional Information:

The solicitation contains technical topics for projects in cancer, alternative medicine, research resources, heart, lung and blood, alcohol abuse, drug abuse, environmental health, disease prevention, and sexually transmitted diseases and infections. The objective of Phase I is to determine the scientific or technical feasibility and commercial merit of the proposed research or R&D efforts and the quality of performance of the small business concern, prior to solicitation for SBIR Contract Proposals Federal support in Phase II. Phase I awards normally may not exceed \$150,000 for direct costs, indirect costs, and profit (fixed fee) for a period normally not to exceed 6 months. The objective of Phase II is to continue the research or R&D efforts initiated in Phase I. Funding shall be based on the results of Phase I and the scientific and technical merit and commercial potential of the Phase II proposal. Phase II awards normally may not exceed \$1,000,000 for direct costs, indirect costs, and profit (fixed fee) for a period normally not to exceed two years.

Eligibility:

In order to be eligible, the company must be at least 51% American-owned and independently operated, be located in the U.S., be a for-profit business with no more than 500 employees, and the principal researcher must be primarily employed with the business throughout the project.

Contact Information:

Dr. Paul Smutz

Phone: (404) 639-4783 Fax: (404) 639-4903

Website: <http://grants.nih.gov/grants/funding/sbir.htm#funding>

U.S. Department of Homeland Security (DHS) SBIR Program

The DHS Science and Technology Directorate (S&T) SBIR Program was initiated in 2004. For the DHS S&T SBIR Program, two solicitations are issued per year. Generally, they will be issued in November and May. Solicitation topics are developed by Program Managers in each of the Science and Technology (S&T) Divisions. The annual solicitations consist of topics that are relevant to the Borders and Maritime Security, Chemical/Biological Defense, Cyber Security, Explosives, Human Factors/Behavioral Sciences, and Infrastructure Protection and Disaster Management Divisions.

Additional Information:

Similar to the R&D programs of the S&T Directorate, the SBIR topics generally address the needs of the seven DHS Operational Units, i.e., U.S. Coast Guard, U.S. Transportation Security Administration, U.S. Customs and Border Protection, Federal Emergency Management Agency, U.S. Citizenship and Immigration Services, U.S. Immigration and Customs Enforcement, and U.S. Secret Service, as well as First Responders. The DHS S&T SBIR Program has several processes in place to accelerate the Phase I and Phase II award process to further satisfy operational requirements and commercial application. Phase I awards are typically made within 90 days of selection. Invited Phase II projects will be reviewed and awards will be made incrementally, as quickly as possible under the Jump Start feature, to maintain the momentum of the Phase I effort. The Phase II proposal invitation process expeditiously identifies those Phase I awardees deserving of Phase II awards.

Eligibility:

Small businesses must be for-profit, independently owned and operated, at least 51 percent owned and controlled by one or more individuals who are citizens of, or permanent resident aliens in, the U.S., with its principal place of business located in the U.S., and employing no more than 500 employees in order to qualify for SBIR assistance. For contracting, the principal investigator involved in the research must be primarily employed by the small business, a minimum of two-thirds of the Phase I work and one-half of the Phase II work must be performed by the proposing firm (i.e. the small business), and all work must be performed in the U.S.

Contact Information:

Elissa 'Lisa' Sobolewski

Phone: (202) 254-6768

E-mail: Elissa.sobolewski@dhs.gov

Website: <http://www.dhs.gov/small-business-innovation-research-sbir-program>

U.S. Department of Transportation (DOT) SBIR Program

The objectives of the SBIR program include stimulating technological innovation in the private sector, strengthening the role of small business in meeting federal R/R&D needs, increasing private sector commercialization of innovations developed through federal SBIR R&D, increasing small business participation in federal R&D, and fostering and encouraging participation by socially and economically disadvantaged small business concerns and women-owned small business concerns in the SBIR program.

Additional Information:

The solicitation topics change yearly, but many are awarded from the Federal Aviation Administration, the Federal Highway Administration, and other major agencies of the Department of Transportation. Phase I provides support for the conduct of feasibility-related experimental or theoretical research or R/R&D efforts on research topics. The dollar value of the proposal may be up to \$150,000 unless otherwise noted and is subject to the availability of funding. The period of performance is generally six months. The objective of Phase II is to continue the research or R&D efforts initiated in Phase I. There is also a Phase IIB, which expands on the findings from Phase II.

Eligibility:

In order to be eligible, the company must be at least 51% American-owned and independently operated, be located in the U.S., be a for-profit business with no more than 500 employees, and the principal researcher must be primarily employed with the business throughout the project. All types of small business organizations may submit proposals, including high technology, R&D, manufacturing, and service firms. Companies with outstanding scientific or engineering competence in highly specialized products, processes or service areas may wish to apply their expertise to the research topics in this solicitation through a laboratory prototype.

Contact Information:

55 Broadway Cambridge, MA 02142-1093

Phone: (617) 494 2051

Fax: (617) 494 2370

Website: <http://www.volpe.dot.gov/work-with-us/small-business-innovation-research>

Section IV: Development & Capital Access Programs – U. S. Small Business Administration (SBA)

New Market Venture Capital

New Market Venture Capital is a program that encourages equity investments in small businesses in low-income areas that meet specific statistical criteria established by regulation. A tax credit is available on a competitive basis.

Contact Information:

Website: <http://www.sba.gov/category/lender-navigation/sba-loan-programs/new-markets-venture-capital-companies>

Service Corps of Retired Executives (SCORE)

The Service Corps of Retired Executives (SCORE) uses over 13,000 volunteers to bring practical experience to start-up small business and to those thinking about starting a new small business. SCORE provides mentoring, workshops.

Contact Information:

Website: <http://www.score.org>

PA Website: <http://www.scorecpa.org/>

Small Business Administration: Special Group Programming

The Small Business Administration has Women's Business Centers (WBCs) in each state. The WBCs provide women with help in all areas of business: finance, marketing, management, production, human resources, etc. The SBA provides grants to cover some of the WBCs' costs.

Additional Information:

Each of the SBA's district offices has a women's business ownership representative to provide women with assistance. A technical support program targeted for economically and socially disadvantaged groups is the Native American Outreach, which provides technical support for American Indians, Alaskan Natives, Native Hawaiians, tribally owned small businesses and businesses located in Native American communities. The SBA provides specialized business development support of veterans, reservists, and National Guard members. It does this through Veterans Business Outreach Centers. Help includes pre-business plan workshops, concept assessments, business plan assistance, training, and mentoring.

Contact Information:

<http://www.sba.gov/>

Small Business Development Centers (SDCS)

SBDCs provide free or low-cost assistance to small businesses using programs customized to local conditions. The SBDC also focuses on projects that advance the job development, investment, and economic growth priorities of Pennsylvania, with an emphasis on manufacturers, exporters and technology-oriented firms.

Additional Information:

SBDCs support small business in marketing and business strategy, finance, technology transfer, government contracting, management, manufacturing, engineering, sales, accounting, exporting, and other topics. SBDCs are funded by grants from the SBA and matching funds. There are more than 1,100 SBDCs with at least one in every state and territory. List of SBDC Centers in Pennsylvania:
<http://www.asbdc-us.org/searchResults.php>

Contact Information:

Phone: (215) 898-1219

Website: <http://www.pasbdc.org>

Small Business Investment Companies (SBICs).

Small Business Investment Companies (SBICs) are privately owned companies that are licensed by the SBA to provide debt and equity capital to small businesses. They can obtain loans from the SBA to supplement their own capital.

Additional Information:

For the SBIC program, a small business is a business with net worth of \$18 million or less and an average after-tax income for the two preceding years of \$6 million or less. There are alternative size standards in some industries. The SBIC sells a debenture to the SBA, which guarantees repayment and creates a pool of these debentures for resale on the secondary market. SBICs can borrow three times their private capital to a maximum of \$113 million.

Contact Information:

Phone: (202) 205-6510

Website: <http://www.sba.gov/about-offices-content/1/2890>

Women's Business Centers

Women's Business Centers (WBCs) represent a national network of nearly 100 educational centers designed to assist women start and grow small businesses. WBCs operate with the mission to "level the playing field" for women entrepreneurs, who still face unique obstacles in the world of business.

Additional Information:

Through the management and technical assistance provided by the WBCs, entrepreneurs (especially women who are economically or socially disadvantaged) are offered comprehensive training and counseling on a variety of topics in many languages to help them start and grow their own businesses.

Contact Information:

For a list of Pennsylvania's WBCs, visit: <http://www.sba.gov/about-offices-content/1/2895/resources/13729#Pennsylvania>

Section V: Loans & Grants – U. S. Small Business Administration

7(a) Loan Program

The 7(a) Loan Program is SBA's primary program to help start-up and existing small businesses obtain financing when they might not be eligible for business loans through normal lending channels. The name comes from section 7(a) of the Small Business Act, which authorizes SBA to provide business loans to American small businesses. SBA itself does not make loans, but rather guarantees a portion of loans made and administered by commercial lending institutions.

Additional Information:

7(a) loans are the most basic and most commonly used type of loans. They are also the most flexible, since financing can be guaranteed for a variety of general business purposes, including working capital, machinery and equipment, furniture and fixtures, land and building (including purchase, renovation and new construction), leasehold improvements, and debt refinancing (under special conditions). All 7(a) loans are provided by lenders who are called participants because they participate with SBA in the 7(a) program. Not all lenders choose to participate, but most American banks do. There are also some non-bank lenders who participate with SBA in the 7(a) program which expands the availability of lenders making loans under SBA guidelines.

Eligibility:

The eligibility requirements are designed to be as broad as possible in order that this lending program can accommodate the most diverse variety of small business financing needs. All businesses that are considered for financing under SBA's 7(a) loan program must: meet SBA size standards, be for-profit, not already have the internal resources (business or personal) to provide the financing, and be able to demonstrate repayment. Eligibility factors for all 7(a) loans include: size, type of business, use of proceeds, and the availability of funds from other sources.

Contact Information:

Small Business Administration

- Philadelphia District Office (610) 382-3062
- Pittsburgh District Office (412) 395-6560

CDC/504 Loan

The CDC/504 loan program is a long-term financing tool for economic development within a community. The 504 Program provides growing businesses with long-term, fixed-rate financing for major fixed assets, such as land and buildings. A Certified Development Company is a nonprofit corporation set up to contribute to the economic development of its community. CDCs work with the SBA and private-sector lenders to provide financing to small businesses. There are over 260 CDCs nationwide, with each covering a specific geographic area.

Additional Information:

Proceeds from 504 loans must be used for fixed asset projects such as: purchasing land and improvements, including existing buildings, grading, street improvements, utilities, parking lots and landscaping; construction of new facilities, or modernizing, renovating or converting existing facilities; or purchasing long-term machinery and equipment. The 504 Program cannot be used for working capital or inventory, consolidating or repaying debt, or refinancing. Interest rates on 504 loans are pegged to an increment above the current market rate for five-year and 10-year U.S. Treasury issues. Maturities of 10 and 20 years are available. Fees total approximately three (3) percent of the debenture and may be financed with the loan.

Eligibility:

To be eligible, the business must be operated for profit and fall within the size standards set by the SBA. Under the 504 Program, the business qualifies as small if it does not have a tangible net worth in excess of \$15 million and does not have an average net income in excess of \$5 million after taxes for the preceding two years. Loans cannot be made to businesses engaged in speculation or investment.

Contact Information:

Website: <http://www.sba.gov/about-offices-list/2>

Phone: 1-800-827-5722

Disaster Loan

If you are in a declared disaster area and are the victim of a disaster, you may be eligible for financial assistance from the U.S. Small Business Administration - even if you don't own a business. As a homeowner, renter and/or personal-property owner, you may apply to the SBA for a loan to help you recover from a disaster.

Additional Information:

Personal Property Loan: This loan can provide a homeowner or renter with up to \$40,000 to help repair or replace personal property, such as clothing, furniture, automobiles, etc., lost in the disaster. As a rule of thumb, personal property is anything that is not considered real estate or a part of the actual structure. This loan may not be used to replace extraordinarily expensive or irreplaceable items, such as antiques, collections, pleasure boats, recreational vehicles, fur coats, etc. **Real Property Loan:** A homeowner may apply for a loan of up to \$200,000 to repair or restore their primary home to its pre-disaster condition. The loan may not be used to upgrade the home or make additions to it. If, however, city or county building codes require structural improvements, the loan may be used to meet these requirements. Loans may be increased by as much as 20 percent to protect the damaged real property from possible future disasters of the same kind.

Contact Information:

Phone: 1-800-827-5722

E-mail: disastercustomerservice@sba.gov

Export Express Loans

The SBA Export Express program provides exporters and lenders a streamlined method to obtain SBA backed financing for loans and lines of credit up to \$500,000. Lenders use their own credit decision process and loan documentation; exporters get access to their funds faster. The SBA provides an expedited eligibility review and provides a response in less than 24 hours.

Additional Information:

SBA Export Express loans are available to businesses that meet the normal requirements for an SBA business loan guaranty. Financing is available for manufacturers, wholesalers, export trading companies and service exporters. Loan applicants must demonstrate that the loan proceeds will enable them to enter a new export market or expand an existing export market. Applicants must have been in business, though not necessarily in exporting, for at least 12 months. The SBA does not establish or subsidize interest rates on loans. Interest rates are negotiated between the borrower and the lender, but may never exceed SBA interest rate caps. Rates can either be fixed or variable, and are tied to the prime rate as published in The Wall Street Journal.

Contact Information:

Philadelphia: Territory: Eastern Pennsylvania

Robert Elsas

601 Walnut Street, Suite 580 West Philadelphia, PA 19106

Telephone: 215-597-6110 Fax: 202-481-5216 Email: Robert.elsas@trade.gov

Cleveland: Territory: Western Pennsylvania

Patrick Hayes 600 Superior Avenue, Suite 700 Cleveland, OH 44114

Telephone: 216-522-4731 Fax: 216-522-2235 Email: Patrick.hayes@sba.gov

Export Transaction Financing

SBA's Export Working Capital Program (EWCP) loans are targeted for businesses that are able to generate export sales and need additional working capital to support these sales. SBA's aim for the EWCP program is to ensure that qualified small business exporters do not lose viable export sales due to a lack of working capital.

Additional Information:

Application is made directly to lenders. Interested businesses are encouraged to contact the SBA staff at a U.S. Export Assistance Centers (USEAC) to discuss whether they are eligible for the EWCP program and whether it is the appropriate tool to meet their export financing needs. The participating lenders review approve the applications and submit the request to the SBA staff at the USEAC location servicing the exporters' geographical territory. The maximum EWCP line of credit/loan amount is \$5 million. Participating banks receive a 90% SBA guaranty. The SBA does not establish or subsidize interest rates on loans. The interest rate can be fixed or variable and is negotiated between the borrower and the participant lender.

Contact Information:

Phone: (800) 827-5722

E-mail: answerdesk@sba.gov

International Trade Loans

The SBA International Trade Loan program is a term loan designed for businesses that plan to start/continue exporting or those that have been adversely affected by competition from imports. The proceeds of the loan must enable the borrower to be in a better position to compete.

Additional Information:

- **Eligible Businesses:** Small businesses that are in a position to expand existing export markets/develop new export markets or small businesses that have been adversely affected by international trade and can demonstrate that the Loan proceeds will improve their competitive position are eligible for International Trade Loans.
- **Loan Amount:** The maximum loan amount is \$5 million and the maximum guaranty limit is \$4 million for an International Trade loan.
- **Interest Rate:** The SBA does not establish or subsidize interest rates on loans. Interest rates are negotiated between the borrower and the lender, subject to SBA caps. Lenders may charge between 2.25 to 2.75 percent above the prime rate (as published in the Wall Street Journal) depending upon the maturity of the loan. Interest rates on loans of \$50,000 and less can be slightly higher.

Contact Information:

Phone: (202) 205-6720

Microloans

The Microloan Program provides small, short-term loans to small business concerns and certain types of not-for-profit child-care centers. The SBA makes funds available to specially designated intermediary lenders, which are nonprofit community-based organizations with experience in lending as well as management and technical assistance. These intermediaries make loans to eligible borrowers. The maximum loan amount is \$50,000, but the average microloan is about \$13,000.

Additional Information:

The maximum term allowed for a microloan is six years. Interest rates vary, depending on the intermediary lender and costs to the intermediary from the U.S. Treasury. Generally, these rates will be between 8 and 13 percent.

Contact Information:

Philadelphia Office Page: <http://www.sba.gov/about-offices-content/2/3141>

Pittsburgh Office Page: <http://www.sba.gov/about-offices-content/2/3142#>

Military Reservists Loan

The purpose of the Military Reservist Economic Injury Disaster Loan program (MREIDL) is to provide funds to eligible small businesses to meet its ordinary and necessary operating expenses that it could have met, but is unable to meet, because an essential employee was "called-up" to active duty in their role as a military reservist. These loans are intended only to provide the amount of working capital needed by a small business to pay its necessary obligations as they mature until operations return to normal after the essential employee is released from active military duty. The purpose of these loans is not to cover lost income or lost profits. MREIDL funds cannot be used to take the place of regular commercial debt, to refinance long-term debt or to expand the business. Federal law requires SBA to determine whether credit in an amount needed to accomplish full recovery is available from non-government sources without creating an undue financial hardship to the applicant. The law calls this credit available elsewhere. Generally, SBA determines that over 90% of disaster loan applicants do not have sufficient financial resources to recover without the assistance of the Federal government. Because the Military Reservist economic injury loans are taxpayer subsidized, Congress intended that applicants with the financial capacity to fund their own recovery should do so and therefore are not eligible for MREIDL assistance.

Additional Information:

The law authorizes loan terms up to a maximum of 30 years. SBA determines the term of each loan in accordance with the borrower's ability to repay. Based on the financial circumstances of each borrower, SBA determines an appropriate installment payment amount, which in turn determines the actual term. Loan Amount Limit - \$2,000,000: The actual amount of each loan, up to this maximum, is limited to the actual economic injury as calculated by SBA, not compensated by business interruption insurance or otherwise, and beyond the ability of the business and/or its owners to provide. If a business is a major source of employment, SBA has authority to waive the \$2,000,000 statutory limit.

Contact Information:

Phone: (800) 827-5722

E-mail: answerdesk@sba.gov

Patriot Express Loan Initiative

The SBA's Patriot Express Pilot Loan Initiative is for veterans and members of the military community who want to establish or expand small businesses. The SBA and its resource partners are focusing additional efforts on counseling and training to augment this loan initiative, making it more accessible and easy to use.

Additional Information:

Patriot Express loans feature the SBA's lowest interest rates for business loans, generally 2.25 percent to 4.75 percent over prime depending upon the size and maturity of the loan.

Eligibility:

Eligible military community members include veterans, service-disabled veterans, active-duty service members eligible for the military's Transition Assistance Program, Reservists and National Guard members, current spouses of any of the above, or a widowed spouse of a service member or veteran who died during service or of a service-connected disability.

Contact Information:

Philadelphia Office Page: <http://www.sba.gov/about-offices-content/2/3141>

Pittsburgh Office Page: <http://www.sba.gov/about-offices-content/2/3142#>

Small Business Innovation Research Awards (SBIR)

SBIR awards are competitive grants to small businesses (500 or fewer employees) to research and develop new ideas for selected government agencies. Government agencies with the largest research budgets fund the SBIR program. The SBA coordinates and oversees the SBIR program but does not provide funding for the awards.

Additional Information:

Phase I is the startup phase. Awards of up to \$100,000 for approximately 6 months support exploration of the technical merit or feasibility of an idea or technology. Phase II awards of up to \$750,000, for as many as 2 years, expand Phase I results. During this time, the R&D work is performed and the developer evaluates commercialization potential. Only Phase I award winners are considered for Phase II. Phase III is the period during which the Phase II innovation moves from the laboratory into the marketplace. No SBIR funds support this phase. The small business must find funding in the private sector or other non-SBIR federal agency funding.'

Contact Information:

<http://www.sbir.gov>

Small Business Technology Transfer (STTR) Awards

The STTR program is similar to the SBIR program, but it requires the small business to work with a nonprofit research institute. The SBA coordinates and oversees the STTR program but does not provide funding for the awards.

Additional Information:

Phase I is the startup phase. Awards of up to \$100,000 for approximately one year fund the exploration of the scientific, technical, and commercial feasibility of an idea or technology. Phase II awards of up to \$750,000, for as long as two years, expand Phase I results. During this period, the R&D work is performed and the developer begins to consider commercial potential. Only Phase I award winners are considered for Phase II. Phase III is the period during which Phase II innovation moves from the laboratory into the marketplace. No STTR funds support this phase. The small business must find funding in the private sector or other non-STTR federal agency funding.

Contact Information:

<http://www.sbir.gov/>

Section VI: Tax Incentives – U. S. Small Business Administration (SBA)

Alternative Minimum Tax Exemption

As a result of the Taxpayer Relief Act of 1997 (P.L. 105-34), certain small corporations have been exempt from the AMT since 1998. If a corporation loses its eligibility, it becomes subject to the AMT in the first tax year when it no longer qualifies for the exemption and in every tax year thereafter, regardless of the amount of its gross receipts.

Additional Information:

There is reason to believe that this exemption gives some eligible small corporations what amounts to a slight competitive advantage over comparable firms paying the AMT. A 1997 study estimated that firms that invested heavily in machinery and equipment and intangible assets like research and development (R&D), financed the bulk of their investments through debt, and paid the AMT for five or more successive years had a higher cost of capital than comparable firms that paid the regular income tax only in the same period. The exemption also provides owners of small firms with an incentive to incorporate, since the taxable income of pass through entities is subject to the individual AMT through the tax returns filed by individual owners.

Eligibility:

Eligibility is determined by a corporation's average annual gross receipts in the previous three tax years. All corporations formed after 1998 are exempt from the AMT in their first year with taxable income, regardless of the size of their gross receipts. They remain exempt as long as their average annual gross receipts do not exceed \$5 million in their first three tax years, and as long as their average annual gross receipts do not exceed \$7.5 million in each succeeding three-year period (e.g., 1999-2001, 2000-2002, etc.).

Contact Information:

[http://www.irs.gov/Businesses/Small-Businesses-&Self-Employed/Alternative-Minimum-Tax-\(AMT\)-Assistant-for-Individuals](http://www.irs.gov/Businesses/Small-Businesses-&Self-Employed/Alternative-Minimum-Tax-(AMT)-Assistant-for-Individuals)

Amortization of Business Start-Up Costs

Under IRC Section 195 (as amended by P.L. 108-357), business taxpayers who incur business start-up and organizational costs after October 22, 2004, are allowed to deduct up to \$5,000 of those costs in the year when the new trade or business begins. This maximum deduction is reduced (but not below zero) by the amount by which eligible expenditures exceed \$50,000.

Additional Information:

Business taxpayers who incurred or paid business start-up and organizational costs and then entered a trade or business on or before October 22, 2004, could amortize (or deduct in equal annual amounts) those expenditures over not less than five years, beginning in the month when the new trade or business commenced.

Eligibility:

To qualify for the current deduction, the start-up and organizational costs must meet two requirements. They must be paid or incurred as part of an investigation into creating or acquiring an active trade or business, as part of starting a new trade or business, or as part of an activity done to produce income or profit before starting a trade or business with the aim of converting the activity into an active trade or business. Any eligible expenditure that cannot be deducted may be amortized over 15 years, beginning in the month when the new trade or business begins to earn income. In order to claim the \$5,000 deduction, a taxpayer must have an equity interest in the new trade or business and actively participate in its management.

Contact Information:

<http://www.irs.gov/publications/p535/ch08.html>

Losses on Small Business Investment Company Stock Treated as Ordinary Losses without Limitation

Under IRC Section 1242, individuals who invest in small business investment companies (SBICs) are permitted to deduct from ordinary income all losses from the sale or exchange or worthlessness of stock in these companies. This treatment is intended to foster equity investment in these companies by lowering the after-tax loss on an investment in an SBIC, relative to after-tax losses on similar investments.

Additional Information:

SBICs are private regulated investment corporations that are licensed under the Small Business Investment Act of 1958 to provide equity capital, long-term loans, and managerial guidance to firms with a net worth of less than \$18 million and less than \$6 million in average net income over the previous two years. They use their own capital and funds borrowed at favorable rates through SBA loan guarantees to make equity and debt investments in qualified firms. For tax purposes, most SBICs are treated as C corporations. There are no known estimates of the revenue loss associated with this small business tax benefit.

Net Operating Losses

A firm incurs a net operating loss (NOL) for tax purposes when its deductions exceed its gross income. As a result, it has no income tax liability in an NOL year. An NOL may be used to obtain a refund of taxes paid in previous years or to reduce or offset future tax liabilities. Under IRC Section 172(b), a business taxpayer is permitted to carry an NOL back to each of the two tax years preceding the NOL year and forward to each of the 20 tax years following that year.

Additional Information:

A provision of the American Recovery and Reinvestment Act of 2009 extended the carry back period for NOLs to five years for eligible firms that incurred an NOL in 2008. Only firms with average annual gross receipts of \$15 million or less in the NOL year and the two previous tax years may take advantage of the extension. The provision is intended to bolster the cash flow of small firms that have experienced a significant loss of revenue in the current recession.

Contact Information:

[http://www.irs.gov/uac/Publication-536,-Net-Operating-Losses-\(NOLs\)-for-Individuals,-Estates,-and-Trusts](http://www.irs.gov/uac/Publication-536,-Net-Operating-Losses-(NOLs)-for-Individuals,-Estates,-and-Trusts)

Ordinary Income Treatment of Losses on Sales of Small Business Stock

IRC Section 1244 allows taxpayers to deduct any loss from the sale, exchange, or worthlessness of qualified small business stock as an ordinary loss, rather than a capital loss. For business taxpayers, ordinary losses are treated as business losses in computing a net operating loss.

Additional Information:

- To qualify for this treatment, the stock must meet four requirements. First, it must be issued by a domestic corporation after November 6, 1978.
- Second, the stock must be acquired by an individual investor or a partnership in exchange for money or other property, but not stock or securities.
- Third, the stock must be issued by a small business corporation, which the statute defines as a corporation whose total amount of money and property received as a contribution to capital and paid-in surplus totals less than \$1 million when it issues the stock.
- Finally, during the five tax years before a loss on the stock is recognized, the firm must have derived more than 50% of its gross receipts from sources other than royalties, rents, dividends, interest, annuities, and stock or security transactions. The maximum amount that may be deducted as an ordinary loss in a tax year is \$50,000 (or \$100,000 for a couple filing jointly).

Partial Exclusion of Capital Gains on Certain Small Business Stock

Two important considerations in determining an individual's income tax liability are the recognition of income as ordinary or capital and the distinction between long-term and short-term capital gains or losses.

Additional Information:

Under IRC Section 1202, non-corporate taxpayers (including partnerships, LLCs, and S corporations) may exclude 50% of any gain from the sale or exchange of qualified small business stock (QSBS) that has been held for over five years. The exclusion rises to 60% if the QSBS has been issued by a qualified corporation based in an empowerment zone. And under a provision of the ARRA, it becomes 75% for QSBS acquired from February 18, 2009, through December 31, 2010, and held for five years. There is a cumulative limit on the gain from stock issued by a single qualified corporation that may be excluded: in a single tax year, the gain is limited to the greater of 10 times the taxpayer's adjusted basis of all QSBS issued by the firm and sold or exchanged during the year, or \$10 million—reduced by any gains excluded by the taxpayer in previous years. The remaining gain is taxed at a fixed rate of 28%. As a result, the marginal effective tax rate on capital gains from the sale or exchange of QSBS held longer than five years is 14%: 0.5×0.28 . For individuals subject to the AMT, a portion of the excluded gain is treated as an individual AMT preference item, which means that it must be included in the calculation of AMT taxable income. The portion was 42% for QSBS acquired on or before December 31, 2000 and disposed of by May 6, 2003; 28% for QSBS acquired after December 31, 2000 and disposed of by May 6, 2003; and 7% for QSBS acquired after May 6, 2003 and disposed of by December 31, 2008.²⁶

Eligibility:

To qualify for the partial exclusion, small business stock must satisfy certain requirements. First, it must be issued after August 10, 1993 and must be acquired by the taxpayer at its original issue, either directly or through an underwriter, in exchange for money, property, or as compensation for services rendered to the issuing corporation. Second, the stock must be issued by a domestic corporation whose gross assets do not exceed \$50 million before and immediately after the stock is issued. Third, at least 80% of the corporation's assets must be tied to the active conduct of one or more qualified trades or businesses during —substantially all of the requisite five-year holding period.

Contact Information:

<http://www.gpo.gov/fdsys/granule/USCODE-2011-title26/USCODE-2011-title26-subtitleA-chap1-subchapP-partI-sec1202/content-detail.html>

Rollover of Gains into Specialized Small Business Investment Companies

Individual and corporate taxpayers who satisfy certain conditions are allowed to roll over, free of tax, any capital gains on the sale of publicly traded securities. The proceeds from the sale must be used to purchase common stock or partnership interests in specialized small business investment companies (SSBICs) licensed under the Small Business Investment Act of 1958 within 60 days of the sale. SSBICs are similar to SBICs except that SSBICs are required to invest in small firms owned by individuals who are considered socially or economically disadvantaged—mainly members of minority groups.

Additional Information:

If the proceeds from the sale exceed the cost of the SSBIC stock or partnership interest, the excess is recognized as a capital gain and taxed accordingly. The taxpayer's basis in the SSBIC stock or partnership interest is reduced by the amount of any gain from the sale of securities that are rolled over. The maximum gain an individual can roll over in a single tax year is the lesser of \$50,000 or \$500,000 less any gains previously rolled over under this provision. For corporations, the maximum deferral in a tax year is the lesser of \$250,000 or \$1 million less any previously deferred gains.

Simplified Dollar-Value LIFO Accounting Method for Small Firms

LIFO operates on the assumption that the most recently acquired goods are sold before all other goods. Consequently, LIFO assigns the newest unit costs to the cost of goods sold and the oldest unit costs to the ending inventory. The method can be advantageous when the cost of many inventory items is rising, because it yields a lower taxable income and inventory valuation than other methods. There are various ways to apply LIFO. A widely used application is known as the dollar-value method. Under this method, a taxpayer accounts for its inventories on the basis of a pool of dollars rather than specific items.

Additional Information:

IRC Section 474, which was added to the tax code by the Tax Reform Act of 1986, allows eligible small firms to use a simplified dollar-value LIFO method. It differs from the regular dollar-value method in the way in which inventory items are pooled and the technique for estimating the base-year value of the pools. A firm is eligible to use the simplified method if its average annual gross receipts were \$5 million or less in the three previous tax years.

Tax Credit for Cost of Making a Business More Accessible to the Disabled

Under IRC Section 44, an eligible small firm may claim a non-refundable credit for expenses it incurs to make its business more accessible to disabled individuals. The credit is equal to 50% of the amount of eligible expenditures in a tax year over \$250 but not greater than \$10,250. In the case of a partnership, this upper limit applies separately at the partnership level and at the partner or individual level; the same distinction holds in the case of a subchapter S corporation. The disabled access credit is a component of the general business credit under IRC Section 38 and thus subject to its limitations.

Additional Information:

To qualify for the credit, a firm must satisfy one of two requirements: its gross receipts (less any returns and allowances) in the previous tax year totaled no more than \$1 million, or it employed no more than 30 persons on a full-time basis during that year. A worker is considered a full-time employee if he or she works at least 30 hours a week for 20 or more weeks in a calendar year.

Eligibility:

Qualified expenses are defined as the amounts an eligible small firm pays or incurs to bring its business into compliance with the Americans With Disabilities Act of 1990 (ADA). They must be reasonable in amount and necessary in light of legal requirements. Eligible expenses include those related to removing architectural, communication, transportation, or physical barriers to making a business accessible to or usable by disabled individuals; providing interpreters or other effective methods of making materials understandable to hearing-impaired individuals; and supplying qualified readers, taped texts, and other effective methods of making materials understandable to visually impaired individuals.

Tax Credit for Pension Plan Start-Up Costs of Small Firms

Under IRC Section 45E, qualified small firms may claim a non-refundable tax credit for a portion of the start-up costs they incur in setting up new retirement plans for employees. The credit, which was enacted as part of the Economic Growth and Tax Relief Reconciliation Act of 2001, began in 2002 and originally was scheduled to disappear (or —sunset) after 2010. But a provision of the Pension Protection Act of 2006 permanently extended the credit. It is a component of the general business credit and thus subject to its limitations and rules for carryover.

Additional Information:

The credit is equal to 50% of the first \$1,000 in eligible costs incurred in each of the first three years a qualified pension is operative. Eligible costs are defined as the ordinary and necessary expenses incurred in administering the plan and informing employees about the plan's benefits and requirements. Qualified plans consist of new defined benefit plans, defined contribution plans, savings incentive match plans for employees, and simplified employee pension plans.

Eligibility:

The credit gives owners of small firms an incentive to establish pension plans for employees by lowering the after-tax cost of setting up and administering these plans in their first three years. Firms with fewer than 100 employees, each of whom received at least \$5,000 in compensation in the previous year, are eligible to claim the credit.

Uniform Capitalization of Inventory Costs

IRC Section 263A requires business taxpayers engaged in the production of real or tangible property, or in the purchase of real or tangible and intangible property for resale, to capitalize (or include in the estimated value of their inventories) both the direct costs of the property included in inventory and the indirect costs that can be allocated to it. This requirement is known as the uniform capitalization rule and was added to the tax code by the Tax Reform Act of 1986.

Additional Information:

Nonetheless, some small firms are exempt from the uniform capitalization rule. Specifically, it does not apply to tangible or intangible property acquired for resale by a business taxpayer that had average annual gross receipts of \$10 million or less in the three previous tax years.

Section VII: Economic Development Tax Credits – Internal Revenue Service (IRS)

Internal Revenue Service

In addition to collecting tax revenue for the federal government, the Internal Revenue Service also administers tax credits and other programs meant to spur business growth.

Additional Information:

The expenditures of research and development ("R&D") are generally capital expenses. However, you can choose to deduct these expenditures as current business expenses using specific methods.

Contact Information:

<http://www.irs.gov/Businesses/Small-Businesses-&-Self-Employed/Research-and-Development-Manufacturing-Tax-Tips>

Section VIII: Development Opportunities – National Institute of Standards and Technology (NIST)

National Institute of Standards and Technology’s Hollings Manufacturing Extension Partnership (MEP)

The National Institute of Standards and Technology’s Hollings Manufacturing Extension Partnership (MEP) works with small and mid-sized U.S. manufacturers to help them create and retain jobs, increase profits, and save time and money. The nationwide network provides a variety of services, from innovation strategies to process improvements to green manufacturing. MEP also works with partners at the state and federal levels on programs that put manufacturers in position to develop new customers, expand into new markets and create new products.

Additional Information:

MEP field staff has over 1,400 technical experts – located in every state – serving as trusted business advisors, focused on solving manufacturers’ challenges and identifying opportunities for growth. As a program of the U.S. Department of Commerce, MEP offers its clients a wealth of unique and effective resources centered on five critical areas: technology acceleration, supplier development, sustainability, workforce and continuous improvement. Innovation is at the core of what MEP does. Manufacturers that accelerate innovation are far more successful than those who don’t. By placing innovations developed through research at federal laboratories, educational institutions and corporations directly in the hands of U.S. manufacturers, MEP serves an essential role sustaining and growing America’s manufacturing base. The program assists manufacturers to achieving new sales, leading to higher tax receipts and new sustainable jobs in the high paying advanced manufacturing sector. As a public/private partnership, MEP delivers a high return on investment to taxpayers. No other program provides as much bang for the buck. For every one dollar of federal investment, the MEP generates \$32 in new sales growth. This translates into \$3.6 billion in new sales annually. For every \$2,000 of federal investment, MEP creates or retains one manufacturing job.

Contact Information:

<http://ws680.nist.gov/mepmeis/FindYourLocalCenter.aspx>

Section IX: Innovation & Science Funding Opportunities – National Science Foundation (NSF)

Centers for Chemical Innovation (CCI)

The Centers for Chemical Innovation (CCI) Program supports research centers focused on major, long-term fundamental chemical research challenges. CCIs that address these challenges will produce transformative research, lead to innovation, and attract broad scientific and public interest.

Additional Information:

CCIs are agile structures that can respond rapidly to emerging opportunities and make full use of cyber infrastructure to enhance collaborations. CCIs may partner with researchers from industry, government laboratories and international organizations. CCIs integrate research, innovation, education, and informal science communication and include a plan to broaden participation of underrepresented groups. The CCI program is a two-phase program.

Eligibility:

Universities and Colleges - Universities and two- and four-year colleges (including community colleges) accredited in, and having a campus located in the US, acting on behalf of their faculty members. Non-profit, non-academic organizations: Independent museums, observatories, research labs, professional societies and similar organizations in the U.S. associated with educational or research activities.

Contact Information:

Katherine Covert

Phone: (703) 292-4950, Fax: (703) 292-9037

E-mail: kcovert@nsf.gov

Website:

http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=13635&org=NSF&sel_org=NSF&from=fund

Industry/University Cooperative Research Centers Program

The Industry/University Cooperative Research Centers (I/UCRC) program develops long-term partnerships among industry, academia, and government. The centers are catalyzed by a small investment from the National Science Foundation (NSF) and are primarily supported by industry center members, with NSF taking a supporting role in the development and evolution of the center.

Additional Information:

This program helps to establish meaningful partnerships in order to spawn research in the scientific field that can eventually be turned into a commercial product. An I/UCRC contributes to the nation's research infrastructure base and enhances the intellectual capacity of the engineering and science workforce through the integration of research and education with industry.

Eligibility:

Only U.S. academic institutions with graduate research programs may apply. Grantee institutions that have an active single university I/UCRC award are not eligible to apply for another single university center; however, they may apply for a multi-university center.

Contact Information:

Rathindra (Babu) DasGupta

Phone: (703) 292-8353, Fax: (703) 292-9057

E-mail: rdasgupt@nsf.gov

Website:

http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5501&org=NSF&sel_org=XCUT&from=fund

Partnerships for Innovation: Building Innovation Capacity

This program solicitation, Partnerships for Innovation: Building Innovation Capacity (PFI: BIC) starts with an existing sound scientific and/or engineering-based research discovery that can be translated to market-valued solutions through a partnership between academe and small technology-based businesses.

Additional Information:

The funds will provide support to an academic institution to partner with at least two small technology-based businesses that are not in direct competition with each other to carry out early translational-research activities.

The primary aims of the activities of this partnership are three-fold:

- To build the innovation capacity of the individual participants from academe and from business;
- To increase the viability of the small business concerns;
- To develop the next-generation workforce by providing opportunities for students at different levels to effectively learn from, participate in, and be profoundly changed by exposure to the process of building innovation capacity that occurs in BIC projects.

Eligibility:

U.S. universities and two- and four-year colleges (including community and technical colleges) accredited in, and having a campus located in the U.S., acting on behalf of their faculty members. Such organizations also are referred to as academic institutions. The lead (submitting) organization must be an academic institution.

Contact Information:

Sara B. Nerlove

E-mail: snerlove@nsf.gov

Phone: (703) 292-7077

Fax: (703) 292-9057

Website: http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=504708&org=NSF&sel_org=NSF&from=fund

Science and Technology Centers: Integrative Partnerships

The Science and Technology Centers (STC): Integrative Partnerships program supports innovative, potentially transformative, complex research and education projects that require large-scale, long-term awards. STCs conduct world-class research through partnerships among academic institutions, national laboratories, industrial organizations, and/or other public/private entities, and via international collaborations, as appropriate.

Additional Information:

Centers provide a rich environment for encouraging future scientists, engineers, and educators to take risks in pursuing discoveries and new knowledge. STCs foster excellence in education by integrating education and research, and by creating bonds between learning and inquiry so that discovery and creativity fully support the learning process.

These Centers must:

- Support research and education of the highest quality in a Center-based environment;
- Build off of opportunities in science (including the social sciences), education, engineering and/or technology where the complexity of the research agenda requires the advantages of scope, scale, flexibility, duration, equipment, and facilities that a Center can provide;
- Support innovative frontier investigations at the interfaces of disciplines and/or investigations that will lead to fresh approaches within disciplines;
- Engage and develop the nation's intellectual talent, including groups underrepresented in the sciences, mathematics and engineering, in the conduct of research and education activities;
- Promote organizational connections and linkages within and between campuses, schools and/or the world beyond (e.g., state, local, federal agencies, national labs, industry, international collaborations), capitalizing upon cyber-infrastructure to facilitate these linkages;
- Focus on integrative learning and discovery and the preparation of U.S. students for a broad set of career paths; and
- Foster science and/or engineering in service to society, especially with respect to new research areas and promising new instrumentation and technologies.

Eligibility:

Preliminary proposals and invited full proposals may be submitted by U.S. academic institutions that have research and degree-granting education programs in any area of research supported by NSF. The lead institution is expected to develop partnerships or arrangements with other universities/colleges, or other institutions such as national laboratories, research museums, private sector research laboratories, state and local government laboratories, and international collaborations as appropriate to enable the Center to attain its strategic goals.

Contact Information:

Pamela O'Neil

Phone: (703) 292-8040

Website: http://www.nsf.gov/funding/pgm_summ.jsp?pims_id=5541&org=NSF&sel_org=XCUT&from=fund

Section X: Economic Development & Job Opportunities – U.S. Department of Health and Human Services (HHS)

Job Opportunities for Low-Income Individuals (JOLI)

The purpose of the JOLI program is to create new jobs to be filled by low-income individuals. JOLI grantees create jobs through business plans and the provision of technical and/or financial assistance to private employers in the community. The ultimate goal of the JOLI program is economic self-sufficiency for the targeted populations.

Eligibility:

- Faith-based and community organizations
- Non-profit organizations with 501(c)(3) or 501(c)(4) status
- Award Amount:
- Award ceiling at \$317,857 per project

Contact Information:

Rafael J. Elizalde

1515 Wilson Blvd, Suite 100 Arlington, VA 22209

Phone: (800) 281-9519

E-mail: ocsggrants@acf.hhs.gov

Application: <http://www.acf.hhs.gov/grants/open/foa/view/HHS-2010-ACF-OCS-EO-0023>

Community Economic Development Projects

The purpose of the Community Economic Development (CED) discretionary grant fund is to allow Community Development Corporations (CDCs) to provide well-planned, financially viable, and innovative projects to enhance job creation and business development in low-income communities. The grants are part of a broader strategy that addresses financial dependency, chronic unemployment, and community deterioration in urban and rural areas.

Eligibility:

- Faith-based and community organizations
- Private, non-profit CDCs experienced in planning, developing, or managing low-income housing or community development activities
- Award ceiling at \$800,000 per project

Contact Information:

Rafael J. Elizalde

1515 Wilson Blvd, Suite 100 Arlington, VA 22209

Phone: (800) 281-9519

E-mail: ocsggrants@acf.hhs.gov

Website: <http://www.acf.hhs.gov/grants/open/foa/view/HHS-2010-ACF-OCS-EE-0001>

Health Careers Opportunity Program

The goal of the Health Careers Opportunity Program (HCOP) is to assist individuals from disadvantaged backgrounds to undertake education to enter a health profession. The HCOP program works to build diversity in the health fields by providing students from disadvantaged backgrounds the opportunity to develop the skills needed to successfully compete, enter and graduate from health professions schools.

Additional Information:

The legislative purposes from which HCOP funds may be awarded are:

- Identifying, recruiting and selecting individuals from disadvantaged backgrounds for education and training in a health profession;
- Facilitating the entry of such individuals into such a school;
- Providing counseling, mentoring, or other services designed to assist such individuals to successfully complete their education at such a school;
- Providing, for a period prior to the entry of such individuals into the regular course of education at such a school, preliminary education and health research training designed to assist them in successfully completing regular course education at such a school, or referring such individuals to institutions providing preliminary education;
- Publicizing existing sources of financial aid available to students in the education program of such a school or who are undertaking training necessary to qualify them to enroll in such a program;
- Paying scholarships, such as the Secretary of Health and Human Services may determine, for such individuals for any period of health professions education at a health professions school;
- Paying stipends for such individuals for any period of education in student-enhancement programs (other than regular courses), except that such a stipend may not be provided to an individual for more than 12 months;
- Carrying out programs under which such individuals gain experience regarding a career in a field of primary health care through working at facilities of public or private non-profit community-based providers of primary health services; and
- Conducting activities to develop a larger and more competitive applicant pool through partnerships with institutions of higher education, school districts and other community-based entities.

Eligibility:

- Public or private non-profit health or educational entities
- Faith-based and community organizations

Contact Information:

Kyle Peplinski

Phone: (301) 443-7758

E-mail: KPeplinski@hrsa.gov

Website: <http://www.hrsa.gov/grants/default.htm>

EDUCATION

Section I: Disadvantaged-Students Funding Opportunities – U. S. Department of Education (DOE)

Improving Basic Programs Operated By Local Education Agencies (Title I, Part A)

This program provides financial assistance to LEAs and schools with high numbers or high percentages of poor children to help ensure that all children meet challenging state academic standards. Federal funds are currently allocated through four statutory formulas that are based primarily on census poverty estimates and the cost of education in each state, as measured by each state's expenditures per elementary and secondary student.

Additional Information:

Once a state's EFIG allocation is determined, funds are allocated (using a weighted count formula that is similar to Targeted Grants) to LEAs in which the number of poor children is at least 10 and at least 5 percent of the LEA's school-age population. LEAs target the Title I funds they receive to schools with the highest percentages of children from low income families. Unless a participating school is operating a school wide program, the school must focus Title I services on children who are failing, or most at risk of failing, to meet state academic standards. Schools in which poor children make up at least 40 percent of enrollment are eligible to use Title I funds for school wide programs that serve all children in the school. LEAs also must use Title I funds to provide academic enrichment services to eligible children.

Eligibility:

State Education Agencies (SEAs). The U.S. Department of Education allocates all but 1 percent of appropriated funds to local education agencies (LEAs) through participating state education agencies (SEAs). The 1 percent is set aside for the secretary of the interior and the outlying areas (American Samoa, the Commonwealth of the Northern Mariana Islands, Guam, and the U.S. Virgin Islands). The secretary of the interior makes sub-grants to schools operated or funded by the Department of the Interior's Bureau of Indian Education, while the outlying areas receive formula grants.

Contact Information:

Susan Wilhelm
400 Maryland Ave. S.W., Rm 3W202 Washington, DC 20202-6132
Phone: (202) 260-0984, Fax: (202) 260-7764
E-mail: Susan.Wilhelm@ed.gov

Promise Neighborhoods Program

The U.S. Department of Education has launched the Promise Neighborhoods program, which is one of the first federal initiatives to put education at the center of comprehensive efforts to fight poverty in urban and rural areas. This funding will plan for the implementation of cradle-to-career services designed to improve educational outcomes for students in distressed neighborhoods.

Eligibility:

Faith-based and community organizations

Contact Information:

Julius Cotton
400 Maryland Avenue S.W., Room 4W338 Washington, DC 20202-5970
Phone: (202) 245-6140
E-mail: julius.cotton@ed.gov
Website: <http://edocket.access.gpo.gov/2010/pdf/2010-10492.pdf>

Section II: Safe and Drug-Free Schools Programs – U. S. Department of Education (DOE)

Carol M White Physical Education Program

The Carol M. White Physical Education Program provides grants to LEAs and community-based organizations (CBOs) to initiate, expand, or enhance physical education programs, including after-school programs, for students in kindergarten through 12th grade. Grant recipients must implement programs that help students make progress toward meeting state standards.

Eligibility:

Local Education Agencies (LEAs), Other Organizations and/or Agencies. LEAs and community-based organizations may apply.

Contact Information:

Carlette Huntley
550 12th Street, S.W., Rm. 10002 Washington, DC 20202-6450
Phone: (202) 245-7871, Fax: (202) 245-7166
E-mail: Carlette.Huntley@ed.gov

Elementary and Secondary School Counseling Programs

This program provides funding to LEAs to establish or expand elementary and secondary school counseling programs, with special consideration given to applicants that can demonstrate the greatest need for counseling services in the schools to be served.

Additional Information:

Applicants are encouraged to propose the most innovative and promising in the schools to be served to produce the greatest potential for replication and dissemination.

Eligibility:

Local Education Agencies (LEA) who currently do not have an active grant under this program.

Contact Information:

Loretta McDaniel
550 12th St. S.W., Rm. 10080 Washington, DC 20202-6450
Phone: (202) 245-7870, Fax: (202) 485-0041
E-mail: Loretta.McDaniel@ed.gov

Grants To Reduce Alcohol Abuse

This program assists LEAs in the development and implementation of innovative and effective alcohol abuse prevention programs for secondary school students. Up to 25 percent of funding may be reserved for grants to low-income and rural LEAs.

Eligibility:

Local Education Agencies (LEAs) are eligible to receive funding through this program.

Contact Information:

Nicole White

550 12th Street, S.W., Rm. 10002 Washington, DC 20202-6450

Phone: (202) 245-7884, Fax: (202) 485-0041

E-mail: Nicole.White@ed.gov

Readiness and Emergency Management for Schools

This grant program supports efforts by LEAs to create, strengthen, and improve emergency management plans at the district and school-building levels, including training school personnel on emergency management procedures; communicating with parents about emergency plans and procedures; and coordinating with local law enforcement, public safety or emergency management, public health, and mental health agencies and local government.

Eligibility:

Local Education Agencies (LEAs) are eligible to receive funding through this program.

Contact Information:

Sara Strizzi

550 12th Street, S.W., Rm. 10002 Washington, DC 20202-6450

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E-mail: Sara.strizzi@ed.gov

School Dropout Prevention Program

The purpose of the program is to support effective, sustainable, and coordinated dropout prevention and reentry programs in high schools with annual dropout rates that exceed their state average annual dropout rate. Middle schools that have students who continue on to these high schools also are supported.

Eligibility:

Local Education Agencies (LEAs), State Education Agencies (SEAs)

Contact Information:

Theda Zawaiza

400 Maryland Ave., S.W., Rm. 3E122 Washington, DC 20202-6200

Phone: (202) 205-3783, Fax: (202) 260-8969

E-mail: Theda.Zawaiza@ed.gov

Section III: Internationally-Focused Postsecondary Improvement Programs – U. S. Department of Education (DOE)

Business and International Education Program

This program provides funds to institutions of higher education (IHEs) that enter into agreements with trade associations and businesses to improve the academic teaching of the business curriculum and to conduct outreach activities that expand the capacity of the business community to engage in international economic activities.

Eligibility:

Institutions of Higher Education.

Contact Information:

Tanyelle Richardson

1990 K Street, N.W., 6th Floor Washington, DC 20006-8521

E-mail: tanyelle.richardson@ed.gov

Phone: (202) 502-7626

Website: <http://www2.ed.gov/programs/iegpsbie/index.html>

Centers for International Business Education

This program provides funding to schools of business for curriculum development, research, and training on issues of importance to U.S. trade and competitiveness.

Eligibility:

Institutions of Higher Education.

Contact Information:

Susanna Easton

1990 K Street, N.W., 6th Floor Washington, DC 20006-8521

E-mail: susanna.easton@ed.gov

Phone: (202) 502-7628

Website: <http://www2.ed.gov/programs/iegpscibe/index.html>

Comprehensive Program

The program supports and disseminates innovative reform projects that promise to be models for improving the quality of postsecondary education and increasing student access. Awards are made in a number of areas including: postsecondary education access; retention and completion; student preparation for college; cost-effectiveness; and curricula reform.

Eligibility:

- Institutions of Higher Education
- Nonprofit Organizations

Contact Information:

Donald Fischer Coordinator, Comprehensive Program

Phone: 202-502-7508

E-mail: Donald.Fischer@ed.gov

Sarah Beaton Program Officer

Phone: 202-502-7621

E-mail: Sarah.Beaton@ed.gov

Office of Postsecondary Education Fund for the Improvement of Postsecondary Education (FIPSE) 1990
K Street, N.W., 6th Floor Washington, DC 20006-8544

Phone: 202-502-7500, Fax: 202-502-7877

E-mail Address: fipse@ed.gov

Website: <http://www2.ed.gov/programs/fipsecomp/index.html>

European Union – United States Atlantis Program

The program, jointly administered and funded by the Fund for the Improvement of Postsecondary Education (FIPSE) and the European Commission's Directorate General for Education and Culture, provides grants for four to five years to add a European Community-United States dimension to international curriculum development and related student exchange.

Eligibility:

- Institutions of Higher Education
- Nonprofit Organizations

Contact Information:

Frank Frankfort, Ph.D. Coordinator, EU-U.S. Atlantis Program

Phone: 202-502-7513

E-mail: Frank.Frankfort@ed.gov

Beverly Baker, Program Assistant

E-mail: Beverly.Baker@ed.gov

OPE Fund for the Improvement of Postsecondary Education (FIPSE) 1990 K Street, N.W., 6th Floor
Washington DC 20006-8544

Phone: 202-502-7500

E-mail: fipse@ed.gov

Website: <http://www2.ed.gov/programs/fipseec/index.html>

Foreign Language and Area Studies Fellowship Program

The Foreign Language and Area Studies (FLAS) Fellowships program provides allocations of academic year and summer fellowships to institutions of higher education or consortia of institutions of higher education to assist meritorious undergraduate students and graduate students undergoing training in modern foreign languages and related area or international studies. Eligible students apply for fellowships directly to an institution that has received an allocation of fellowships from the U.S. Department of Education.

Eligibility:

Institutions of higher education and consortia of institutions of higher education submit applications to the U.S. Department of Education to request FLAS grants.

Contact Information:

1990 K Street, N.W., 6th Floor Washington, DC 20006-8521

E-mail: OPE_NRC-FLAS@ed.gov

Phone: (202) 502-7700 , Fax: (202) 502-7680

Website: <http://www2.ed.gov/programs/iegpsflasf/index.html>

Institute for International Public Policy

The program provides a single grant to assist a consortium of colleges and universities to establish an institute designed to increase the representation of minorities in international services, including private international voluntary organizations and the U.S. Foreign Service.

Eligibility:

Consortia consisting of one or more of the following entities:

- An institution eligible for assistance under Part B of the Title III of the Higher Education Act of 1965, as amended (HEA).
- An institution of higher education that serves substantial numbers of African-Americans or other underrepresented minority students.
- Institutions with programs to train foreign service professionals are eligible to apply for a grant of up to five years duration to establish an Institute of International Public Policy.

Contact Information:

Tanyelle Richardson

1990 K Street, N.W., 6th Floor Washington, DC 20006-8521

E-mail: tanyelle.richardson@ed.gov

Phone: (202) 502-7626

Website: <http://www2.ed.gov/programs/iegpsiipp/index.html>

International Research and Studies Program

This program supports surveys, studies, and development of instructional materials to improve and strengthen instruction in modern foreign languages, area studies, and other international fields.

Eligibility:

- Institutions of Higher Education
- Individuals
- Local Education Agencies
- Nonprofit Agencies
- State Education Agencies

Contact Information:

Beth D MacRae

1990 K Street, N.W., 6th floor Washington, DC 20006-8521

E-mail: beth.macrae@ed.gov

Phone: (202) 502-7596

Website: <http://www2.ed.gov/programs/iegpsirs/index.html>

National Resource Centers Program

The program provides grants to establish, strengthen, and operate language and area or international studies centers that will be national resources for teaching any modern foreign language. Grants support: instruction in fields needed to provide full understanding of areas, regions or countries; research and training in international studies; work in the language aspects of professional and other fields of study; and instruction and research on issues in world affairs.

Eligibility:

Institutes of Higher Education

Contact Information:

OPE Higher Education Programs International Education Programs Service National Resource Centers

Program 1990 K Street, N.W., 6th Floor Washington, DC 20006-8521

E-mail: OPE_NRC-FLAS@ed.gov

Phone: (202) 502-7700 , Fax: (202) 502-7860

Website: <http://www2.ed.gov/programs/iegpsnrc/index.html>

Pilot Program for Course Material Rental

Supports pilot programs that expand the services of bookstores so as to provide the option for students to rent college course materials. The program objective is to achieve savings for students by renting course materials--including text books--instead of purchasing such materials during the course of their study on a college campus.

Eligibility:

Institutions of Higher Education or combination of institutions

Contact Information:

Krish Mathur Program Coordinator/Program Officer

Phone: 202-502-7512, Fax: 202-502-7877

E-mail: krish.mathur@ed.gov

Pilot Program for Course Material Rental 1990 K Street, N.W., Room 6155 Washington, DC 20006-8544

E-mail: fipse@ed.gov

Website: <http://www2.ed.gov/programs/ppcmr/index.html>

Technological Innovation and Cooperation for Foreign Information Access

This program provides grants to develop innovative techniques or programs that address national teaching and research needs in international education and foreign languages by using technologies to access, collect, organize, preserve, and widely disseminate information on world regions and countries other than the United States.

Eligibility:

Institutions of Higher Education. Public or nonprofit private libraries

Contact Information:

Susanna Easton, Senior Program Officer

Phone: (202) 502-7628

E-mail: susanna.easton@ed.gov

Website: <http://www2.ed.gov/programs/iegpsticfia/index.html>

Undergraduate International Studies and Foreign Language Program

This program provides funds to plan, develop, and carry out programs to strengthen and improve undergraduate instruction in international studies and foreign languages.

Eligibility:

- Institutions of Higher Education
- Public and Private Nonprofit agencies
- Professional and Scholarly Associations

Contact Information:

Christine M. Corey

1990 K St., N.W., Suite 6069 Washington, DC 20006-8521

E-mail: christine.corey@ed.gov

Phone: (202) 502-7629

Website: <http://www2.ed.gov/programs/iegpsugisf/index.html>

United States – Russia Program

Provides grants that demonstrate partnerships between Russian and American institutions of higher education that contribute to the development and promotion of educational opportunities between the two nations, particularly in the areas of mutual foreign language learning and the cooperative study in all subject areas.

Eligibility:

- Institutions of Higher Education
- Nonprofit Organizations

Contact Information:

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1990 K Street, N.W., Room 6155 Washington, DC 20006-8544
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E-mail: Krish.Mathur@ed.gov
Website: <http://www2.ed.gov/programs/fipserussia/index.html>

Section IV: Teacher and Student Development Programs – U. S. Department of Education (DOE)

21st Century Community Learning Centers

This Program supports community learning centers that provide academic enrichment opportunities during non-school hours for children, particularly students who attend high-poverty and low-performing schools.

Additional Information:

The programs intends to help students meet state and local performance standards in core academic subjects, such as reading and math; offers students at broad array of enrichment activities to complement their regular academic programs; and offers literacy and other educational services to the families of participating children.

Eligibility:

State Education Agencies (SEAs), Local education agencies (LEAs), Community-based organizations (CBOs), and public and private entities may apply to states for sub-grants.

Contact Information:

Peter Eldridge U.S. Department of Education, OESE 21st-Century Community Learning Centers
400 Maryland Ave. S.W., Rm. 3E247 Washington, DC 20202-6200
Phone: (800) 872-5327
E-mail: Peter.Eldridge@ed.gov

Early Reading First

The program supports the development of early childhood centers of excellence that focus on all areas of development, especially on the early language, cognitive, and pre-reading skills that prepare children for continued school success and that serve particularly children from low-income families.

Eligibility:

Institutions of Higher Learning (IHEs), Local Education Agencies (LEAs), Nonprofit Organizations, and State Education Agencies (SEAs) are eligible to apply.

Contact Information:

Tanielle Chandler
400 Maryland Ave. S.W., Rm. 245 Washington, DC 20202-6200
Phone: (202) 205-9588, Fax: (202) 260-8969
E-Mail: Tanielle.Chandler@ed.gov

Even Start

This program offers grants to states for sub-grants that support local family literacy projects. Local projects integrate early childhood education, adult literacy (adult basic and secondary-level education and instruction for limited English proficient [LEP] individuals), parenting education, and interactive parent and child literacy activities for low-income families with parents who are eligible for services under the Adult Education and Family Literacy Act (AEFLA).

Additional Information:

Five percent of the annual appropriation is set aside for family literacy grants for migratory worker families, the outlying areas, and Indian tribes and tribal organizations. In addition, the U.S. Department of Education must award one grant to a project located in a women's prison; awarding this grant is a onetime, not an annual, requirement. Up to 3 percent is reserved for national evaluation and technical assistance.

Eligibility:

- State Education Agencies (SEAs)
- Awards are made to SEAs. SEAs make competitive sub-grants to partnerships between local education agencies (LEAs) and other public and private nonprofit organizations and agencies.
- Teen parents and their children from birth through age 7 also are eligible. All participating families must be those most in need of program services.

Contact Information:

Mr. Melvin Graham
400 Maryland Avenue S.W., Rm. 3E256 Washington, DC 20202-6200
Phone: (202) 260-8268, Fax: (202) 260-8969
E-mail: Melvin.Graham@ed.gov

Civic Education: Cooperative Civic Education Program and Economic Exchange Program

The purpose of this program is to: develop exemplary curricula and teacher training programs in civics, government, and economic education and make them available to educators from the United States and other eligible countries; assist eligible countries in the adaptation, implementation, and institutionalization of such programs.

Additional Information:

Create and implement civics, government, and economic education programs for students that draw upon the experiences of participating eligible countries; and provide a means for the exchange of ideas and experiences in civics, government, and economic education among political, governmental, private sector, and education leaders of participating eligible countries.

Eligibility:

Organizations in the United States experienced in the development of curricula and programs in civics and government education and economic education for students in elementary schools and secondary schools in countries other than the United States.

Contact Information:

Rita Foy Moss
550 12th St. S.W., Rm. 10006 Washington, DC 20202-6450
Phone: (202) 245-7866, Fax: (202) 485-0013
E-mail: Rita.Foy.Moss@ed.gov

Full Service Community Schools Program

The Fund for the Improvement of Education (FIE), which is authorized by Sec. 5411 of ESEA, supports nationally significant programs to improve the quality of elementary and secondary education at the state and local levels and help all children meet challenging academic content and achievement standards.

Additional Information:

The Full-Service Community Schools program, which is being carried out under FIE, encourages coordination of education, developmental, family, health, and other services through partnerships between: (1) public elementary and secondary schools and (2) CBOs and public-private ventures. Such collaboration will provide comprehensive education, social, and health services for students, families, and communities.

Eligibility:

Eligible applicants shall consist of a consortium of a local educational agency and 1 or more community-based organizations, non-profit organizations, or other public or private entities.

Contact Information:

Jill Staton
400 Maryland Ave. S.W., Rm. 4W245 Washington, DC 20202-5930
Phone: (202) 401-2492, Fax: (202) 205-5630
E-mail: Jill.Staton@ed.gov

Gaining Early Awareness and Readiness for Undergraduate Programs (GEAR UP)

This discretionary grant program is designed to increase the number of low-income students who are prepared to enter and succeed in postsecondary education. GEAR UP provides six-year grants to states and partnerships to provide services at high-poverty middle and high schools. GEAR UP grantees serve an entire cohort of students beginning no later than the seventh grade and follow the cohort through high school. GEAR UP funds are also used to provide college scholarships to low-income students.

Eligibility:

- Institutions of Higher Education
- Local Education Agencies
- State Education Agencies

Contact Information:

James Davis

Phone: 202.502.7802

E-mail: james.davis@ed.gov

1990 K Street, N.W. Washington, DC 20006-8524

E-mail: gearup@ed.gov

Website: <http://www2.ed.gov/programs/gearup/index.html>

Graduate Assistance in Areas of National Need

This program provides fellowships, through academic departments and programs of IHEs, to assist graduate students with excellent records who demonstrate financial need and plan to pursue the highest degree available in their course study at the institution in a field designated as an area of national need.

Eligibility:

Institutions of Higher Education

Contact Information:

Gary Thomas or Rebecca Green

1990 K Street, N.W., 6th Floor Washington, DC 20006-8524

E-mail: ope_gaann_program@ed.gov

Telephone: (202) 502-7767 or (202) 502-7779

Website: <http://www2.ed.gov/programs/gaann/index.html>

Improving Literacy through School Libraries

This program helps LEAs improve reading achievement by providing students with increased access to up-to-date school library materials; well-equipped, technologically advanced school library media centers; and professionally certified school library media specialists.

Eligibility:

Local education agencies (LEAs) in which at least 20 percent of students served are from families with incomes below the poverty line may apply. Outlying areas (American Samoa, Guam, the Northern Mariana Islands, and the U.S. Virgin Islands) and the Bureau of Indian Affairs are eligible for funds under a set-aside.

Contact Information:

Pilla Parker
400 Maryland Ave S.W., Rm. 3E247 Washington, DC 20202-6200
Phone: (202) 260-3710, Fax: (202) 260-8969
E-mail: Pilla.Parker@ed.gov

Improving Teacher Quality State Grants

The purpose of the program is to increase academic achievement by improving teacher and principal quality. This program is carried out by: increasing the number of highly qualified teachers in classrooms; increasing the number of highly qualified principals and assistant principals in schools; and increasing the effectiveness of teachers and principals by holding LEAs and schools accountable for improvements in student academic achievement.

Eligibility:

- State Education Agencies (SEAs).
- Awards are made to SEAs that in turn make formula sub-grants to local education agencies (LEAs).
- State agencies for higher education (SAHEs) also receive a (separate) formula grant. SAHEs in turn award competitive grants to partnerships that must include at least one institution of higher education (IHE) and its division that prepares teachers and principals, and a school of arts and sciences IHE, and a high-need LEA.

Contact Information:

Elizabeth Witt
400 Maryland Ave. S.W., Rm. 3E306 Washington, DC 20202-6200
Phone: 202-260-9737
Fax: 202-260-8969
E-Mail: Elizabeth.Witt@ed.gov

Smaller Learning Communities Program

The Smaller Learning Communities (SLC) program awards discretionary grants to local educational agencies (LEAs) to support the implementation of SLCs and activities to improve student academic achievement in large public high schools with enrollments of 1,000 or more students.

Additional Information:

SLCs include structures such as freshman academies, multi-grade academies organized around career interests or other themes, "houses" in which small groups of students remain together throughout high school, and autonomous schools-within-a-school, as well as personalization strategies, such as student advisories, family advocate systems, and mentoring programs.

Eligibility:

- Local Education Agencies (LEAs).
- LEAs, on behalf of one or more large high schools (that includes grades 11 and 12 and enroll at least 1,000 students in grades 9 and above) may apply.

Contact Information:

Angela Hernandez-Marshall
400 Maryland Ave., SW., Rm 3E308 Washington, DC 20202-6200
Phone: 202-205-1909
Fax: 202-260-8969
E-mail: Angela.Hernandez-Marshall@ed.gov

Small Rural School Achievement

The purpose of this program is to provide financial assistance to rural districts to assist them in meeting their state's definition of adequate yearly progress. Applicants do not compete but rather are entitled funds if they meet basic eligibility requirements.

Eligibility:

- Local Education Agencies (LEAs)
- An LEA is eligible for an award if:
 - The total number of students in average daily attendance (ADA) at all of the schools served by the LEA is fewer than 600, or each county in which at school served by the LEA is located has a total population density of fewer than 10 persons per square mile.
 - All of the schools served by the LEA are designated with a school locale code of 7 or 8 by the U.S. Department of Education's National Center for Education Statistics (NCES), or the secretary of education had determined, based on a demonstration by the LEA and concurrence of the state education agency (SEA), that the LEA is located in a different area defined as rural by a governmental agency of the state.

Contact Information:

Eric Schultz, U.S. Department of Education, OESE
400 Maryland Ave. S.W., Rm. 3W107 Washington, DC 20202-6400
Phone: 202-260-7349
Fax: 202-205-587
E-mail: Eric.Schulz@ed.gov

Teaching American History Grant Program

The program is designed to raise student achievement by improving teachers' knowledge and understanding of and appreciation for traditional U.S. history. Grant awards will assist LEAs, in partnership with entities that have content expertise, to develop, document, evaluate, and disseminate innovative and cohesive models of professional development.

Additional Information:

By helping teachers to develop a deeper understanding and appreciation of U.S. history as a separate subject matter within the core curriculum, these programs will improve instruction and raise student achievement.

Eligibility:

Local Education Agencies (LEAs) must apply in partnership with one or more of the following: institutions of higher education (IHEs), nonprofit history or humanities organizations, libraries, or museums.

Contact Information:

Alex Stein
400 Maryland Ave. S.W., Rm. 4W206 Washington, DC 20202-5960
Phone: (202) 205-9085, Fax: (202) 401-8466
E-mail: Alex.Stein@ed.gov

Teachers for a Competitive Tomorrow

To develop and implement programs to provide integrated courses of study in science, technology, engineering, mathematics, or critical foreign languages, and teacher education, that lead to a baccalaureate degree in science, technology, engineering, mathematics, or a critical foreign language, with concurrent teacher certification. To develop and implement two- or three-year part-time master's degree programs in science, technology, engineering, mathematics, or critical foreign language education for teachers in order to enhance the teachers' content knowledge and pedagogical skills. To develop programs for professionals in science, technology, engineering, mathematics, or critical foreign language education that lead to a master's degree in teaching that results in teacher certification.

Eligibility:

An institution of higher education (IHE), as defined under Section 101A of the Higher Education Act of 1965, as amended, on behalf of a department of science, technology, engineering, mathematics, or a critical foreign language, or on behalf of a department or school with a competency-based degree program that includes teacher certification may receive funding under this program.

Contact Information:

James Davis
Phone: (202) 502-7802
E-mail: james.davis@ed.gov
1990 K Street, N.W., Suite 7000 Washington, DC 20006-8500
Website: <http://www2.ed.gov/programs/tct/index.html>

Section V: Federal TRIO Programs – U. S. Department of Education (DOE)

Ronald E. McNair Post-Baccalaureate Achievement Program

Through a grant competition, funds are awarded to institutions of higher education to prepare eligible participants for doctoral studies through involvement in research and other scholarly activities. Participants are from disadvantaged backgrounds and have demonstrated strong academic potential. Institutions work closely with participants as they complete their undergraduate requirements. Institutions encourage participants to enroll in graduate programs and then track their progress through to the successful completion of advanced degrees. The goal is to increase the attainment of Ph.D. degrees by students from underrepresented segments of society.

Eligibility:

Institutions of Higher Education

Contact Information:

1990 K Street, N.W., Suite 7000 Washington, DC 20006-8510

Website: <http://www2.ed.gov/programs/triomcnair/index.html>

Student Support Services Program

Through a grant competition, funds are awarded to institutions of higher education to provide opportunities for academic development, assist students with basic college requirements, and to motivate students toward the successful completion of their postsecondary education. Student Support Services (SSS) projects also may provide grant aid to current SSS participants who are receiving Federal Pell Grants (# 84.063). The goal of SSS is to increase the college retention and graduation rates of its participants.

Eligibility:

Institutions of Higher Education

Contact Information:

<http://www2.ed.gov/programs/triostudsupp/index.html>

Upward Bound Math-Science

The Upward Bound Math and Science program is designed to strengthen the math and science skills of participating students. The goal of the program is to help students recognize and develop their potential to excel in math and science and to encourage them to pursue postsecondary degrees in math and science, and ultimately careers in the math and science profession.

Eligibility:

- Institutions of Higher Education
- Local Education Agencies, State Education Agencies, nonprofit Organizations.

Contact Information:

Sharon Easterling

1990 K Street, N.W., Suite 7000 Washington, DC 20006-8510

E-mail: sharon.easterling@ed.gov

Phone: (202) 502-7651

Website: <http://www2.ed.gov/programs/triomathsci/index.html>

Upward Bound Program

Upward Bound provides fundamental support to participants in their preparation for college entrance. The program provides opportunities for participants to succeed in their precollege performance and ultimately in their higher education pursuits. Upward Bound serves: high school students from low-income families; and high school students from families in which neither parent holds a bachelor's degree. The goal of Upward Bound is to increase the rate at which participants complete secondary education and enroll in and graduate from institutions of postsecondary education.

Eligibility:

- Institutions of Higher Education
- Local Education Agencies
- Nonprofit Organizations
- State Education Agencies

Contact Information:

Gaby Watts

1990 K Street, N.W., Suite 7000 Washington, DC 20006-8510

E-mail: gaby.watts@ed.gov

Phone: (202) 502-7545

Website: <http://www2.ed.gov/programs/trioupbound/index.html>

Section VI: Institutional Development and Minority-Based Funding – U. S. Department of Education (DOE)

Developing Hispanic-Serving Institutions Program – Title V

The Hispanic-Serving Institutions (HSI) Program provides grants to assist HSIs to expand educational opportunities for, and improve the attainment of, Hispanic students. The HSI Program grants also enable HSIs to expand and enhance their academic offerings, program quality, and institutional stability.

Eligibility:

- Institutions of Higher Education
- Institutions must be designated eligible for the Title V program, and must be defined as an HIS

Contact Information:

Peter Fusscas

Phone: (202) 502-7590

E-mail: peter.fusscas@ed.gov

Maria Carrington

Phone: (202) 502-7548, Fax: (202) 502-7861

E-mail: maria.carrington@ed.gov

OPE Higher Education Programs Institutional Development and Undergraduate Education Service Title V Developing Hispanic-Serving Institutions Program 1990 K Street, N.W., 6th Floor Washington, DC 20006-8512

E-mail: title.five@ed.gov

Website: <http://www2.ed.gov/programs/ideshsi/index.html>

Minority Science and Engineering Improvement Program

This program assists predominantly minority institutions in effecting long-range improvement in science and engineering education programs and increasing the flow of underrepresented ethnic minorities, particularly minority women, into science and engineering careers.

Eligibility:

- Institutions of Higher Education
- Applicants include public and private, nonprofit accredited IHEs with minority enrollments of 50 percent of the total enrollment
- Nonprofit Organizations
- Nonprofit science-oriented organizations; and professional scientific societies if they provide a needed service to a group of eligible minority institutions, including in-service training for project directors, scientists, or engineers from eligible minority institutions.

Contact Information:

Karen W. Johnson, Team Leader

Phone: (202) 502-7642

E-mail: karen.johnson@ed.gov

OPE Higher Education Programs Institutional Development and Undergraduate Education Service
Minority Science and Engineering Improvement Program 1990 K Street, N.W., 6th Floor Washington,
DC 20006-8512

Phone: (202) 502-7777

Fax: (202) 502-7861

E-mail: OPE.MSEIP@ed.gov

Website: <http://www2.ed.gov/programs/idadesmsi/index.html>

Strengthening Historically Black Colleges and Universities Program

This program provides financial assistance to Historically Black Colleges and Universities (HBCUs) to establish or strengthen their physical plants, financial management, academic resources, and endowment-building capacity. Activities may include: student services; educational equipment acquisition; facility renovation and construction; faculty and staff development; the establishment of a program of teacher education designed to qualify students to teach in public schools; the establishment of community outreach programs that will encourage elementary and secondary school students to develop the academic skills and the interest to pursue postsecondary education; the acquisition of real property in connection with the construction, renovation, or addition to or improvement of campus facilities; education or financial information designed to improve the financial literacy and economic literacy of students or the students' families, especially with regard to student indebtedness and student assistance programs under Title IV; and services necessary for the implementation of projects or activities that are described in the grant application and that are approved, in advance, by the Department, except that not more than two percent of the grant amount may be used for this purpose.

Eligibility:

To be eligible to receive a grant under the Strengthening HBCU program, an institution must be designated by the Secretary of the U.S. Department of Education as a Historically Black Institution.

Contact Information:

Karen W. Johnson, Team Leader

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OPE Higher Education Programs Institutional Development and Undergraduate Education Service Title III Part B, Strengthening HBGIs Program 1990 K Street, N.W., 6th Floor Washington, DC 20006-8512
Phone: (202) 502-7777

Fax: (202) 502-7861

E-Mail: OPE_Institutional_Development@ed.gov

Website: <http://www2.ed.gov/programs/iduestitle3b/index.html>

Section VII: Research Funding Institute of Education Sciences – U. S. Department of Education (DOE)

National Research and Development Centers CFDA 84.305C

Under the Education Sciences Reform Act of 2002, the Institute supports national research and development centers (R&D Centers) that are intended to contribute significantly to the solution of education problems in the United States by engaging in research, development, evaluation, and national leadership activities aimed at improving the education system, and ultimately, student achievement.

Additional Information:

Each of the R&D Centers conducts a focused program of education research in its topic area. In addition, each Center conducts supplemental research within its broad topic area and provides national leadership in advancing evidence-based practice and policy within its topic area.

Eligibility:

Institutions of Higher Education

Contact Information:

Dr. David Sweet

E-mail: David.Sweet@ed.gov

Phone: (202) 219-1748

Website: http://ies.ed.gov/funding/ncer_rfas/randd.asp?page=all

Postsecondary Education Research CFDA 84.305A

The Institute intends for the Postsecondary Education research program to contribute to improving access to, persistence in, and completion of postsecondary education.

Additional Information:

This is done by:

- Exploring malleable factors (e.g., programs, practices, policies) that are associated with improving access to, persistence in, or completion of postsecondary education, as well as mediators and moderators of the relations between these factors and student outcomes, for the purpose of identifying potential targets of intervention
- Developing innovative programs, practices, or policies that are intended to improve access to, persistence in, or completion of postsecondary education
- Evaluating the efficacy of programs, practices, or policies that are intended to improve access to, persistence in, or completion of postsecondary education
- Providing evidence on the effectiveness of programs, practices, or policies for improving access to, persistence in, or completion of postsecondary education when they are implemented at scale
- Developing and/or validating assessments of cognitive (e.g., problem-solving, writing) and social cognitive (e.g., communication and interpersonal) skills that are outcomes of postsecondary education

Contact Information:

Dr. David Sweet

E-mail: David.Sweet@ed.gov

Phone: (202) 219-1748

Website: http://ies.ed.gov/funding/ncer_rfas/postsec.asp?rfa=part0

Section VIII: School Improvement – U. S. Department of Education (DOE)

Impact Aid Section 8007 Discretionary Construction Grant Program

The Impact Aid Discretionary Construction Grant Program authorizes competitive grants for emergency repairs and modernization of school facilities to certain eligible local educational agencies (LEAs).

Additional Information:

Impact Aid. Emergency repair grants must be used to repair, renovate, or alter a public elementary or secondary school facility to ensure the health, safety, and well-being of students and school personnel. Modernization grants may be used to extend a public elementary or secondary school facility to ease overcrowding and provide facilities that support a contemporary educational program.

Eligibility:

In general, to be eligible to apply for an emergency construction grant, an LEA must:

- Enroll a high proportion (at least 40 percent) of federally connected children in average daily attendance (ADA) who reside on Indian lands or who reside on Federal property and have a parent on active duty in the U.S. uniformed services;
- Have a school that enrolls a high proportion of one of these types of students; or
- Be eligible for funding for heavily impacted LEAs under section 8003(b)(2).

To be eligible to apply for a modernization construction grant, an LEA must:

- Be eligible for Impact Aid funding in general;
- Be eligible for funding for heavily impacted LEAs under section 8003(b)(2);
- Enroll a high proportion (at least 40 percent) of federally connected children in ADA who reside on Indian lands or who reside on Federal property and have a parent on active duty in the U.S. uniformed services;
- Have a school that enrolls a high proportion of one of these types of students; or
- Be eligible for funding under section 8002 (payments for Federal property).

Contact Information:

Catherine Schagh
400 Maryland Avenue SW
Washington, DC 20202-6244

Phone: (202) 260-3858

Fax: (202) 205-0088

E-mail: Catherine.Schagh@ed.gov

EMERGENCY MANAGEMENT & DISASTER RELIEF

Section I: Disaster Preparedness Grants – U. S. Department of Homeland Security

Federal Emergency Management Administration (FEMA)

Buffer Zone Protection Program

BZPP provides funding to increase the preparedness capabilities of jurisdictions responsible for the safety and security of communities surrounding high-priority pre-designated Tier 1 and Tier 2 critical infrastructure and key resource (CIKR) assets.

Additional Information:

The key assets include chemical facilities, financial institutions, nuclear and electric power plants, dams, stadiums, and other high-risk/high-consequence facilities, through allowable planning and equipment acquisition.

Eligibility:

The State Administrative Agency (SAA) is the only agency eligible to apply for FY 2011 BZPP funds and is responsible for obligating the funds to the appropriate local units of government or other designated recipients. The SAA must coordinate all BZPP activities with their respective State Homeland Security Advisor (HSA).

Contact Information:

500 C Street, S.W. Washington, D.C. 20472

Phone: (800) 621-FEMA

Citizen Corps Program (CCP)

The Citizen Corps mission is to bring community and government leaders together to coordinate community involvement in emergency preparedness, planning, mitigation, response and recovery.

Additional Information:

The balance of CCP funds will be distributed on a population-share basis. In addition to CCP allocations, states and urban areas are encouraged to fully leverage HSGP resources to accomplish the Citizen Corps mission.

Eligibility:

The SAA is the only entity eligible to apply to FEMA for CCP funds. Recipients for the CCP include all 50 states, the District of Columbia, Puerto Rico, American Samoa, Guam, Northern Mariana Islands and the U.S. Virgin Islands.

Contact Information:

Phone:(866) 927-5646

E-mail: ASK-GMD@dhs.gov

Competitive Training Grants Program

The Department of Homeland Security (DHS), Federal Emergency Management Agency's (FEMA) Competitive Training Grant Program (CTGP) is an important component of the Administration's larger, coordinated effort to strengthen homeland security preparedness.

Additional Information:

The CTGP supports objectives addressed in a series of post 9/11 laws, such as Section 648(a) of the Post Katrina Emergency Management Reform Act of 2006, and other strategy documents, such as the National Preparedness Guidelines and Homeland Security Presidential Directives (HSPDs).

Eligibility:

The CTGP awards funds to competitively selected applicants to develop and deliver innovative training programs addressing high priority national homeland security training needs. The CTGP demonstrates FEMA's commitment to work closely with the Nation's homeland security stakeholders in a unified national effort to continuously expand training opportunities that address the evolving national risk environment.

Contact Information:

500 C Street, S.W. Washington, D.C. 20472
Phone: (800) 621-FEMA

Commercial Equipment Direct Assistance Program (CEDAP)

The FY 2009 Commercial Equipment Direct Assistance Program (CEDAP) complements the department's other major grants programs to enhance regional response capabilities, mutual aid, and interoperable communications by providing technology and equipment, along with the training required to operate that equipment, to law enforcement and emergency responder agencies in smaller jurisdictions and certain metropolitan areas.

Additional Information:

This competitive program is a direct assistance program, not a grant program, and FEMA will provide the equipment and technical assistance directly to the selected jurisdictions. CEDAP awards are not monetary awards; applicants select one item from the menu of available equipment choices and successful applicants receive the item they specified in their application.

Eligibility:

Eligible applicants included law enforcement agencies and other emergency responder agencies who demonstrated that the equipment would be used to improve their capability and capacity to respond to a major critical incident or to work with other first responders.

Contact Information:

500 C Street, S.W. Washington, D.C. 20472
Phone: (800) 621-FEMA

Emergency Management Performance Grants (EMPG)

The purpose of the EMPG is to assist state and local governments in enhancing and sustaining all-hazards emergency management capabilities.

Eligibility:

All 56 states and territories, as well as the Republic of the Marshall Islands and the Federated States of Micronesia, are eligible to apply for EMPG funds. Either the State Administrative Agency (SAA) or the state's Emergency Management Agency (EMA) are eligible to apply directly to FEMA for EMPG funds on behalf of state and local emergency management agencies, however only one application will be accepted from each state or territory.

Contact Information:

Phone: (866) 927-5646

E-mail: ASK-GMD@dhs.gov

Website: <https://www.fema.gov/emergency-management-performance-grant-program>

Emergency Management Performance Grants (EMPG)

EMPG is to assist state and local governments in enhancing and sustaining all-hazards emergency management capabilities.

Additional Information:

The allocation methodology for FY 2011 EMPG dictates that all 50 states, the District of Columbia and the Commonwealth of Puerto Rico will receive a base amount of 0.75 percent of the total available grant funding.

Eligibility:

All 56 states and territories, as well as the Republic of the Marshall Islands and the Federated States of Micronesia, are eligible to apply for FY 2011 EMPG funds.

Contact Information:

500 C Street, S.W. Washington, D.C. 20472

Phone: (800) 621-FEMA

Emergency Operations Center (EOC) Grant Program

The Emergency Operations Center (EOC) Grant Program is intended to improve emergency management and preparedness capabilities by supporting flexible, sustainable, secure, and interoperable Emergency Operations Centers (EOCs) with a focus on addressing identified deficiencies and needs.

Additional Information:

This program provides funding for construction or renovation of a state, local, or tribal governments' principal EOC. Fully capable emergency operations facilities at the state and local levels are an essential element of a comprehensive national emergency management system and are necessary to ensure continuity of operations and continuity of government in major disasters caused by any hazard.

Eligibility:

The governor of each state and territory is required to designate a State Administrative Agency (SAA) to apply for and administer the funds awarded under the EOC Grant Program. The SAA is the only eligible entity able to apply for the available funding on behalf of eligible state, local, and tribal EOCs.

Contact Information:

500 C Street, S.W. Washington, D.C. 20472
Phone: (800) 621-FEMA

Metropolitan Medical Response System (MMRS) Program

The MMRS program supports the integration of emergency management, health, and medical systems into a coordinated response to mass casualty incidents caused by any hazard. Successful MMRS grantees reduce the consequences of a mass casualty incident during the initial period of a response by having augmented existing local operational response systems before the incident occurs.

Eligibility:

The New York State Office of Homeland Security, which is the State Administrative Agency (SAA), is the only entity eligible to apply to FEMA for MMRS funds. Recipients for the MMRS program include the 124 MMRS jurisdictions.

Contact Information:

Phone: (866) 927-5646
E-mail: ASK-GMD@dhs.gov

Nonprofit Security Grant Program (NSGP)

UASI NSGP provides funding support for target-hardening activities to nonprofit organizations that are at high risk of a terrorist attack and are located within one of the specific FY 2011 UASI-eligible urban areas.

Additional Information:

Funds will be based on risk analysis, effectiveness, and integration with broader state and local preparedness efforts.

Eligibility:

Eligible nonprofit organizations (as described under section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such Code) that are at high risk of a terrorist attack.

Contact Information:

500 C Street, S.W. Washington, D.C. 20472

Phone: (800) 621-FEMA

Operation Stonegarden (OPSG)

The intent of OPSG is to enhance cooperation and coordination among local, state and federal law enforcement agencies in a joint mission to secure the United States borders along routes of ingress from international borders to include travel corridors in states bordering Mexico and Canada, as well as states and territories with international water borders.

Additional Information:

Funds will be allocated competitively to designated localities within U.S. border states based on risk analysis and the anticipated feasibility and effectiveness of proposed investments by the applicants.

Eligibility:

Prospective recipients for OPSG include local units of government at the county level and federally-recognized tribal governments in the states bordering Canada (including Alaska), southern states bordering Mexico, and states and territories with International water borders.

Contact Information:

Phone:(866) 927-5646

E-mail: ASK-GMD@dhs.gov

Regional Catastrophic Preparedness Grant Program (RCPGP)

The purpose of RCPGP is to enhance catastrophic incident preparedness in selected high-risk, high-consequence urban areas and their surrounding regions. RCPGP is intended to support coordination of regional all-hazard planning for catastrophic events, including the development of integrated planning communities, plans, protocols and procedures to manage a catastrophic event.

Additional Information:

The deliverables from the RCPGP will be made available to enhance national resilience.

Eligibility:

Eligible applicants under FY 2011 RCPGP include the eleven (11) pre-designated high-risk, high-consequence urban areas within the ten (10) RCPGP sites that received funding under RCPGP in the FY 2009 grant cycle.

Contact Information:

500 C Street, S.W. Washington, D.C. 20472
Phone: (800) 621-FEMA

State Homeland Security Program (SHSP)

This core assistance program provides funds to build capabilities at the state and local levels and to implement the goals and objectives included in state homeland security strategies and initiatives in their State Preparedness Report.

Eligibility:

The State Administrative Agency (SAA) is the only entity eligible to apply to FEMA for SHSP funds. Recipients include all 50 states, the District of Columbia, Puerto Rico, American Samoa, Guam, Northern Mariana Islands and the U.S. Virgin Islands.

Contact Information:

Phone: (866) 927-5646
E-mail: ASK-GMD@dhs.gov

Tribal Homeland Security Grant Program (THSGP)

Tribal Homeland Security Grant Program (THSGP) provides funds to directly eligible tribes to help strengthen the Nation against risks associated with potential terrorist attacks. Pursuant to the 9/11 Act, a directly eligible tribe applying for a grant shall designate an individual to serve as a tribal liaison with the Department of Homeland Security (DHS) and other Federal, State, local, and regional government officials concerning preventing, preparing for, protecting against, and responding to acts of terrorism.

Eligibility:

Any Indian tribe that is located in the continental United States and operates a law enforcement or emergency response agency with the capacity to respond to calls for law enforcement or emergency services is eligible for funding.

Contact Information:

500 C Street, S.W. Washington, D.C. 20472
Phone: (800) 621-FEMA

Urban Areas Security Initiative (UASI)

The UASI program focuses on enhancing regional preparedness in major metropolitan areas. The UASI program directly supports the National Priority on expanding regional collaboration in the National Preparedness Guidelines and is intended to assist participating jurisdictions in developing integrated regional systems for prevention, protection, response, and recovery.

Additional Information:

States are required to ensure that at least 25 percent of UASI appropriated funds are dedicated towards law enforcement terrorism prevention-oriented planning, organization, training, exercise, and equipment activities, including those activities which support the development and operation of fusion centers.

Eligibility:

The SAA is the only entity eligible to apply to FEMA for UASI funds. Prospective recipients for the UASI program include the 64 highest risk Urban Areas.

Contact Information:

Phone: (866) 927-5646

E-mail: ASK-GMD@dhs.gov

Section II: Emergency Grants – U. S. Department of Homeland Security

Federal Emergency Management Administration (FEMA)

FEMA oversees several federally administered Disaster Recovery Programs offered to Pennsylvania to recover from storm damage. Each program satisfies different needs, including rental payments for temporary housing, repairing and replacing damaged public facilities, and grants for home repairs and replacement of essential household items.

Disaster Unemployment Assistance

The Disaster Unemployment Assistance (DUA) program provides unemployment benefits and re-employment services to individuals who have become unemployed because of major disasters. Benefits begin with the date the individual was unemployed due to the disaster incident and can extend up to 26 weeks after the Presidential declaration date.

Additional Information:

These benefits are made available to individuals not covered by other unemployment compensation programs, such as self-employed, farmers, migrant and seasonal workers, and those who have insufficient quarters to qualify for other unemployment compensation. Although most States have a provision that an individual must be able and available to accept employment opportunities comparable to the employment the individual held before the disaster, not all States require an individual to search for work.

Contact information:

Phone: 1-888-209-8124

Hazard Mitigation Grant Program

The Hazard Mitigation Grant Program provides grants to States and local governments to implement long-term hazard mitigation measures after a major disaster declaration. The purpose of the HMGP is to reduce the loss of life and property due to natural disasters and to enable mitigation measures to be implemented during the immediate recovery from a disaster.

Contact Information:

Website: www.disasterassistance.gov

Phone: 1-800 621-3362

Individual Assistance (IA) Program

The purpose of this program is to provide funding or direct assistance to individuals and families in an area where property has been damaged or destroyed and where losses are not covered by insurance.

Additional Information:

Assistance for affected individuals and families may include as required:

- Rental payments for temporary housing for individuals whose homes are unlivable. Initial Assistance may be provided for two months for homeowners and renters. Assistance may be extended if requested after the initial period based on a review of individual applicant requirements
- Grants for home repairs and replacement of essential household items not covered by insurance to make damaged dwellings safe, sanitary and functional
- Grants to replace personal property and help meet medical, dental, funeral, transportation and other serious disaster-related needs not covered by insurance or other federal, state, and charitable aid programs.
- Unemployment payments up to 26 weeks from the date of the disaster declaration for workers who temporarily lost jobs because of the disaster and who did not qualify for state benefits, such as self-employed individuals
- Low-interest loans to cover residential losses not fully compensated by insurance. Loans available up to \$200,000 for primary residence; \$40,000 for personal property, including renter losses. Loans available up to \$2 million for business property losses not fully compensated by insurance
- Loans up to \$2 million for small businesses, small agricultural cooperatives and most private, non-profit organizations of all sizes that have suffered disaster-related cash flow problems and need funds for working capital to recover from the disaster's adverse economic impact. This loan is a combination with a property loss loan cannot exceed a total of \$2 million. FEMA will work with the U.S. Small Business Administration in the evaluation of eligibility and provisions of these loan funds
- Loans up to \$500,000 for farmers, ranchers and aquaculture operators to cover production and property losses, excluding primary residence. The U.S. Department of Agriculture will determine eligibility and disburse these loan funds.
- Other relief programs include crisis counseling for individuals traumatized by the disaster, income tax assistance for filing casualty losses, and advisory assistance for legal situations, veteran's benefits and social security matters.

Contact Information:

Website: www.disasterassistance.gov

Phone: 1-800-621-3362.

Public Assistance Grant Program

The purpose of this program is to provide assistance to state, local and tribal governments, and certain types of private nonprofit organizations to allow communities to quickly respond to and recover from major disasters or emergencies declared by the President.

Additional Information:

Assistance for state, local and tribal governments include: o Payment of not less than 75 percent of the eligible costs for repairing or replacing damaged public facilities, such as roads, bridges, utilities, buildings, schools, recreational areas and similar publicly owned property, as well as certain private nonprofit organizations that provide essential governmental services. Payment of not less than 75 percent of the eligible costs for removing debris and for emergency measures taken to save lives and protect property and public health. Projects of not more than 75 percent of the approved costs for hazard mitigation projects undertaken by state, local, and tribal governments to prevent or reduce long-term risk to life and property from natural or technological disasters.

Contact Information:

Website: www.disasterassistance.gov

Phone: 1-800-621-3362

Section III: Disaster Relief Programs – U. S. Department of Homeland Security Federal Emergency Management Administration (FEMA)

Flood Mitigation Assistance Program

The program has been created to reduce or eliminate claims under the National Flood Insurance Program (NFIP). Projects or initiatives that are eligible for funding under this announcement may involve geospatial (GIS) issues.

Eligibility:

State governments, county governments, city or township governments, and Native American tribal governments are eligible.

Contact Information:

500 C Street, S.W. Washington, D.C. 20472

Phone: (800) 621-FEMA

Repetitive Flood Claims Program

The Repetitive Flood Claims Programs has been designed for reducing flood damages to individual properties for which one or more claim payments for losses have been made under flood insurance coverage and that will result in the greatest savings to the National Flood Insurance Fund (NFIF) in the shortest period of time. Projects or initiatives that are eligible for funding under this announcement may involve geospatial (GIS) issues.

Eligibility:

State governments, county governments, city or township governments, and Native American tribal governments are eligible.

Contact Information:

500 C Street, S.W. Washington, D.C. 20472

Phone: (800) 621-FEMA

Severe Repetitive Loss Program

The program has been designed with the overall goal of reducing flood damages to residential properties that have experienced severe repetitive losses under flood insurance coverage and that will result in the greatest savings to the NFIF in the shortest period of time. Projects or initiatives that are eligible for funding under this announcement may involve geospatial (GIS) issues.

Eligibility:

State governments, county governments, city or township governments, and Native American tribal governments are eligible.

Contact Information:

500 C Street, S.W. Washington, D.C. 20472

Phone: (800) 621-FEMA

Section IV: Disaster Communications Programs – U. S. Department of Homeland Security Federal Emergency Management Administration (FEMA)

Citizen Corps Program National Emergency Technology Guard (NET Guard) Pilot Program

Information Technology (IT) and communications systems are vulnerable to damage from natural hazards, accidents, and acts of terrorism and play an essential part in the effectiveness of response operations. Following authorization in the Homeland Security Act of 2002, the NET Guard program concept was developed through a DHS scoping initiative and work group involving stakeholders and potential partners including state and local government representatives, emergency managers, potential private sector partners and DHS Divisions. DHS/FEMA will use the NET Guard Pilot Programs to test and further evaluate and develop the program concept.

Additional Information:

The NET Guard Program is envisioned as a means to provide emergency, temporary reconstitution of IT and communications systems, or installation of emergency, temporary IT and communications systems, for governmental entities, private non-profit entities performing governmental functions, and private sector entities providing essential services. The NET Guard Program is also envisioned as a means to surge additional IT and communications resources into impacted areas to assist entities with emergency IT and communications system activities.

Eligibility:

Applicants must be the local government entity responsible for emergency management including development of the Emergency Operations Plan, e.g. the Office of Emergency Management and/or Emergency Management and Homeland Security. Applicants must be a jurisdiction located in one of the urban areas designated by the 2008 DHS Urban Area Security Initiatives.

Contact Information:

500 C Street, S.W. Washington, D.C. 20472

Phone: (800) 621-FEMA

Interoperable Emergency Communications Grant Program (IECGP)

IECGP provides governance, planning, training and exercise funding to states, territories, and local and tribal governments to carry out initiatives to improve interoperable emergency communications, including communications in collective response to natural disasters, acts of terrorism, and other man-made disasters.

Additional Information:

If a State Administrative Agent (SAA) and Statewide Interoperability Coordinator (SWIC) / SCIP point of contact certify that its state or territory has fulfilled such governance, planning, training and exercise objectives, the Program provides the flexibility to purchase interoperable communications equipment with any remaining IECGP funds.

Eligibility:

All 56 states and territories are eligible to apply for IECGP funds.

Contact Information:

500 C Street, S.W. Washington, D.C. 20472

Phone: (800) 621-FEMA

Section V: Disaster Relief Opportunities – U. S. Department of Commerce (DOC)

FY 2012 Disaster Relief Opportunity

Through this Disaster Relief Opportunity, EDA intends to award investments in regions experiencing severe economic distress as a result of natural disasters that were declared as major Federal disasters between October 1, 2010 and September 30, 2011. This program provides recipients with flexible tools to develop and implement regionally based long term economic development strategies in response to major federally declared disasters. The EAA program provides a wide range of technical, disaster recovery, economic recovery planning, and public works assistance. It responds adaptively to pressing economic recovery issues and is well-suited to help address challenges faced by regions affected by natural disasters.

Additional Information:

Through this program, EDA can support the development of disaster recovery strategies and recovery implementation, including infrastructure improvements and by using revolving loan funds (RLFs). EDA recognizes that urgent infrastructure rebuilding needs exist throughout disaster impacted regions. In EDA's experience with post disaster recovery, the most effective long-term rebuilding efforts are based on long-term development or redevelopment strategies. EDA is not authorized to provide grants directly to individuals or to for-profit entities seeking to start or expand a private business. Such requests may be referred to State or local agencies, or to non-profit economic development organizations serving the region in which the project will be located.

Eligibility:

Eligible applicants for and eligible recipients of EDA investment assistance include: (1) District Organizations; (2) Indian Tribes or a consortium of Indian Tribes; (3) States, cities or other political subdivisions of a State, including special purpose units of a State or local government engaged in economic or infrastructure development activities, or a consortium of political subdivisions; (4) institutions of higher education or a consortium of institutions of higher education; or (5) public or private non-profit organizations or associations acting in cooperation with officials of a political subdivision of a State.

Contact Information:

Calvin Edghill

The Curtis Center-Suite 140 South 601 Walnut Street Philadelphia, PA

Phone: (215) 597-6769

Section VI: Disaster Loan – Small Business Administration (SBA)

Business Physical Disaster Loans

Any non-farm business or private, nonprofit organization that is located in a declared disaster area and has incurred damage during the disaster may apply for a loan to help replace damaged property or restore the property to the condition it was in before the disaster.

Additional Information:

- SBA makes physical disaster loans of up to \$2 million to qualified businesses or private, nonprofit organizations.
- Physical Disaster Loan proceeds may be used for the repair or replacement of the following:
 - Real Property, Machinery, Equipment, Fixtures, Inventory, Leasehold Improvements
- Disaster loans to repair or replace real property or leasehold improvements may be increased by as much as 20 percent of the total amount of disaster damage to real estate and/or leasehold improvements, as verified by SBA, to protect the damaged real property against possible future disasters of the same type.
- SBA loans cover uninsured and under-insured physical damage. If you are required to apply insurance proceeds to an outstanding mortgage on the damaged property, you can include that amount in your disaster loan application.
- The interest rate is determined by your ability to obtain credit elsewhere (from non-federal sources).
- The interest rate on both these loans will not exceed 4 percent if you do not have credit available elsewhere. Repayment can be up to 30 years, depending on the business' ability to repay the loan. For businesses and nonprofit organizations with credit available elsewhere, the interest rate will not exceed 8 percent. SBA determines whether the applicant has credit available elsewhere.

Eligibility:

Small-businesses, Non-profit Organizations, Private Businesses not deemed small

Contact information:

Phone: 1-800-659-2955

E-mail: disastercustomerservice@sba.gov

Economic Injury Disaster Loans (EIDL)

If your business is located in a declared disaster area and has suffered economic injury because of the disaster (regardless of physical damage), you may be eligible for an Economic Injury Disaster Loan (EIDL). Substantial economic injury is defined as the inability of a business to meet its obligations as they mature and to pay its ordinary and necessary operating expenses. EIDLs provide the necessary working capital to help small businesses survive until normal operations resume after a disaster.

Additional Information:

EIDL assistance is available only to businesses determined unable to obtain credit elsewhere. The SBA can provide up to \$2 million in disaster assistance, which includes both economic injury and physical damage assistance. Your loan amount will be based on your actual economic injury and your company's financial needs. An EIDL can help you meet the normal financial obligations that your business or private nonprofit organization could have met had the disaster not occurred. It permits you to maintain a reasonable working capital position during the period affected by the disaster. The interest rate on EIDLs cannot exceed 4 percent per year. The term of these loans cannot exceed 30 years. Your term will be determined by your ability to repay the loan.

Eligibility:

Small businesses, small Agricultural Cooperatives, and qualified Non-profit organizations

Contact information:

Phone: 1-800-659-29

E-mail: disastercustomerservice@sba.gov

Home and Personal Property Loans

If you are in a declared disaster area and are the victim of a disaster, you may be eligible for financial assistance from the U.S. Small Business Administration – even if you don't own a business. Renters and homeowners alike may borrow up to \$40,000 to repair or replace clothing, furniture, cars, appliances, and other items damaged or destroyed in the disaster. Homeowners may apply for up to \$200,000 to repair or replace their primary residence to its pre-disaster condition.

Additional Information:

- Loans may be increased up to 20 percent of the total amount of disaster damage to real estate, as verified by the SBA, to make improvements that lessen the risk of property damage by future disasters of the same kind.
- Secondary homes or vacation properties are not eligible for these loans.
- Any proceeds from insurance coverage on your property or home will be deducted from the total damage to the property to determine the loan amount you are eligible for. No benefit duplication.
- For applicants unable to obtain credit elsewhere, the interest rate will not exceed 4 percent. For those who can obtain credit elsewhere, the interest rate will not exceed 8 percent.
- The SBA determines whether an applicant has credit available elsewhere. The SBA offers loans with long-term repayments, in many cases up to 30 years.

Eligibility:

Individuals

Contact information:

Phone: 1-800-659-2955

E-mail: disastercustomerservice@sba.gov

Section VII: National Disaster Emergency Grants – U.S. Department of Labor (DOL)

Disaster National Emergency Grants (NEG)

Disaster NEGs require that the Federal Emergency Management Agency (FEMA) has declared a disaster area eligible for public assistance and are only available to states. The primary purpose of a disaster project is to create temporary employment to assist with clean-up activities.

Additional Information:

This initial award will restrict the clean-up period to 6 months from the date of the grant award until there is a subsequent modification that justifies a longer clean-up period. A state may include in its fully documented plan, or modification request, a component for employment-related services. This component is for workers involved in the clean-up work and who will not return to their prior employment. The modification must demonstrate that the participants need employment-related assistance to return to the workforce and demonstrate that other resources are not available to provide such services.

Eligibility:

- State Governments
- Local Governments
- Indian and Native American Tribes
- Local Workforce Investment Boards

Contact Information:

Website: <http://www.doleta.gov/neg/>

Section VIII: Disaster Assistance – Internal Revenue Service (IRS)

Disaster Assistance and Emergency Relief for Individuals and Businesses

Special tax law provisions may help taxpayers and businesses recover financially from the impact of a disaster. Both individuals and businesses in a federally declared disaster area can get a faster tax refund by claiming losses related to the disaster on the tax return for the previous year.

Contact Information:

Website: <http://www.irs.gov/businesses/small/article/0,,id=156138,00.html>

Phone: 1-800 829-3676

ENERGY

Section I: Loans & Grants – U. S. Department of Energy

Energy Efficiency and Conservation Block Grant Program

The Energy Efficiency and Conservation Block Grants (EECBG) Program, funded for the first time by the American Recovery and Reinvestment Act (Recovery Act) of 2009, represents a Presidential priority to deploy the cheapest, cleanest, and most reliable energy technologies we have - energy efficiency and conservation - across the country.

Additional Information:

The Program, authorized in Title V, Subtitle E of the Energy Independence and Security Act (EISA) and signed into law on December 19, 2007, is modeled after the Community Development Block Grant program administered by the Department of Housing and Urban Development (HUD). It is intended to assist U.S. cities, counties, states, territories, and Indian tribes to develop, promote, implement, and manage energy efficiency and conservation projects and programs designed to:

- Reduce fossil fuel emissions;
- Reduce the total energy use of the eligible entities;
- Improve energy efficiency in the transportation, building, and other appropriate sectors; and
- Create and retain jobs.
- Funding for the EECBG Program under the Recovery Act totals \$3.2 billion. Of this amount, approximately \$2.7 billion will be awarded through formula grants. In addition, approximately \$454 million will be allocated through competitive grants, which will be awarded through a separate, future Funding Opportunity Announcement (FOA).

Eligibility:

- Grants can be used for energy efficiency and conservation programs and projects community wide, as well as renewable energy installations on government buildings. Activities eligible for use of funds include:
- Development of an energy efficiency and conservation strategy;
- Building energy audits and retrofits, including weatherization;
- Transportation programs to conserve energy;
- Building code development, implementation, and inspections;
- Installation of distributed energy technologies including combined heat and power and district heating and cooling systems;
- Material conservation programs including source reduction, recycling, and recycled content procurement programs;

Contact Information:

<http://www.eecbg.energy.gov/>

Energy Efficiency and Conservation Block Grant Program

The Energy Efficiency and Conservation Block Grants (EECBG) Program, funded for the first time by the American Recovery and Reinvestment Act (Recovery Act) of 2009, represents a Presidential priority to deploy the cheapest, cleanest, and most reliable energy technologies we have - energy efficiency and conservation - across the country.

Additional Information:

The Program, authorized in Title V, Subtitle E of the Energy Independence and Security Act (EISA) and signed into law on December 19, 2007, is modeled after the Community Development Block Grant program administered by the Department of Housing and Urban Development (HUD). It is intended to assist U.S. cities, counties, states, territories, and Indian tribes to develop, promote, implement, and manage energy efficiency and conservation projects and programs designed to reduce fossil fuel emissions and the total energy use of the eligible entities. This is planned to improve energy efficiency in the transportation, building, and other appropriate sectors.

Eligibility:

Grants can be used for energy efficiency and conservation programs and projects community wide, as well as renewable energy installations on government buildings. Activities eligible for use of funds include:

- Development of an energy efficiency and conservation strategy;
- Building energy audits and retrofits, including weatherization;
- Transportation programs to conserve energy;
- Building code development, implementation, and inspections;
- Installation of distributed energy technologies including combined heat and power and district heating and cooling systems;
- Material conservation programs including source reduction, recycling, and recycled content procurement programs;

Contact Information:

Website: <http://www.eecbg.energy.gov/>

Loan Guarantee Program

The loan guarantee program has been authorized to offer more than \$10 billion in loan guarantees for energy efficiency, renewable energy and advanced transmission and distribution projects.

Additional Information:

DOE actively promotes projects in three categories: (1) manufacturing projects, (2) stand-alone projects, and (3) large-scale integration projects that may combine multiple eligible renewable energy, energy efficiency and transmission technologies in accordance with a staged development scheme. Under the original authorization, loan guarantees were intended to encourage early commercial use of new or significantly improved technologies in energy projects. The loan guarantee program generally does not support research and development projects.

Contact Information:

Department of Energy
Loan Guarantee Program
(202) 586-8336
lgprogram@hq.doe.gov

Loan Guarantee Program

The loan guarantee program has been authorized to offer more than \$10 billion in loan guarantees for energy efficiency, renewable energy and advanced transmission and distribution projects. Title XVII of the federal EPA Act 2005 authorized the U.S. Department of Energy (DOE) to issue loan guarantees for projects that "avoid, reduce or sequester air pollutants or anthropogenic emissions of greenhouse gases; and employ new or significantly improved technologies as compared to commercial technologies in service in the United States at the time the guarantee is issued." The loan guarantee program has been authorized to offer more than \$10 billion in loan guarantees for energy efficiency, renewable energy and advanced transmission and distribution projects.

Eligibility:

Eligible parties can be of many different types; considerations go more to the type of project than the organization involved. DOE will provide funding for Stand-alone projects that include the use of energy efficient models in school construction. Mostly, however, this program is aimed at brand new and extremely cutting-edge programs that function more as a test bed than anything else. Successful parties must consider the uniqueness of their project an important part.

Contact Information:

Website: <http://www.lgprogram.energy.gov/features.html>

Phone: (202) 586-8336

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Additional Information:

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Contact Information:

Phone: (202) 586-8336

Website: <http://www.lgprogram.energy.gov/features.html>

Temporary Loan Guarantee Program

The American Recovery and Reinvestment Act of 2009 (H.R. 1), enacted in February 2009, extended the authority of the DOE to issue loan guarantees and appropriated \$6 billion for this program.

Additional Information:

The act amended EPCA 2005 by adding a new section defining eligible technologies for new loan guarantees. Eligible projects include renewable energy projects that generate electricity or thermal energy and facilities that manufacture related components, electric power transmission systems, and innovative biofuels projects. Funding for biofuels projects is limited to \$500 million. Davis-Bacon wage requirements apply to any project receiving a loan guarantee.

Contact Information:

Website: <http://www.lgprogram.energy.gov>

Phone: (202) 586-8336

Section II: Energy Efficiency Tax Credits – Federal Incentives

Energy Efficient Appliance Tax Credit for Manufacturers

The Energy Policy Act of 2005 established tax credits for manufacturers of high-efficiency residential clothes washers, refrigerators, and dishwashers produced in calendar years 2006 and 2007. The Energy Improvement and Extension Act of 2008 (H.R. 1424, Division B) extended the credits for additional years depending on the efficiency rating of the manufactured appliance. Manufacturers only receive these credits for the increase in production of qualifying appliances over a two-year rolling baseline, and only appliances produced in the United States are eligible.

Additional Information:

Each manufacturer is limited to a total of \$75 million for all credits under this provision. However, refrigerators manufactured in 2008, 2009, or 2010 which consume at least 30% less energy than the 2001 energy conservation standards will not add to the aggregate credit amount and have no separate credit limit. Residential and commercial clothes washers manufactured in 2008, 2009 or 2010 which meet or exceed a 2.2 modified energy factor (MEF) and do not exceed a 4.5 water consumption factor (WCF) also will not add to the aggregate limit and have no separate credit limit.

Contact Information:

Website: http://www.energystar.gov/index.cfm?c%3Dtax_credits.tx_index

Phone: (888) 782-7937

Energy Efficient Commercial Buildings Tax Deduction

The federal Energy Policy Act of 2005 established a tax deduction for energy-efficient commercial buildings applicable to qualifying systems and buildings placed in service from January 1, 2006, through December 31, 2007. This deduction was subsequently extended through 2008, and then again through 2013 by Section 303 of the federal Energy Improvement and Extension Act of 2008 (H.R. 1424, Division B), enacted in October 2008.

Additional Information:

A tax deduction of \$1.80 per square foot is available to owners of new or existing buildings who install (1) interior lighting; (2) building envelope, or (3) heating, cooling, ventilation, or hot water systems that reduce the building's total energy and power cost by 50% or more in comparison to a building meeting minimum requirements set by ASHRAE Standard 90.1-2001. Energy savings must be calculated using qualified computer software approved by the Internal Revenue Service (IRS). Deductions of \$0.60 per square foot are available to owners of buildings in which individual lighting, building envelope, or heating and cooling systems meet target levels that would reasonably contribute to an overall building savings of 50% if additional systems were installed.

Eligibility:

The deductions are available primarily to building owners, although tenants may be eligible if they make construction expenditures. In the case of energy efficient systems installed on or in government property, tax deductions will be given to the person primarily responsible for the systems' design. Deductions are taken in the year when construction is completed.

Contact Information:

Website: http://www.energystar.gov/index.cfm?c=products.pr_tax_credits#7

Phone: (888) 782-7937

Energy-Efficient New Homes Tax Credit for Home Builders

The federal Energy Policy Act of 2005 established tax credits of up to \$2,000 for builders of all new energy-efficient homes, including manufactured homes constructed in accordance with the Federal Manufactured Homes Construction and Safety Standards. Initially scheduled to expire at the end of 2007, the tax credit was extended through 2008 by Section 205 of the Tax Relief and Health Care Act of 2006 (H.R. 6111), and then extended again through December 31, 2009 by Section 304 of The Energy Improvement and Extension Act of 2008 (H.R. 1424).

Additional Information:

The home qualifies for the credit if:

- It is located in the United States;
- Its construction is substantially completed after August 8, 2005;
- It meets the energy saving requirements outlined in the statute; and
- It is acquired from the eligible contractor after December 31, 2005, and before January 1, 2010, for use as a residence.

Eligibility:

Site-built homes qualify for a \$2,000 credit if they are certified to reduce heating and cooling energy consumption by 50% relative to the International Energy Conservation Code standard and meet minimum efficiency standards established by the Department of Energy. Building envelope component improvements must account for at least one-fifth of the reduction in energy consumption. Manufactured homes qualify for a \$2,000 credit if they conform to Federal Manufactured Home Construction and Safety Standards and meet the energy savings requirements of site-built homes described above. Manufactured homes qualify for a \$1,000 credit if they conform to Federal Manufactured Home Construction and Safety Standards and reduce energy consumption by 30% relative to the International Energy Conservation Code standard. In this case, building envelope component improvements must account for at least one-third of the reduction in energy consumption. Alternatively, manufactured homes qualify if they meet Energy Star Labeled Homes requirements.

Contact Information:

Website: http://www.energystar.gov/index.cfm?c=products.pr_tax_credits#7

Phone: (888) 782-7937

Qualified Energy Conservation Bonds

The Energy Improvement and Extension Act of 2008, enacted in October 2008, authorized the issuance of Qualified Energy Conservation Bonds (QECBs) that may be used by state, local and tribal governments to finance certain types of energy projects. QECBs are qualified tax credit bonds, and in this respect are similar to new Clean Renewable Energy Bonds (CREBs).

Additional Information:

The advantage of these bonds is that they are issued -- theoretically -- with a 0% interest rate. The borrower pays back only the principal of the bond, and the bondholder receives federal tax credits in lieu of the traditional bond interest. The tax credit may be taken quarterly to offset the tax liability of the bondholder. The tax credit rate is set daily by the U.S. Treasury Department; however, energy conservation bondholders will receive only 70% of the full rate set by the Treasury Department under 26 USC § 54A. Credits exceeding a bondholder's tax liability may be carried forward to the succeeding tax year, but cannot be refunded. Energy conservation bonds differ from traditional tax-exempt bonds in that the tax credits issued through the program are treated as taxable income for the bondholder.

Contact Information:

Website: <http://energy.gov/eere/slsc/qualified-energy-conservation-bonds>

Phone: (202) 622-3980

Qualifying Advanced Energy Project Investment Tax Credit

The U.S. Treasury Department will issue certifications for qualified investments eligible for credits to qualifying advanced energy project sponsors. In total, \$2.3 billion worth of credits may be allocated under the program. After certification is granted, the taxpayer has one year to provide additional evidence that the requirements of the certification have been met and three years to put the project in service.

Additional Information:

The American Recovery and Reinvestment Act of 2009 (H.R. 1), enacted in February 2009, established a new investment tax credit to encourage the development of a U.S.-based renewable energy manufacturing sector. In any taxable year, the investment tax credit is equal to 30% of the qualified investment required for an advanced energy project that establishes, re-equips or expands a manufacturing facility that produces any of the following:

- Equipment and/or technologies used to produced energy from the sun, wind, geothermal or "other" renewable resources
- Fuel cells, micro-turbines or energy-storage systems for use with electric or hybrid-electric motor vehicles
- Equipment used to refine or blend renewable fuels
- Equipment and/or technologies to produce energy-conservation technologies (including energy-conserving lighting technologies and smart grid technologies)

Eligibility:

Qualified investments generally include personal tangible property that is depreciable and required for the production process. Other tangible property may be considered a qualified investment only if it is an essential part of the facility, excluding buildings and structural components.

Contact Information:

Website: <http://www.irs.gov/Businesses/Qualifying-Advanced-Energy-Project-Credit-section-48C>

Phone: (202) 622-2000 or (202) 622-9316

Residential Energy Conservation Subsidy Exclusion (Corporate)

According to Section 136 of the IRS Code, energy conservation subsidies provided by public utilities, either directly or indirectly, are nontaxable: "Gross income shall not include the value of any subsidy provided (directly or indirectly) by a public utility to a customer for the purchase or installation of any energy conservation measure."

Additional Information:

The term "energy conservation measure" includes installations or modifications primarily designed to reduce consumption of electricity or natural gas, or improve the management of energy demand. Eligible dwelling units include houses, apartments, condominiums, mobile homes, boats and similar properties. If a building or structure contains both dwelling and other units, any subsidy must be properly allocated.

Contact Information:

Website: <http://www.irs.gov/publications/p525/index.html> or
http://www.dsireusa.org/incentives/incentive.cfm?Incentive_Code=US31F&re=1&ee=1
Phone: (888) 782-7937

Residential Energy Conservation Subsidy Exclusion (Personal)

According to Section 136 of the IRS Code, energy conservation subsidies provided by public utilities, either directly or indirectly, are nontaxable: "Gross income shall not include the value of any subsidy provided (directly or indirectly) by a public utility to a customer for the purchase or installation of any energy conservation measure."

Additional Information:

The term "energy conservation measure" includes installations or modifications primarily designed to reduce consumption of electricity or natural gas, or improve the management of energy demand. Eligible dwelling units include houses, apartments, condominiums, mobile homes, boats and similar properties. If a building or structure contains both dwelling and other units, any subsidy must be properly allocated. Other types of utility subsidies that may come in the form of credits or reduced rates may also be nontaxable, according to IRS Publication 525: "Utility rebates. If you are a customer of an electric utility company and you participate in the utility's energy conservation program, you may receive on your monthly electric bill either: a reduction in the purchase price of electricity furnished to you (rate reduction), or a nonrefundable credit against the purchase price of the electricity. The amount of the rate reduction or nonrefundable credit is not included in your income."

Contact Information:

Website: <http://programs.dsireusa.org/system/program?state=PA> or
<http://www.irs.gov/publications/p525/index.html>
Phone: (888) 782-7937

Residential Energy Efficiency Tax Credit

The federal tax credit for energy-efficient home improvements was established by the Energy Policy Act of 2005. In addition to extending the credit, H.R. 1424 and H.R. 1 strengthened the efficiency requirements for most equipment, extended the credit to stoves that use biomass fuel and asphalt roofs with appropriate cooling granules; raised the cap for the credit; and redesigned the way the credit is calculated.

Additional Information:

The credit applies to energy efficiency improvements in the building envelope of existing homes and for the purchase of high-efficiency heating, cooling and water-heating equipment. Efficiency improvements or equipment must serve a dwelling in the United States that is owned and used by the taxpayer as a primary residence. The maximum amount of homeowner credit for all improvements combined is \$1,500 for equipment purchased during the two-year period of 2009 and 2010.

Sub Sections:

- Building Envelope Improvements
- Owners of existing homes receive a tax credit worth 30% of the cost of upgrading the efficiency of the building's envelope. Installation (labor) costs are not included. The following improvements are eligible for the tax credit:
 - Insulation materials and systems designed to reduce a home's heat loss or gain
 - Exterior doors and windows (including skylights) and
 - Pigmented metal roofs designed to reduce heat gain, and asphalt roofs with appropriate cooling granules.
- Heating, Cooling and Water-Heating Equipment

Taxpayers who purchase qualified residential energy-efficient property are eligible for a tax credit worth 30% of the system cost, including labor costs. The credit may also be applied to labor costs for assembly and original installation of eligible property. The following types of equipment are eligible:

- Electric heat pump water heaters
- Electric heat pumps
- Central air conditioners
- Natural gas, propane or oil water heaters
- Natural gas, propane or oil furnace or hot water boilers
- Advanced main air circulating fans
- Biomass stoves that use "plant-derived fuel available on a renewable or recurring basis, including agricultural crops and trees, wood and wood waste and residues (including wood pellets), plants (including aquatic plants), grasses, residues, and fibers."

Contact Information:

Website: <http://programs.dsireusa.org/system/program?state=PA> or

http://www.energystar.gov/index.cfm?c=products.pr_tax_credits#7

Phone: (888) 782-7937

Section III: Grants and Tax Credits – U.S. Department of Agriculture (USDA)

Rural Energy for America Program Grants

Similar to its predecessor, the Rural Energy for America Program (REAP) promotes energy efficiency and renewable energy for agricultural producers and rural small businesses through the use of (1) grants and loan guarantees for energy efficiency improvements and renewable energy systems, and (2) grants for energy audits and renewable energy development assistance.

Additional Information:

These grants are limited to 25% of a proposed project's cost, and a loan guarantee may not exceed \$25 million. The combined amount of a grant and loan guarantee may not exceed 75% of the project's cost. In general, a minimum of 20% of the funds available for these incentives will be dedicated to grants of \$20,000 or less. The USDA likely will announce the availability of funding for this component of REAP through a Notice of Funds Availability (NOFA).

Eligibility:

The USDA will also make competitive grants to eligible entities to provide assistance to agricultural producers and rural small businesses “to become more energy efficient” and “to use renewable energy technologies and resources.” These grants are generally available to state government entities, local governments, tribal governments, land-grant colleges and universities, rural electric cooperatives and public power entities, and other entities, as determined by the USDA. These grants may be used for conducting and promoting energy audits; and for providing recommendations and information related to energy efficiency and renewable energy. Of the total REAP funding available, 4% is dedicated to competitive grants to provide assistance to agricultural producers and rural small businesses.

Contact Information:

Website: <http://programs.dsireusa.org/system/program?state=PA> or <http://www.rd.usda.gov/programs-services/rural-energy-america-program-renewable-energy-systems-energy-efficiency>

Phone: (202) 690-4730

Section IV: Energy Efficiency Mortgages – U.S. Department of Housing and Urban Development (HUD)

Energy-Efficient Mortgages

Homeowners can take advantage of energy efficient mortgages (EEM) to finance a variety of energy efficiency measures, including renewable energy technologies, in a new or existing home. The U.S. federal government supports these loans by insuring them through Federal Housing Authority (FHA) or Veterans Affairs (VA) programs. This allows borrowers who might otherwise be denied loans to pursue energy efficiency improvements, and it secures lenders against loan default.

Additional Information:

The federal Energy Star program has a partnership program for lenders whereby lenders who provide EEMs to borrowers may become Energy Star lender partners. These EEMs may or may not be used to purchase an Energy Star qualified home. Becoming a partner allows lenders to utilize the Energy Star brand to promote themselves as Energy Star partners offering EEMs.

Eligibility:

To become a lender, partner lenders must first provide proof that they know how to write EEMs. To maintain their partnership benefits, lenders must write a certain number of EEMs per year. Energy Star does not have a lender certification program or process. As of August 2008, the federal Energy Star program lists 61 private lenders who offer homebuyer assistance, HERS assistance, special financing, and other assistance to applicants buying homes with the Energy Star rating. Energy Star requires that its lender partners provide EEMs to qualified borrowers regardless of whether it is an FHA EEM, Fannie Mae EEM, or VA EEM.

Contact Information:

Website: <http://programs.dsireusa.org/system/program?state=PA> or
http://portal.hud.gov/hudportal/HUD?src=/program_offices/housing/sfh/eem/energy-r
Phone: (202) 708-1112

Section V: Award Funding – U. S. Environmental Protection Agency (EPA)

Environmental Protection Agency (EPA) People, Prosperity and the Planet Awards

P3 represents People, Prosperity and the Planet. This competition is focused on benefiting people, promoting prosperity, and protecting the planet through innovative designs to address challenges to sustainability in both the developed and developing world.

Additional Information:

The competition has two phases. Initially, interdisciplinary student teams compete for \$10,000 grants. Recipients use the money to research and develop their design projects during the academic year. Then, in the following spring, all P3 grant recipients attend the National Sustainable Design Expo featuring the EPA's P3 Award competition in Washington, D.C. Up to \$75,000 is given to the best student designs, providing an opportunity to further these designs, implement them in the field, and move them to the marketplace. EPA considers challenges from a wide range of categories, including agriculture, built environment, materials and chemicals, energy, information resources, and water. A peer review panel evaluates all P3 applications based on problem definition; innovation and technical merit; connections to sustainability in terms of P3; measurable results, evaluation method, implementation strategy; and integration of the P3 Award competition as an educational tool. Final funding decisions are made by EPA.

Eligibility:

Institutions of higher education located in the U.S. are eligible to apply as the recipients of grants to support teams of undergraduate and/or graduate students. Collaboration and partnerships with colleges and universities outside the United States are permitted (and up to 40% of the grant can be contracted to an international partner), but only U.S. institutions are eligible to apply.

Contact Information:

Cynthia Nolt-Helms

E-mail: nolt-helms.cynthia@epa.gov

Phone: (202) 343-9693.

ENVIRONMENT

Section I: Brownfield Cleanup Grants – U. S. Environmental Protection Agency (EPA)

Assessment Grants

Assessment grants provide funding to make determinations about cleanup preparation. This can include determining the level of toxicity, studying past uses of the site, involving the community and crafting a cleanup plan.

Additional Information:

These grants typically supply \$200,000 but can give as much as \$1 million depending on the anticipated level of contamination and number of parties involved in cleanup. Additionally, a community can apply for the grant to cover multiple sites as a part of one plan.

Eligibility:

This grant is usually limited to government or quasi-government organizations. All Tribal, local and state governments, or subset programs of these groups, may apply. Additionally, quasi-governmental organizations, including economic development organizations or land clearance groups, may apply.

Contact Information:

Phone: (202) 566-2777

Website: http://www.epa.gov/swerosps/bf/assessment_grants.htm

Brownfield Sites

The Environmental Protection Agency (EPA) offers several different types of federally administrated programs for the cleaning of Brownfield sites. The EPA defines a Brownfield site as abandoned industrial sites that cannot profitably be repurposed or have mild levels of contamination. Each grant satisfies different goals depending on the desired effect whether it is merely preliminary assessment or even final cleanup. If a site breeches a certain level of toxicity, it becomes a Superfund site and cannot be cleaned under Brownfield-quality methods. Opportunities for Superfund work are listed later in this guide. The listed contact websites will direct the potential applicant to the specific location that features information about the grant listed in the section; the website will provide more specific information on the grant, contact information and application information.

Cleanup Grants

Following assessment, this grant program provides funding for the actual cleanup of the site in question. They provide up to \$200,000 per site and organizations should not apply for more than five sites due to budget limitations. The program requires a cost share of 20 percent and an applicant must either own the site mentioned in the application or demonstrate the ability to obtain the site.

Eligibility:

The eligibility of this grant is identical to that of Assessment Grants, which is listed above.

Contact Information:

Phone: (202) 566-2777

Website: http://www.epa.gov/swerosps/bf/cleanup_grants.htm

Job Training Pilots/Grants

This grant provides up to \$200,000 in funding to create training programs in order to enable local community groups, job training organizations, educators, labor groups, investors, lenders, developers, and other affected parties to address the issue of Brownfield sites.

Additional Information:

These training programs help unemployed or underemployed individuals attain education that enables them to participate actively in the cleanup of their community's hazardous sites. This makes them a positive choice for communities that need economic development. Programs are developed according to plans developed by the applicant although by their nature must focus specifically on an environmentally-based curriculum.

Eligibility:

Eligible applicants include all governmental bodies as well as eligible non-profit organizations. Included in this group are public and non-profit educational organizations. Eligibility for non-profits is determined mainly on an individual applicant basis and revolves around the organizations status as a public-interest group.

Contact Information:

Phone: (202) 566-2777

Website: <http://www.epa.gov/swerosps/bf/job.htm>

Revolving Loan Fund Grants

The Revolving Loan Fund Grant program allows applicants to sub-grant federal funding to other entities interested in either cleanup or assessment. If your organization needs to coordinate many smaller groups in the cleanup of several sites, this may be the grant for you. This grant can provide up to \$1 million to interested organizations.

Eligibility:

The eligibility of this grant is identical to that of Assessment Grants, which is listed above.

Contact Information:

Phone: (202) 566-2777

Website: <http://www.epa.gov/swerosps/bf/rlflst.htm>

Targeted Brownfield Assessments

This program provides funding to private contractors or similar groups so they may assess the site in question in preparation for cleaning. It is not a true grant program but is rather a service provided in a contract with the EPA.

Additional Information:

Essentially, these private organizations can accomplish preliminary planning and investigation of the site, allowing small organizations to avoid the uncertainty of a larger level of investment. EPA regional organizations determine which sites receive this treatment.

Eligibility:

The requirements are identical to the qualifications for Assessment grants.

Contact Information:

Phone: (212) 637-4314

Website: http://www.epa.gov/swerosps/bf/grant_info/tba.htm

Training, Research, and Technical Assistance Grants:

This program provides funding to organizations interested in training, research and technical assistance. This usually consists of non-profit organizations that exist specifically to concentrate their efforts towards Brownfield mitigation.

Additional Information:

Universities and non-profit organizations that have a specific interest in research also primarily benefit from this program

Eligibility:

This grant is usually limited to government or quasi-government organizations. All Tribal, local and state governments, or subset programs of these groups, may apply. Additionally, quasi-governmental organizations, including economic development organizations or land clearance groups, may apply.

Contact Information:

Phone: (202) 566-2777

Website: http://www.epa.gov/swerosps/bf/trta_k6/index.htm

Section II: Superfund Sites & Grants – U. S. Environmental Protection Agency (EPA)

Superfund Sites

When compared to a Brownfield site, Superfund sites and their cleanup are considered more carefully, and for good reason. Usually, these sites are not simply abandoned industrial areas but rather have experienced active dumping of dangerous chemicals in the past. These sites usually have unsafe contamination levels of petroleum products, toxic chemicals or both. This increases the dangers associated with cleanup so the EPA oversees the projects internally. There are, however, some opportunities for communities wishing to get involved in the process in some way.

Technical Assistance Grants (TAG)

TAG grants allow limited community involvement in the Superfund site cleanup process. Local groups may contract site investigations to private organizations so a greater understanding of plot contamination can be attained.

Additional Information:

Eligible sites include areas that are already on the EPA National Priority List, which are lands that are identified as being highly toxic, or sites that are proposed for the list. The TAG program provides an initial grant of up to \$50,000 for site investigation.

Contact Information:

Phone: 800-553-2509

Technical Assistance Services for Communities (TASC)

TASC funding is not technically a grant, but it is available through the EPA. The EPA contracts individuals from either the private or university community to inform local individuals on procedures relating to Superfund Cleanup. Ultimately, these resources are not a direct fix for the Superfund contamination, but rather help to keep concerned individuals informed appropriately.

Contact Information:

Website: <http://www.epa.gov/superfund/community/tasc/> or
<http://www.epa.gov/superfund/community/policies.htm>

Section III: Water Resource Grants – U. S. Bureau of Reclamation (UBR)

Multi-purpose and Rural Water Supply Projects

Unlike most programs discussed in this guide, Bureau of Reclamation projects usually take place at the explicit direction of Congress and require authorizing legislation. Typically, Municipal and Industrial use (M&I) programs funded through the Bureau of Reclamation are parts of larger irrigation, flood control, and hydroelectric power projects.

Additional Information:

Funding levels vary widely and are dependent on the scope of the project in question because each project requires congressional approval. Projects funded by this program are typically financed up-front by the federal government and repaid in full (plus interest) by the municipal beneficiaries via “repayment contracts”.

Eligibility:

Local governments and organizations including irrigation, water, or conservation districts can request funding either through the Bureau of Reclamation or Congress. Funding for projects outside the 17 Western States for which the original Act was designed requires additional congressional authorization.

Contact Information:

Josh German

Program Analyst, Bureau of Reclamation
(303) 445-2839

Title 16 Projects – Waste Reclamation and Water Re-use

This program allows the Secretary of the Interior to “investigate and identify” opportunities for the reclamation and reuse of wastewater and naturally impaired ground and surface water. Water reclaimed through Title 16 funding may be used for M&I water supply (non-potable and indirect potable uses only), irrigation supply, groundwater recharge, fish and wildlife enhancement, or outdoor recreation.

Additional Information:

The program gives partial grants with construction costs shared between the federal government and a local project sponsor or sponsors. The federal share is limited to a maximum of 25% of the total project; in most cases given in the form of a non-reimbursable grant. The average grant is \$2.1 million. The federal share of feasibility studies is limited to 50% of the total costs except in cases of “financial hardship”. Funding for feasibility studies must be reimbursed. The Secretary may accept in-kind services which contribute to the study as reimbursement.

Eligibility:

Projects funded by Title 16 must take place in the 17 Western States unless they are granted explicit congressional authorization. Municipalities and irrigation and water districts are all eligible recipients of funding. Funding can only go to projects where (1) an appraisal investigation and feasibility study have been completed by the Secretary of the Interior, (2) the Secretary has determined the project sponsor is capable of funding the non-federal share of costs, and (3) the local sponsor has entered into a cost-share agreement with Reclamation.

Contact Information:

Phone: (303) 445-2780

Mail: Bureau of Reclamation
Acquisition Operations Group

Attn: Randale Jackson

PO Box 25007

Denver, CO 80225

Website: www.usbr.gov

Section IV: Civil Works Programs – U. S. Department of Defense: Army Corps of Engineers (DOD)

Environmental Infrastructure

Since 1992, Congress has authorized the Corps to assist communities, particularly rural and small communities, with design and construction of drinking water and wastewater infrastructure and surface water protection and development projects.

Additional Information:

Most environmental infrastructure projects are financed 75% federally and 25% locally. The federal portion is typically provided by Congress to the Corps; specifics of how the Corps manages the nonfederal portion varies by project.

Eligibility:

Because environmental infrastructure projects fall outside the typical Corps missions, there are no clear general eligibility requirements. Typically, Congress has authorized Corps assistance for projects in a specific location (e.g. a small city) or for a defined geographic area (such as a county or state). A project will be approved only if there is authorization for work in the specified area and the activity undertaken is covered by that authorization.

Contact Information:

<http://www.lrp.usace.army.mil/>

Section V: Rural Utilizes Services – U. S. Department of Agriculture (USDA)

Natural Resources Conservation Service (Small Watershed Program)

The USDA Watershed and Flood Prevention Operations Program (often referred to as the Small Watershed Program) is operated through the Natural Resources Conservation Service (NRCS). Congress authorized this program to serve four related local needs. Two of these allow NRCS to provide technical and financial assistance to state and local organizations to plan and install measures to prevent erosion, sedimentation, and flood damage and to conserve, develop, and use land and water resources.

Additional Information:

Costs for non-agricultural water supply must be repaid by local organizations but up to 50% of costs for land, easements and rights-of-way allocated to public fish and wildlife and recreational developments may be paid with program funds. Sponsors may apply for USDA RUS Water and Waste Program loans to finance the local share of project costs. Project purposes may include watershed protection, flood prevention and control, water quality improvements, soil erosion reduction, rural municipal and industrial water supply, fish and wildlife habitat enhancement, and water conservation. Almost all projects address flood prevention and control.

Eligibility:

- State agencies and qualified local organizations can apply to participate and may sponsor or cosponsor an application.
- Qualified organizations include soil and water conservation districts; municipalities; counties; watershed, flood-control, conservancy, drainage, irrigation, or other special purpose districts; Indian tribal organizations, irrigation and reservoir companies, water users associations, or similar organizations not operated for profit.
- Other organizations can endorse pending applications. An eligible program must (1) have an approved watershed plan; (2) have environmental, economic and social benefits that exceed project costs; (3) have no critical environmental issues.
- There are no populations or community income-level limits on application, however, all projects must have flood control as a part of the plan and must be located within a small watershed (250,000 acres or less).

Contact Information:

Office Locator: <http://offices.sc.egov.usda.gov/locator/app>

Website: <http://www.nrcs.usda.gov/programs/watershed/>

Rural Utilities Service (Water and Waste Disposal Programs)

This program, administered by the Rural Utilities Service of the Department of Agriculture, is intended to provide basic human amenities, alleviate health hazards and promote growth of nation's rural areas by meeting needs for new and improved rural water and/or waste disposal facilities (including costs of distribution lines and well-pumping).

Additional Information:

USDA provides grants and loans for water and waste disposal projects. USDA prefers to make loans; grants are only offered when necessary to reduce average annual user charges to a reasonable level. Loans are offered to develop storage, treatment, purification, or distribution of water or collection, treatment, or disposal of waste in low-income rural areas. Loans are either directly offered by USDA or are guaranteed by USDA up to 90% of value when offered by private lenders and are repayable in not more than 40 years or the usable life of the funded facility, whichever is less. Only communities with poverty and intermediate rate incomes qualify for grants. Grants may fund up to 75% of proposed project. In Fiscal Year 2008, grants averaged \$702,000; loans averaged \$1.424 million.

Eligibility:

Municipalities, counties and other subdivisions of a state, associations, cooperatives and organizations operated on a not-for-profit basis, Indian tribes on federal and state reservations and other federally recognized tribes are all eligible for funding. USDA's loan and grant programs are limited to communities with populations of 10,000 or less. Communities must also have been denied credit through normal commercial channels and be below certain income levels. Loans and grants are intended to allow communities to meet health or sanitary standards set in the Clean Water Act and Safe Drinking Water Act.

Contact Information:

Phone: (202)720-9540

Website: http://www.rurdev.usda.gov/Utilities_LP.html

Section VI: Environmental Loans – U. S. Environmental Protection Agency (EPA)

Clean Water State Revolving Fund

This program allows the EPA to provide grants to all 50 states to capitalize state loan funds. The states, in turn can make loans to communities, individuals, and other for high priority water quality activities. As the money is paid back into the revolving fund, new loans are made to other recipients that need help maintaining the quality of their water. While this funding is traditionally used to build or improve wastewater treatment plants, loans are also used for: agricultural, rural, and urban runoff control, estuary improvement projects, wet weather flow control, water reuse and conservation projects. When individuals or communities are funded with a loan from this program, a project cost much less than it would if funded through the bond market.

Eligibility:

Municipalities, counties and other subdivisions of a state, associations, cooperatives and organizations operated on a not-for-profit basis, Indian tribes on federal and state reservations and other federally recognized tribes are all eligible for funding. USDA's loan and grant programs are limited to communities with populations of 10,000 or less. Communities must also have been denied credit through normal commercial channels and be below certain income levels. Loans and grants are intended to allow communities to meet health or sanitary standards set in the Clean Water Act and Safe Drinking Water Act.

Contact Information:

Water and Environmental Program

1 Credit Union Place, Suite 330

Harrisburg, PA 17110-2996

Phone: (717) 237-2266

Websites: <http://www.usda.gov/rus/water/> (Federal Website)

<http://www.usda.gov/rus/water/states/pa.htm> (Pennsylvania Website)

Clean Water State Revolving Fund Loan Program

The Clean Water Act sets standards for performance levels of municipal sewage treatment plants to prevent the release of harmful waste into surface waters. Financial assistance is also provided to enable communities to construct treatment facilities which comply with EPA's standards. The State Revolving Funds (SRF) are co-funded by the federal government (80%) and the state government (20%) and provide loan assistance in furtherance of Clean Water Act compliance. The SRF program provides assistance in constructing publicly owned municipal wastewater treatment plants, implementing nonpoint pollution management programs, and developing and implementing management plans under the National Estuary Program.

Additional Information:

Clean Water SRFs may provide seven different types of assistance: making loans; buying or refinancing existing local debt obligations; guaranteeing or purchasing insurance for local debt obligations; guaranteeing SRF debt obligations; providing loan guarantees for sub-state revolving funds; earning interest on fund accounts; and supporting reasonable costs of administering the SRF. States cannot use SRFs as a source of grants. Loans are provided at or below market interest rates, including possible zero interest loans (exact rates are negotiated by the applicant and state SRF).

Eligibility:

Eligible loan recipients are any municipality, inter-municipal, interstate or state agency. Projects or activities eligible for loans are those needed for constructing or upgrading publicly owned municipal wastewater treatment plants. Devices and systems used in the storage, treatment, recycling, and reclamation of municipal sewage are eligible, including construction or upgrading of secondary or advanced treatment plants; construction of new collector sewers, interceptor sewers or storm sewers; and projects to correct existing problem of sewer system rehabilitation, infiltration/inflow of sewer lines, and combined sewer overflows. Operation and maintenance is not an eligible activity.

Contact Information:

EPA Region 3 Office

1650 Arch Street

Philadelphia, PA 19103-2029

Phone: (215) 814-5000

Website:

<https://www.cfda.gov/index?s=program&mode=form&tab=step1&id=312e4abeea3cc908bc55deb5e07ec37f>

Drinking Water State Revolving Fund Loan Program

The Safe Water Drinking Act (SDWA) sets mandatory standards for public water systems of to control levels of approximately 90 contaminants in drinking water. In order to aid municipalities in meeting these requirements, Congress established a drinking water state revolving fund (DWSRF) loan program patterned closely on the clean water SRF.

Additional Information:

State funds are required to give funding priority to projects that (1) address the most serious health risks; (2) are needed to ensure compliance with SDWA regulations; and (3) assist systems most in need on a per household basis. States must make available at least 15% of their annual allotment to public water systems that serve 10,000 or fewer persons. States may use DWSRF to make low or zero interest loans to public water systems; loan recipients generally must repay the entire loan plus any interest.

Eligibility:

Drinking water systems that are eligible to receive DWSRF assistance include community water systems, whether publicly or privately owned, and not-for-profit non-community water systems. Federally owned systems are not eligible. Projects for assistance include (1) capital investments to upgrade or replace infrastructure in order to continue providing safe drinking water; (2) projects needed to remedy SDWA violations; (3) projects to replace aging infrastructure; (4) projects to consolidate water supplies. Assistance may also be available for land acquisition, project design and planning, and for a range of security measures including vulnerability assessments and infrastructure improvements. Projects intended to serve future growth or to provide fire protection, construction of dams or reservoirs (except reservoirs for finished water), monitoring, and operation and maintenance are not eligible.

Contact Information:

EPA Region 3 Office

1650 Arch Street

Philadelphia, PA 19103-2029

Phone: (215) 814-5000

Website:

<https://www.cfda.gov/index?s=program&mode=form&tab=step1&id=1fa58ab3aad3cbec5929ec0f5e88362b>

Natural Resources Conservation Service (Small Watershed Program)

The USDA Watershed and Flood Prevention Operations Program (commonly called the Small Watershed Program) is operated through the Natural Resources Conservation Service (NRCS). Congress has authorized this program to serve four closely related local needs. Two of these allow NRCS to provide technical and financial assistance to state and local organizations to plan and install measure to prevent erosion, sedimentation, and flood damage and to conserve develop and utilize land and water resources.

Additional Information:

Costs for non-agricultural water supply must be repaid by local organizations but up to 50% of costs for land, easements and rights-of-way allocated to public fish and wildlife and recreational developments may be paid with program funds. Sponsors may apply for USDA RUS Water and Waste Program loans to finance the local share of project costs. Project purposes may include watershed protection, flood prevention and control, water quality improvements, soil erosion reduction, rural municipal and industrial water supply, fish and wildlife habitat enhancement, and water conservation. Almost all projects address flood prevention and control.

Eligibility:

- State agencies and qualified local organizations can apply to participate and may sponsor or cosponsor an application.
- Qualified organizations include soil and water conservation districts; municipalities; counties; watershed, flood-control, conservancy, drainage, irrigation, or other special purpose districts; Indian tribal organizations, irrigation and reservoir companies, water users associations, or similar organizations not operated for profit.
- Other organizations can endorse pending applications. An eligible program must (1) have an approved watershed plan; (2) have environmental, economic and social benefits that exceed project costs; (3) have no critical environmental issues.
- There are no populations or community income-level limits on application, however, all projects must have flood control as a part of the plan and must be located within a small watershed (250,000 acres or less).

Contact Information:

Website: <http://www.nrcs.usda.gov/programs/watershed/>

County offices are listed at

<http://offices.sc.egov.usda.gov/locator/app?service=page/CountyMap&state=PA&stateName=Pennsylvania&stateCode=42>

Section VII: Block Grants – U. S. Department of Housing and Urban Development (HUD)

Community Development Block Grants

Department of Housing and Urban Development (HUD) funds provided through the Community Development Block Grants (CDBG) program are directed to localities for activities which result in decent housing in a suitable living environment, and by expanding economic opportunities, principally for persons of low and moderate incomes. Water and waste disposal needs are part of that environment, but must compete with many other needs for funding. Program policy demands that at least 70% of funding benefit low- and moderate- income persons.

Additional Information:

Entitlement communities and states receive a basic grant allocation each year and know in advance the approximate amount of federal funds they will receive. Local and state authorities distribute grants based on local priorities and must specify how they will measure performance.

Eligibility:

Eligible CDBG recipients include states, and local governments. Eligible activities include a wide range of projects such as public facilities and improvements, housing, public services, economic development, and brownfields redevelopment. State grantees must ensure that each activity meets one of the three national objectives: benefitting low- and moderate-income persons, aid in the prevention or eliminations of slums or blight, or assisting other community development needs that present a serious and immediate threat to the health or welfare of the community.

Contact Information:

F. Edward Geiger III, Commonwealth Keystone Building 400 North Street, 4th Floor, Harrisburg,
Pennsylvania 17120-0225

Phone: (717) 720-7407

Website: <http://portal.hud.gov/hudportal/HUD?src=/states/pennsylvania/community/cdbg>

Section VIII: Public Works & Development Funding – U. S. Department of Commerce (DOC)

Economic Development Administration

The Economic Development Administration (EDA) is authorized to support community water and sewer projects through the Public Works and Economic Development Program. The purpose of the program is to promote long-term economic development and assist in the construction of public works and development facilities needed to support the creation or retention of permanent jobs in the private sector in areas experiencing substantial economic distress.

Additional Information:

In general, EDA assistance average and may not exceed 50% of the cost of the program. Projects may receive additional funding, not to exceed 30%, based on the relative needs of the region in which the project will be located (determined by EDA).

Eligibility:

Public Works grants may be made to states, cities, counties and other political subdivisions of states, an institution of higher education or a consortium of such institutions, and private or public not-for-profit organizations acting in cooperation with officials of a local government. Qualified projects must fill a pressing need of the area and: (1) be intended to improve opportunities for the creation of businesses, (2) create long-term employment, and (3) benefit long-term unemployed or underemployed persons and low-income families. Projects must also fulfill a pressing need and be consistent with the comprehensive economic development plan of the area. Projects must be located in areas with at least one of the following: low per-capita income, unemployment above the national average, or an actual or anticipated abrupt rise in unemployment.

Contact Information:

Andrew Reid
Philadelphia Regional Office
(267) 687-4317
AREid@eda.doc.gov

FIRST RESPONDERS

Section I: Fire Department Loans – U.S. Forest Service (FS)

Federal Excess Personal Property (FEPP) Program

This program makes long-term loans of U.S. Forest Service equipment to local fire departments through the State Forest Service for use in fire-fighting as well as mitigation and rehabilitation. There is no match required, but the equipment must be used for legitimate fire purposes.

Additional Information:

The "personal" part of the FEPP program simply refers to any tangible property that is not real estate. This can include trucks, aircraft, personal protective equipment, motor oil, nuts, bolts, fire hose, et cetera, but not buildings. Approximately 70% of the property involved in the Forest Service FEPP program is sub-loaned to local fire departments.

Eligibility:

Applicable fire departments.

Contact Information:

Charlie Choplick

PA Bureau of Forestry, PO Box 8552 Harrisburg, PA 17105-8552

Phone: (717) 772-0256, Fax: (717) 783-7960

E-mail: cchoplick@pa.gov

Website: <http://www.fs.fed.us/fire/partners/fepp/index.html>

Section II: Fire Assistance Funding – U. S. Department of the Interior (DOI)

Rural Fire Assistance Program

The Department of the Interior (DOI) receives an appropriated budget each year for a rural fire assistance (RFA) grant program. This funding will enhance the fire protection capabilities of rural and volunteer fire departments through training, equipment purchases, and fire prevention work on a cost-shared basis.

Additional Information:

The DOI assistance program targets rural and volunteer fire departments that routinely help fight fire on or near DOI lands. One of these four agencies administers those lands: Bureau of Land Management (BLM), Bureau of Indian Affairs (BIA), U.S. Fish and Wildlife Service (FWS) and the National Park Service (NPS).

Eligibility:

Rural and volunteer fire departments that routinely help fight fire on or near DOI lands.

Contact Information:

Mr. Tony Recker

Phone: (615) 564-6764

Website: http://www.fws.gov/fire/living_with_fire/rural_fire_assistance.shtml

Section III: Operations Grants & Funding – U. S. Department of Homeland Security Federal Emergency Management Association (FEMA)

Emergency Operations Center (EOC) Grant Program

The Emergency Operations Center (EOC) Grant Program is intended to improve emergency management and preparedness capabilities by supporting flexible, sustainable, secure, and interoperable Emergency Operations Centers (EOCs) with a focus on addressing identified deficiencies and needs. This program provides funding for construction or renovation of a state, local or tribal governments' principal EOC.

Additional Information:

Fully capable emergency operations facilities at the state and local levels are an essential element of a comprehensive national emergency management system and are necessary to ensure continuity of operations and continuity of government in major disasters caused by any hazard.

Eligibility:

The governor of each state and territory is required to designate a State Administrative Agency (SAA) to apply for and administer the funds awarded under the EOC Grant Program. The SAA is the only eligible entity able to apply for the available funding on behalf of eligible state, local, and tribal EOCs.

Contact Information:

Phone: (866) 927-5646

E-mail: ASK-GMD@dhs.gov

Website: <https://www.fema.gov/fy-2011-emergency-operations-center-grant-program>

Emergency Management Performance Grants (EMPG)

The purpose of the EMPG is to assist state and local governments in enhancing and sustaining all-hazards emergency management capabilities.

Eligibility:

All 56 states and territories, as well as the Republic of the Marshall Islands and the Federated States of Micronesia, are eligible to apply for EMPG funds. Either the State Administrative Agency (SAA) or the state's Emergency Management Agency (EMA) are eligible to apply directly to FEMA for EMPG funds on behalf of state and local emergency management agencies, however only one application will be accepted from each state or territory.

Contact Information:

Phone: (866) 927-5646

E-mail: ASK-GMD@dhs.gov

Website: <https://www.fema.gov/emergency-management-performance-grant-program>

Fire Prevention and Safety (FP&S) Grants

The primary goal is to target high-risk populations and mitigate high incidences of death and injury. Examples of the types of projects supported by FP&S include fire prevention and public safety education campaigns, juvenile arsenal interventions, media campaigns, and arson prevention and awareness programs. In fiscal year 2005, Congress reauthorized funding for FP&S and expanded the eligible uses of funds to include Firefighter Safety Research and Development.

Eligibility:

Any organization that has experience in fire prevention activities or that conducts research in fire safety activities is eligible to apply for this grant opportunity.

Contact Information:

Phone: 866-274-0960

E-mail: firegrants@dhs.gov

Website: <https://www.fema.gov/fire-prevention-safety-grants>

Harvard Fire Executive Program

The Harvard Fire Executive Fellowship is sponsored through a partnership between the International Association of Fire Chiefs (IAFC), the International Fire Service Training Association (IFSTA), the National Fire Protection Association (NFPA) and the United States Fire Administration. Each organization equally funds the tuition costs of selected applicants to attend the Senior Executives in State and Local Government Program at Harvard University.

Eligibility:

All Senior Fire Executives are eligible to apply for this funding opportunity.

Contact Information:

Phone: (866) 927-5646

E-mail: ASK-GMD@dhs.gov

Section IV: Community Grants – U.S. Department of Agriculture (USDA)

Community Facilities Grant Program

The Community Facilities Grant Program provides assistance for rural areas & towns up to populations of 20,000 to construct, enlarge, or improve essential community facilities. Grants can be used for real estate and equipment such as fire stations and fire trucks.

Eligibility:

Rural areas & towns up to populations of 20,000.

Contact Information:

Ms. Gail Giannotta

Phone: (315) 477-6429

USDA Rural Public Safety Agency Grant and Loan Program

Grant funds can be used to construct, enlarge, or improve community facilities for health care, public safety, and community and public services. This can include the purchase of equipment required for a facility's operation. A grant may be made in combination with other CF financial assistance such as a direct or guaranteed loan, applicant contributions, or loans and grants from other sources.

Eligibility:

Applicable fire departments.

Contact Information:

Website: <http://www.rd.usda.gov/programs-services/community-facilities-direct-loan-grant-program>

Telephone: 202-720-4323

Section V: Good Neighbor Next Door Program – U. S. Department of Housing and Urban Development (HUD)

HUD Good Neighbor Next Door Program

Full-time Public Safety Officers including Police Officers, Firefighters and EMTs can buy a HUD-owned home at 50% of the market value of the home. Eligible Single Family homes located in revitalization areas are listed exclusively for sales through the Good Neighbor Next Door Sales program. Properties are available for purchase through the program for five days.

Eligibility:

Public Safety Officers including Police Officers, Firefighters and EMTs.

Contact Information:

Phone: (800) 211-0621

Website: http://portal.hud.gov/hudportal/HUD?src=/program_offices/housing/sfh/reo/goodn/gnndabot

HEALTH

Section I: Lead Paint Grants – U. S. Environmental Protection Agency (EPA)

Targeted Grants to Reduce Childhood Lead Poisoning

EPA is soliciting proposals from eligible entities to conduct activities to reduce incidences of childhood lead poisoning in vulnerable populations, projects will address develop tools to address unique and challenging issues in lead poisoning prevention, especially tools that are replicable and scalable for other areas.

Additional Information:

Activities may include training medical professionals, developing culturally-specific lead outreach materials, distributing pamphlets, establishing an in-home education program to visit the homes of young children, promoting lead-safe work practices, and outreach to stakeholders (renovators, landlords, code enforcement officials, etc.) on the requirements of the EPA's Lead Renovation, Repair, and Painting rule and pre-renovation education requirements in high-risk areas.

Eligibility:

State governments, U.S. territories or possessions, federally recognized Indian tribal governments and Native American Organizations, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, local governments and individuals and international entities.

Contact Information:

Hans Scheifele

E-mail: scheifele.hans@epa.gov

Phone: (202) 564-3122

Section II: Lead Paint Control Programs – U. S. Department of Housing and Urban Development (HUD)

Healthy Homes Technical Studies

HUD is funding studies to improve HUD's and the public's knowledge of housing-related health and safety hazards, and to improve or develop new hazard assessment and control methods, with a focus on key residential health and safety hazards. The overall purpose of the Healthy Homes Technical Studies program is to gain knowledge to improve the efficacy and cost-effectiveness of methods for evaluation and control of housing-related health and safety hazards.

Eligibility:

Academic, not-for-profit and for-profit institutions located in the U.S., state and units of general local government, and federally recognized Native American tribes are eligible to apply.

Contact Information:

Dr. Peter Ashley

E-mail: Peter.J.Ashley@hud.gov

Phone: (202) 402-7595

Lead Based Paint Hazard Control Grant

The Lead Hazard Reduction Demonstration Grant Program assists urban jurisdictions with the greatest lead-based paint hazard control needs, in undertaking programs for the identification and control of lead-based paint hazards in eligible privately owned rental and owner-occupied housing units.

Additional Information:

The Lead-Based Paint Hazard Control Grant program is the largest program in terms of dollar amount and number of grants.

Eligibility:

State, county, city, township, special district and Native American governments are all eligible to apply for funding.

Contact Information:

Bill Nellis

HUD Office of Healthy Homes and Lead Hazard Control 451 7th St. S.W., Room 8236 Washington, D.C. 20410

E-mail: William.C.Nellis@hud.gov

Phone: (202) 402-7686

Lead Hazard Reduction Demonstration Program

The purpose of the Lead-Based Paint Hazard Control (LHC) and the Lead Hazard Reduction (LHRD) grant programs is to identify and control lead-based paint hazards in eligible privately owned housing for rental or owner-occupants.

Additional Information:

Assists States, Native American Tribes and local governments with high numbers of rental units built in 1940 or earlier in creating wide-ranging programs to identify and control lead-based paint hazards in eligible privately owned housing. Each year the OHHLHC awards roughly 12 grants of up to \$4M per grant.

Eligibility:

States, Native American Tribes and local governments

Contact Information:

Bill Nellis

HUD Office of Healthy Homes and Lead Hazard Control 451 7th St. S.W., Room 8236 Washington, D.C. 20410

E-mail: William.C.Nellis@hud.gov

Phone: (202) 402-7686

The Lead Technical Studies Grant Program

The program allows grantees to conduct research to gain knowledge on improving the efficacy and cost-effectiveness of methods for evaluation and control of residential lead-based paint hazards. Applicants are not required to provide a match to participate.

Eligibility:

Private sector, non-profit and for-profit organizations

Contact Information:

Bill Nellis

HUD Office of Healthy Homes and Lead Hazard Control

451 7th St. S.W., Room 8236

Washington, D.C. 20410

E-mail: William.C.Nellis@hud.gov

Phone: (202) 402-7686

Operation Lead Elimination Action Program

Assists grantees in creating wide-ranging programs to identify and control lead-based paint hazards in eligible privately owned housing by leveraging private sector funding. Applicants are not required to provide a match to participate, but receive higher points during review based on the amount of leveraged funds dedicated to the applicant's proposal.

Eligibility:

Private sector, non-profit and for-profit organizations

Contact Information:

Bill Nellis

451 7th St. S.W., Room 8236

Washington, D.C. 20410

E-mail: William.C.Nellis@hud.gov

Phone: (202) 402-7686

Operation Lead Elimination Action Program

The overall program objective is to gain knowledge to improve the efficacy and cost-effectiveness of methods for the evaluation and control of housing-related health and safety hazards. The program, part of HUD's Healthy Homes Initiative, is particularly focused on children's health.

Additional Information:

The fund will help create wide-ranging programs to identify and control lead-based paint hazards in eligible privately owned housing by leveraging private sector funding. Applicants are not required to provide a match to participate, but receive higher points during review based on the amount of leveraged funds dedicated to the applicant's proposal. The Healthy Home Technical Studies (HHTS) program is a competitive grant program that is announced annually through HUD's SuperNOFA (Notice of Funding Availability) publication in the Federal Register.

Eligibility:

Academic and not-for-profit institutions located in the U.S., state and units of local general government, federally recognized Native American tribes, and for-profit organizations.

Contact Information:

Bill Nellis
HUD Office of Healthy Homes and Lead Hazard Control
451 7th St. S.W., Room 8236
Washington, D.C. 20410
E-mail: William.C.Nellis@hud.gov
Phone: (202) 402-7686

Section III- Programs and Funding – U. S. Department of Health and Human Services (DHS)

Assets for Independence

Assets for Independence (AFI) enables community-based nonprofits and government agencies to implement and demonstrate an assets-based approach for giving low-income families a hand up out of poverty. Additionally, all AFI projects provide basic financial management training and supportive services, such as financial education on owning and managing a bank account or a credit card; credit counseling and repair; guidance in accessing refundable tax credits, including the Earned Income Tax Credit and the Child Tax Credit; and specialized training in owning particular long-term assets.

Eligibility:

- Nonprofit organizations, including faith-based and community groups
- State, local and tribal government agencies
- Community development financial organizations

Contact Information:

Phone: 1-866-778-6037
E-mail: info@idaresources.org

Discretionary Funds for Projects to Establish Individual Development Account (IDA) Programs for Refugees

This project will establish, support, and manage IDAs for eligible low-income refugee individuals and families. The refugee IDA Program represents an anti-poverty strategy built on asset accumulation for low-income refugee individuals and families with the goal of promoting refugee economic independence.

Additional Information:

In particular, the objectives of this program are to:

- Encourage regular saving habits among refugees;
- Promote refugee participation in the financial institutions of this country;
- Promote refugee acquisition of assets to build individual, family, and community resources;
- Increase refugee knowledge of financial and monetary topics;
- Assist refugees in advancing their education;
- Increase home ownership among refugees; and
- Assist refugees in gaining access to capital.

These new grantees will accomplish these objectives by establishing programs that combine the provision of matched savings accounts with financial training and counseling.

Eligibility:

- Faith-based and community organizations
- State governments
- City, county or township governments
- Non-profits having a 501(c)(3) status with the IRS, other than institutions of higher education
- Non-profits that do not have a 501(c)(3) status with the IRS, other than institutions of higher education
- Public, state-controlled, and private institutions of higher education
- Independent school districts

Contact Information:

Yimeen Vu

901 D St NW Aerospace Building 8th Floor Washington, DC 20447

Phone: (202) 401-4825

E-mail: yimeen.vu@acf.hhs.gov

Website: <http://www.acf.hhs.gov/grants/open/foa/view/HHS-2010-ACF-ORR-ZI-0013>

Healthy Food Financing Initiative

The Healthy Food Financing Initiative (HFFI) supports projects that increase access to healthy, affordable food in communities that currently lack these options. Using the census tract as a unit of analysis for identifying food deserts, the U.S. Department of Agriculture (USDA), U.S. Department of Treasury and the U.S. Department of Health and Human Services (HHS) will give funding priority to projects and interventions that establish healthy retail outlets in HFFI defined food deserts. USDA, Treasury and HHS have defined a food desert as a census tract with a substantial share of residents who live in low-income areas that have low levels of access to a grocery store or healthy food retail outlet.

Eligibility:

- Nonprofit organizations, including faith-based and community groups
- State, local and tribal government agencies
- Community development financial organizations
- The USDA's food desert locator can be used to find census tracts that have a lack of grocery stores and fresh foods <http://www.ers.usda.gov/data/fooddesert/fooddesert.html>

Contact Information:

Rafael J. Elizalde

1515 Wilson Blvd, Suite 100 Arlington, VA 22209

Phone: (800) 281-9519

E-mail: ocsgrants@acf.hhs.gov

Website: <http://www.acf.hhs.gov/programs/ocs/ced/index.html>

Wilson/Fish Alternative Program

This program enables applicants to implement alternative projects under one of two categories in order to provide interim financial assistance, social services, and case management to refugees in a manner that encourages self-sufficiency and fosters greater coordination among resettlement agencies and service providers in a community.

Eligibility:

- Faith-based and community organizations that are eligible to apply under this announcement.
- Foreign entities are not eligible under this program.

Contact Information:

Carl Rubenstein

Phone: (202) 205-5933

E-mail: carl.rubenstein@acf.hhs.gov

Website: <http://www.acf.hhs.gov/grants/open/foa/view/HHS-2010-ACF-ORR-RW-0051>

HOUSING

Section I: Block Grant Programs – U. S. Department of Housing and Urban Development (DHS)

Community Development Block Grant: Entitlement Communities Grants

Provides annual grants on a formula basis to entitled cities and counties to develop viable urban communities by providing decent housing and a suitable living environment, and by expanding economic opportunities, principally for low- and moderate-income persons.

Additional Information:

- HUD awards grants to entitlement community grantees to carry out a wide range of community development activities directed toward revitalizing neighborhoods, economic development, and providing improved community facilities and services.
- Entitlement communities develop their own programs and funding priorities. However, grantees must give maximum feasible priority to activities which benefit low- and moderate-income persons. A grantee may also carry out activities which aid in the prevention or elimination of slums or blight. Additionally, grantees may fund activities when the grantee certifies that the activities meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available to meet such needs. CDBG funds may not be used for activities which do not meet these broad national objectives.

Eligibility:

- Eligible grantees are as follows:
 - Principal cities of Metropolitan Statistical Areas (MSAs);
 - Other metropolitan cities with populations of at least 50,000; and
 - Qualified urban counties with populations of at least 200,000 (excluding the population of entitled cities) are entitled to receive annual grants.
- HUD determines the amount of each entitlement grant by a statutory dual formula which uses several objective measures of community needs, including the extent of poverty, population, housing overcrowding, age of housing and population growth lag in relationship to other metropolitan areas.

Contact Information:

Please contact your local government to receive and pursue assistance from the Community Development Block Grant Entitlement Communities Grant.

Website: <http://www.hud.gov/offices/cpd/communitydevelopment/programs/>

Neighborhood Stabilization Grant Program

Established for the purpose of stabilizing communities that have suffered from foreclosures and abandonment. Through the purchase and redevelopment of foreclosed and abandoned homes and residential properties, the goal of the program is being realized.

Additional Information:

NSP is a component of the Community Development Block Grant (CDBG). The CDBG regulatory structure is the platform used to implement NSP and the HOME program provides a safe harbor for NSP affordability requirements.

Eligibility:

NSP funds may be used for activities which include, but are not limited to:

- Establish financing mechanisms for purchase and redevelopment of foreclosed homes and residential properties;
- Purchase and rehabilitate homes and residential properties abandoned or foreclosed;
- Establish land banks for foreclosed homes;
- Demolish blighted structures;
- Redevelop demolished or vacant properties

Contact Information:

Website: <https://www.hudexchange.info/nsp/>

Sustainable Communities Regional Planning Grants

Supports metropolitan and multijurisdictional planning efforts that integrate housing, land use, economic and workforce development, transportation, and infrastructure investments in a manner that empowers jurisdictions to consider the interdependent challenges of: (1) Economic competitiveness and revitalization, (2) Social equity, inclusion, and access to opportunity, (3) Energy use and climate change, (4) Public health and environmental impact.

Additional Information:

The Program places a priority on investing in partnerships, including nontraditional partnerships (e.g., arts and culture, recreation, public health, food systems, regional planning agencies and public education entities) that translate the Federal Livability Principles into strategies that direct long-term development and reinvestment, demonstrate a commitment to addressing issues of regional significance, use data to set and monitor progress toward performance goals, and engage stakeholders and residents in meaningful decision-making roles.

Contact Information:

Website:

http://portal.hud.gov/hudportal/HUD?src=/program_offices/economic_resilience/sustainable_communities_regional_planning_grants

Section II: Veterans Housing Grants – U.S. Department of Veterans Affairs (VA)

Grant and Per Diem Program

The Grant and Per Diem Program is a two part program offered through the U.S. Department of Veteran Affairs. The grant portion authorizes the Veterans Authority to issue grants to public and private nonprofit organizations to provide transitional housing and services. These grants will fund up to 65% of acquisition cost, remodeling, expansion of facilities, or outreach and transportation services. The per diem portion of the program reimburses grant recipients for the cost of providing housing and services for homeless veterans. This pays organizations for the housing to veterans at a fixed dollar rate for each bed that is occupied (the 2009 rate is \$34.40 per day).

Additional Information:

Organizations cannot be reimbursed for both housing and services provided to the same individual. The Per Diem portion also compensates grant recipients for the services they provide to veterans at service centers at an hourly rate of one eighth of the cost.

Eligibility:

Applications are not accepted for Capital Grants or "Per Diem Only" funding until the Notice of Funding Availability (NOFA) is published in the Federal Register. Funds will be awarded to programs determined to be the most qualified

Contact Information:

Website: <http://www.va.gov/homeless/gpd.asp>;

find your local VA visit <http://www2.va.gov/directory/guide/state.asp?State=NY&dnum=ALL>

Phone: National- (800) 827-1000

VA-Home Loan Guarantee

The Department of Veterans Affairs (VA) home loan guarantee program allows an eligible veteran to purchase a home through a private lender and guarantee to pay the lender a portion of the losses if the veteran defaults on the loan. The program authorized the guarantee of 20-year loans at a 4% interest rate under which the VA guaranteed to pay the lender the small amount of 50% of the loan amount or \$2,000 if the borrower defaulted. The loan term, interest rate, and the percentage and dollar guaranty limitations have been increased over the years.

Additional Information:

Veterans who receive a discharge other than honorable may apply to the Secretary of the Department of Veterans Affairs for a certificate of eligibility. Generally, persons who have had at least six years of service in the Selected Reserves or National Guard are eligible for VA-guaranteed housing loans. Reservists and National Guard members, however, who were activated on or after August 2, 1990, served at least 90 days and were discharged honorably, are eligible.

Eligibility:

- Veterans who served more than 90 days of active duty during World War II, the Korean conflict, the Vietnam Era, or the Persian Gulf War are eligible for VA guaranteed housing loans.
- Veterans whose service fell entirely within (1) 7/26/47 to 6/26/50, (2) 2/1/55 to 8/4/64, or (3) 5/8/75 to 9/7/80 (enlisted) or to 10/16/81 (officers) must have served at least 181 days of active duty.
- Veterans whose service began after 9/7/80 (enlisted) or 10/16/81 (officer) must have completed 24 months of active duty or the full period (at least 181 days) for which they were called or ordered to active duty.
- The unmarried surviving spouses of veterans whose deaths were service connected and the spouses of veterans listed for 90 days or more as missing-in-action or prisoners-of-war are also eligible for VA-guaranteed home loans.

Contact Information:

Website: http://www.homeloans.va.gov/pdf/vap_26-4_online_version.pdf

Phone: (800) 569-4287

Veterans Affairs Supported Housing (HUD-VASH)

The Department of Housing and Urban Development VA Supported Housing (HUD-VASH) allows local Public Housing Authorities (PHAs) to administer Section 8 Vouchers while local VA medical centers provide case managements and clinical services for these veterans.

Additional Information:

For more information about Section 8 Vouchers, see Section III part 1 of this guidebook.

Eligibility:

Requests must be jointly signed by a PHA and Veterans Affairs Medical Center (VAMC).

Contact Information:

Website: <http://www.hud.gov/offices/pih/programs/hcv/vash/>

Phone: (202) 708-1112

Section III: Senior Citizen Housing Programs – U. S. Department of Housing and Urban Development (HUD)

Assisted Living Conversion

The Department of Housing and Urban Development (HUD) Appropriations Act of FY2000 (P.L. 106-74) created the Assisted Living Conversion program to allow HUD-subsidized facilities for elderly residents to modify their apartments and common areas to accommodate elderly persons and persons with disabilities who need additional assistance in order to remain in their units.

Additional Information:

Grant recipients may use the funds to make units accessible by installing grab bars, widening doors, installing accessible appliances and counters, and adding emergency alert systems, among other modifications. Grant recipients may also use funds to renovate common spaces for kitchen, dining, or recreational use, and to provide furniture, appliances, and equipment for those areas.

Eligibility:

Those serving the frail, elderly and non-elderly persons with disabilities.. HUD-funded buildings developed under the Section 202 program, Section 236 program, and Section 221(d)(3) program, or units supported by project-based Section 8 rental assistance, are eligible to apply for funds. Owners may use funds to convert some or all units in a building for use as assisted living units.

Contact Information:

Phone: (800) 955-2232

Website: <http://www.dhcr.state.ny.us/Programs/RESTORE>

Multi-Family Housing Service Coordinator

Service coordinators in The Department of Housing and Urban Development (HUD) developments for elderly persons and persons with disabilities work with residents to provide a wide range of services. These include the arrangement of transportation, meal services, housekeeping, medication management, nurse visits, dentists, and massage therapists, haircuts, and social activities.

Additional Information:

Service coordinators became eligible for funding through the Section 202 program starting in 1990. HUD developments funded through the Section 221(d) (3) and Section 236 programs were made eligible for service coordinator funding in the Housing and Community Development Act of 1992.

Eligibility:

- All residents if at least 25% of residents are frail elderly, at-risk elderly, or non-elderly persons with disabilities.
- Funding for the Service Coordinator program is awarded on a competitive basis. Owners of eligible properties may apply for funds on an annual basis through HUD's grant process. To qualify, at least 25% of residents in a development must be considered frail elderly, at-risk elderly, or disabled non-elderly.
- Applicants must also show that they have no other funds available to pay for a service coordinator. Grants are made for three years, and are renewable.

Contact Information:

Phone: (800) 955-2232

Website: <http://www.hud.gov/offices/hsg/mfh/progdesc/servicecoord.cfm>

Residential Emergency Service to Offer Repairs to the Elderly (RESTORE)

RESTORE funds may be used to pay for the cost of emergency repairs to eliminate hazardous conditions in homes owned by the elderly when the homeowners cannot afford to make the repairs in a timely fashion.

Additional Information:

- Funds must be used for low-income elderly owner households in one- to four-unit owner-occupied dwellings. The program permits not-for-profit corporations to use up to 7.5 percent of the award to cover administrative costs.
- Municipalities can use up to 5 percent for administrative costs. No more than 50 percent of the annual appropriation may be allocated to any one municipality.

Eligibility:

To be eligible for assistance, homeowners must be 60 years of age or older and have a household income that does not exceed 80 percent of the area median income.

Contact Information:

For more information, please contact the New York Division of Housing and Community Renewal:

Phone: (866) 275-3427 ask to be transferred to your regional office for more information

Website: <http://www.nyshcr.org/Forms/Restore/>

Resident Opportunity and Self-Sufficiency (ROSS) Service Coordinators Program

The ROSS Service Coordinator program through the U.S. Department of Housing and Urban Development provides funding to hire and maintain Service Coordinators who will assess the needs of residents of conventional Public Housing or Indian housing and coordinate available resources in the community to meet those needs.

Additional Information:

- ROSS' service coordinators may arrange for meals, transportation, housekeeping, health and nutrition programs, case management, job training, and assistance with personal care for those making the transition from welfare to work.
- The ROSS program also funds the Public Housing Family Self-Sufficiency (PH FSS) program.

Eligibility:

Public Housing Authorities (PHAs), tribes/tribally designated housing entities (TDHEs), Resident associations (RAs) such as resident management corporations, resident councils, and intermediary resident organizations, and nonprofit organizations supported by residents and/or PHAs are eligible for funding.

Contact Information:

Phone: (202) 402-2341

Anice Schervish

Website: <http://www.hud.gov/offices/pih/programs/ph/ross/about.cfm>

Section 202 Supportive Housing for the Elderly Program

Section 202 Supportive Housing for the Elderly Program consists of two parts. The first is a grant program while the second is Low-Income Tax Credit. Currently, Section 202 provides grants and project rental assistance for developers so that they can build affordable housing for the elderly. The Department of Housing and Urban Development (HUD) gives Section 202 grants to both private nonprofit organizations and for profit general partnerships where the sole general partner is a nonprofit group.

Additional Information:

HUD provides interest-free capital advances to private, nonprofit sponsors to finance the development of supportive housing for the elderly. The capital advance does not have to be repaid as long as the project serves very low-income elderly persons for 40 years.

Eligibility:

HUD defines "elderly person" as a households composed of one or more persons, at least one of whom is age 62 or older at the time of initial occupancy.

Contact Information:

Ms. Elicia Anderson

Phone: (202) 402-5787

Website: <http://www.hud.gov/offices/hsg/mfh/progdesc/eld202.cfm>

Senior Citizen Rent Increase Exemption (SCRIE)

Tenants who are 62 years or older may qualify for full exemption or partial exemption from rent increases under the Senior Citizen Rent Increase Exemption (SCRIE). This applies to tenants in rent controlled and rent stabilized apartments or hotels in New York City, and to tenants in apartments regulated by rent control or Emergency Tenant Protection Act (ETPA) in the municipalities outside of New York City that have authorized the exemption program.

Additional Information:

The exemption program is administered by Division of Housing and Community Renewal (DHCR) outside New York City. In New York City, it is administered by the New York City Department for the Aging, 2 Lafayette Street, 6th Floor, New York, NY 10007.

Eligibility:

Senior citizens are eligible if their incomes are below a maximum limit set by local law; they are paying at least one-third of their income for rent, and if they are tenants in rent stabilized apartments must have a valid one or two-year lease.

Contact Information:

Telephone: (212) 442-1000

Website: <http://www1.nyc.gov/site/finance/benefits/tenants-scrie.page>

Section IV: Low-Income, Disability & Rural Housing Programs – U. S. Department of Housing and Urban Development (HUD)

Housing for Farm Laborers

The Housing Act of 1961 added Section 514 to the Housing Act of 1949. Under Section 514, loans are made to farm owners, associations of farm owners, or nonprofit organizations to provide "modest" living quarters, basic household furnishings, and related facilities for domestic farm laborers. The loans are repayable in 33 years and bear an interest rate of 1%. To be eligible for Section 514 loans, applicants must be unable to obtain financing from other sources that would enable the housing to be affordable by the target population.

Additional Information:

Applicants who own farms or who represent farm owners must show that the farming operations have a demonstrated need for farm labor housing, and the applicants must agree to own and operate the property on a nonprofit basis. Except for state and local public agencies or political subdivisions, the applicants must be unable to provide the housing from their own resources and unable to obtain the credit from other sources on terms and conditions that they could reasonably be expected to fulfill.

Eligibility:

- Applicants must be unable to obtain credit on terms that would enable them to provide housing to farm workers at rental rates that would be affordable to the workers.
- Loans are made to farmers, associations of farmers, family farm corporations, Indian tribes, nonprofit organizations, public agencies, and associations of farm workers.

Contact Information:

Phone: (202) 720-2791

Low Income Housing Tax Credit Program (LIHTC) Program

The LIHTC Program is an indirect Federal subsidy used to finance the development of affordable rental housing for low-income households. The LIHTC Program may seem complicated, but many local housing and community development agencies are effectively using these tax credits to increase the supply of affordable housing in their communities. This topic is designed to provide a basic introduction to the LIHTC Program.

Eligibility:

- To be eligible for consideration under the LIHTC Program, a proposed project must:
- Be a residential rental property.
- Commit to one of two possible low-income occupancy threshold requirements.
- Restrict rents, including utility charges, in low-income units.
- Operate under the rent and income restrictions for 30 years or longer, pursuant to written agreements with the agency issuing the tax credits.

Contact Information:

Phone: (202) 402-4589

Website: <http://www.hud.gov/offices/fheo/lihtcmou.cfm>

Public Housing

Low-rent public housing developments are owned and operated by local Public Housing Authorities (PHAs) and subsidized and regulated by the federal government. Generally, families are eligible to live in public housing if they are low-income (those with income at or below 80% of area median income), but 40% of public housing units that become available in a year must be given to extremely low-income families (those with income at or below 30% of area median income). Families living in public housing pay 30% of their adjusted income toward rent.

Additional Information:

Families that wish to receive a voucher must generally apply to their local Public Housing Authority and are placed on a waiting list, the length of which varies by community and can range from several months to many years.

Eligibility:

Families who earn 80% or less of the local area median income.

Contact Information:

Website: <http://nhl.gov/offices/pih/pha/contacts/states/pa.cfm>

Phone: (800) 955-2232 ext. 7004

Rural Housing Preservation Grant (HPG)

The HPG program provides grants to qualified public agencies, private non-profit organizations, and other eligible entities to assist very low- and low-income homeowners in repairing and rehabilitating their homes in rural areas. In addition, the HPG program assists rental property owners and cooperative housing complexes in repairing and rehabilitating their units if they agree to make such units available to low- and very low-income persons.

Eligibility:

- Faith-based and community organizations
- County governments
- City or township governments
- Public Housing Authorities
- Non-profit organizations

Contact Information:

Bonnie Edwards-Jackson

United States Department of Agriculture, Stop 0781 1400 Independence Avenue, SW. Washington, DC, 20250-0781

E-mail: Bonnie.Edwards@wdc.usda.gov

Website: <http://edocket.access.gpo.gov/2010/pdf/2010-9648.pdf>

Rural Home Purchase Loans

Section 502 loans are primarily used to help low-income individuals or households purchase homes in rural areas. Funds can be used to build, repair, renovate or relocate a home, or to purchase and prepare sites, including providing water and sewage facilities.

Additional Information:

Loans are for up to 33 years. The promissory note interest rate is set by the lender. There is no required down payment. The lender must also determine repayment feasibility, using ratios of repayment (gross) income to PITI and to total family debt.

Eligibility:

- Individuals who earn up to 115% of the median area income.
- Families without adequate housing, but able to afford the mortgage payments, including taxes and insurance.
- Applicants with reasonable credit histories.

Contact Information:

Phone: (202) 720-2791

Rural Home Repair Loans

Section 504 of the Housing Act of 1949 authorized loans, grants, or combinations of loans and grants to make farm dwellings safe and sanitary or to remove health hazards. Loans are limited to \$20,000, and have a 20-year term at a 1% interest rate.

Additional Information:

Owners who are aged 62 or more may qualify for grants of up to \$7,500 to pay for needed home repairs. To qualify for the grants, the elderly homeowners must lack the ability to repay the full cost of the repairs. Depending on the cost of the repairs and the income of the elderly homeowner, the owner may be eligible for a grant for the full cost of the repairs, or for some combination of a loan and a grant that covers the repair costs. The combination loan and grant may total no more than \$20,000.

Eligibility:

- Rural homeowners with incomes of 50% or less of the area median income.
- Families without adequate housing, but able to afford the mortgage payments, including taxes and insurance.
- Applicants with reasonable credit histories.

Contact Information:

Phone: (202) 720-2791

Rural Rental Housing

Loans under Section 515 are made to individuals, corporations, associations, trusts, partnerships, and public agencies. The loans are made at a 1% interest rate and are repayable in 50 years. Except for public agencies, all borrowers must demonstrate that financial assistance from other sources will not enable the borrower to provide the housing at terms that are affordable to the target population.

Eligibility:

All borrowers must demonstrate that financial assistance from other sources will not enable the borrower to provide the housing at terms that are affordable to the target population. Only those who are eligible for the low-income housing tax credit.

Contact Information:

Phone: (202) 720-2791

Section 8 Vouchers

Section 8 vouchers are a form of tenant-based rental assistance funded by the federal government, administered locally, and provided to private landlords on behalf of low-income families. Under the Section 8 Voucher Program, a family pays 30% of its adjusted income toward rent (although it can choose to pay more) and the program makes payments to the landlord based on a maximum subsidy set by a local governmental agency (based on the local fair market rent established by The Department of Housing and Urban Development), less the tenant's contribution.

Additional Information:

Families that wish to receive a voucher must generally apply to their local Public Housing Authority (PHA) and are placed on a waiting list, the length can vary from several months to years.

Eligibility:

Families who earn 80% or less of the local area median income.

Contact Information:

Website: <http://nhl.gov/offices/pih/pha/contacts/states/pa.cfm>

Phone: (800) 955-2232 ext. 7004

Supportive Housing for Persons with Disabilities

The Section 811 program allows persons with disabilities to live as independently as possible in the community by increasing the supply of rental housing with the availability of supportive services. The program also provides project rental assistance, which covers the difference between the HUD-approved operating costs of the project and the tenants' contribution toward rent.

Eligibility:

In order to live in Section 811 housing, a household which may consist of a single qualified person must be very low-income (within 50 percent of the median income for the area) and at least one member must be 18 years old or older and have a disability, such as a physical or developmental disability or chronic mental illness.

Contact Information:

Website: <http://www.hud.gov/offices/hsg/mfh/progdesc/disab811.cfm>

Phone: (202) 402- 2255

Section V: Tax Credits & Federal Incentives – U. S. Department of Housing and Urban Development (HUD)

The First-Time Homebuyer Tax Credit

First-time homebuyers in 2009 are allowed a credit against their federal income tax equal to a maximum of 10% of a home's purchase price, or \$8,000. The amount of the credit that may be claimed is reduced for individuals with modified Adjusted Gross Income (AGI) of more than \$75,000 (\$150,000 for joint filers).

Additional Information:

An individual who purchases a home in 2009 will not have to repay his or her tax credit unless the home is sold within three years of purchase. If the home is sold within three years of purchase, an individual must repay the tax credit in equal installments over 15 years beginning in the second taxable year after the purchase of a home. For these individuals, given that interest does not accumulate during the repayment period, the repayable tax credit equates to an interest free loan with a 16-year repayment period (a 1-year grace period plus 15 years of payments). The annual repayment is equal to 1/15th the amount of the original tax credit. Should the home be sold or no longer used as the owner's principal residence, the entire tax credit is to be repaid in the tax year when such change in use of the property occurs. The recaptured amount may not exceed any gain realized by the sale of the house.

Eligibility:

- Buyer without an interest in a principal residence in the last three years.
- Individuals with modified AGI less than \$95,000 (\$170,000 for joint filers)

Contact Information:

Phone: (800) 829-1040

The Operation Lead Elimination Action Program

Assists grantees in creating wide-ranging programs to identify and control lead-based paint hazards in eligible privately owned housing by leveraging private sector funding. Applicants are not required to provide a match to participate, but receive higher points during review based on the amount of leveraged funds dedicated to the applicant's proposal.

Eligibility:

Private sector, non-profit and for-profit organizations.

Contact Information:

Bill Nellis

451 7th St. S.W., Room 8236 Washington, D.C. 20410

E-mail: William.C.Nellis@hud.gov

Phone: (202) 402-7686

SENIOR CITIZENS

Section I: Health Programs – U. S. Department of Health and Human Services (DHS)

ADRC Options Counseling and Assistance Programs

The ADRC Options Counseling function is specifically designed to help individuals regardless of their age, income or disability to understand the full range of services and supports available in their community, evaluate how those options relate to their particular needs and circumstances, and make informed decisions about obtaining and managing the options that best meet their needs, either with their own private resources and/or through one or more public or private programs.

Additional Information:

This grant opportunity will support State projects to strengthen, develop and/or implement a comprehensive set of standards they can use to guide, monitor and continually improve the delivery of Options Counseling and Assistance within the context of their ADRC systems. These funds will help States to standardize options counseling delivery policies and procedures, identify and invest in staff training and preparation, and implement common client tracking procedures for assessing the performance of Options Counseling across their ADRCs.

Eligibility:

State agencies are eligible to apply, however there is a limit of one application per state.

Contact Information:

Joseph Lugo

E-mail: Joseph.lugo@aoa.hhs.gov

ADRC Nursing Home Transition and Diversion Programs

The primary goal of this opportunity is to facilitate and strengthen the roles of ADRCs in coordinating transitions from nursing homes to community based settings for older adults and people with disabilities or chronic conditions. Specifically, AoA and CMS are interested in promoting increased partnership between State MFP programs and ADRCs to advance transition work within the MFP demonstration.

Additional Information:

During the grant period, the ADRCs involved in this initiative will be encouraged to work with AoA and CMS through a collaborative process with other grantees, federal agency staff, TA providers and evaluation contractors to define a core set of expectations for the on-going role of ADRCs in the MFP program. Awardees will be expected to maintain regular contact with their CMS Project Officer and to cooperate with the AoA and CMS Technical Assistance and Evaluation contractors.

Eligibility:

Only agencies that received MFP awards from CMS in 2007 may apply for this funding opportunity.

Contact Information:

Sarah Fogler

7500 Security Blvd. Baltimore, Maryland 21244-1850

E-mail: Sarah.Fogler@CMS.hhs.gov

ADRC Evidence-Based Care Transition Programs

AoA is making funds available for states to significantly strengthen the role of ADRCs in implementing evidence-based care transition models that meaningfully engage older adults and individuals with disabilities.

Additional Information:

- This grant opportunity is designed to promote the further development and enhancement of ADRC participation in evidence-based care transition models. This may include:
- Increasing the capacity of ADRCs' current involvement in evidence-based care transition initiatives by expanding the reach of the ADRC efforts (e.g., adding additional staff, expanding an intervention to serve new populations, or expanding to additional sites).
- Strengthening the extent to which existing transitions programs leverage the assets of the ADRCs (e.g., to streamline access to public benefits, link individuals with community-based services and supports, and counsel individuals and their families on service options) among programs where ADRCs have a limited role currently.
- Informing AoA/CMS, other Federal agencies and Congress on national policy related to care transitions, hospital discharge planning, person-centered planning, and mechanisms to reduce unnecessary hospital re-admissions.

Eligibility:

Only a state agency or instrument of a state from a State that: 1) received an award to implement ADRCs through the AoA and CMS ADRC grants funded in fiscal years 2003, 2004, 2005 and 2009, and/or the CMS Person-Centered Hospital Discharge Planning Model Grants funded in fiscal years 2008 and 2009 may apply for this funding opportunity; and 2) continues to implement and operate the ADRC activities funded through their grant award(s), may apply for this funding.

Contact Information:

Kevin Foley

E-mail: Kevin.Foley@aoa.hhs.gov

Affordable Care Act Medicare Beneficiary Outreach and Assistance Program Funding for Title VI Native American Programs

The purpose of these grants will be for the coordination of at least one community announcement and at least one outreach event to inform eligible Native American elders about the benefits available to them through Medicare Part D, the Low Income Subsidy, the Medicare Savings Program or Medicare prevention benefits and screenings.

Additional Information:

AoA will provide a grant of \$1,000 to each Older Americans Act Title VI Native American program. The overall performance goal is to provide a minimum of one community outreach event to eligible Native Americans about the Medicare Part D, LIS, MSP or prevention/wellness benefit programs.

Eligibility:

Only current Title VI Native American Program awardees are eligible to apply for this funding.

Contact Information:

Yvonne Jackson

Phone: (202) 357-3501

E-mail: Yvonne.Jackson@aoa.hhs.gov

Alzheimer's Disease Supportive Services Program: Evidence-Based Caregiver Programs to Better Serve People with Alzheimer's Disease and Related Disorders

This program provides an opportunity for states to demonstrate how the New York University Caregiver Intervention (NYUCI), Resources for Enhancing Alzheimer's Caregiver Health Intervention (REACH II) and Savvy Caregiver Interventions, that help family caregivers of persons with Alzheimer's Disease and Related Disorders (ADRD), can be translated into effective programs at the community-level.

Additional Information:

Applicants for these cooperative agreements must propose to demonstrate how existing evidence-based direct services research can be effectively provided through Aging Network programs.

Eligibility:

By statute, awards will be made only to instrumentalities of state government. This includes but is not limited to State Units on Aging (SUAs). State Governmental entities/agencies that are not SUAs must involve the SUA as an active and substantive partner in the project.

Contact Information:

Shannon Skowronski

E-mail: shannon.skowronski@aoa.hhs.gov

Medicare Improvements for Patients and Providers Act

This legislation builds upon 2008 MIPPA legislation which provided for beneficiary outreach and included funding to State Health Insurance Assistance Programs (SHIPs), Area Agencies on Aging (AAAs), Aging and Disability Resource Center programs (ADRCs), and for a resource center to help coordinate efforts to inform older Americans about available Federal and State benefits available.

Additional Information:

Through this program are three priority areas that offer funding:

- SHIP: Grants to State SHIPs to provide enhanced outreach to eligible Medicare beneficiaries regarding their benefits and enhanced outreach to individuals who may be eligible for the LIS or for the MSP. A total of \$15 million is being made available for this purpose.
- AAAs: Grants to State Agencies on Aging for AAAs to provide enhanced outreach to eligible Medicare beneficiaries regarding their benefits and enhanced outreach to individuals who may be eligible for the LIS, MSP, Medicare Part D and Part D in rural areas.
- ADRC: Funding is available for ADRCs to provide outreach to individuals regarding the benefits available under Medicare Part D and under the MSP. Funds will be allocated to State Agencies on Aging via a formula patterned after the statutory formula used for SHIPs and AAAs. A total of \$10 million is being made available for this purpose.

Eligibility:

State agencies are eligible to apply for this funding opportunity.

Contact Information:

Greg Case

E-mail: greg.case@aoa.hhs.gov

Phone: (202) 357-3442

National Education and Resource Center on Women and Retirement Planning

The Center's objectives will be designed to support integration of the concepts of basic financial and retirement planning into the structure of Older Americans Act Programs (OAA). Accordingly, Center activities will incorporate the latest technology to generate and disseminate knowledge in appropriately packaged forms that can assist women, especially low-income women, women of color, and women with limited English-speaking proficiency, to build their capacity to plan for, and to exercise, the most prudent options for their economic security in later life.

Additional Information:

- In partnership with the AoA and the National Network on Aging, the grantee will develop a strategic plan for integrating access to skills that promote basic financial literacy and retirement planning into OAA programming.
- Through the Cooperative Agreement, the grantee will develop, identify, reformat and/or translate financial education and retirement planning information for dissemination to women nationwide.
- The materials developed will provide basic, comprehensive, "user-friendly" financial and retirement planning information.

Eligibility:

Eligible applicants under this program announcement include domestic public or private and nonprofit entities including state, local and Indian tribal governments, faith-based organizations, community-based organizations, hospitals, and institutions of higher education.

Contact Information:

Dianne A. Freeman

Phone: (202) 357-3536

E-mail: Dianne.Freeman@aoa.hhs.gov

Strengthening the Aging Network: An Opportunity for Training and Evaluation

The program has been to encourage a design and implementation plan of an intensive training for State Units on Aging directors, including but not limited to new directors; gather information about current performance standards used by states to measure the impact of their long-term support and services systems; and conduct an evaluation of SUA progress in key areas of systems change.

Additional Information:

- A grantee is expected to accomplish the following through their project:
- Collaborate with the AoA in the modification and execution of the work plan.
- Evaluate the systems changes effected by select States as described in this announcement.
- Share information with State Units on Aging, other Aging Network entities and AoA.
- Involve partners identified in the grantee application in appropriate key activities of this initiative.

Eligibility:

Domestic public non-profit or private non-profit entities including state and local governments, Indian tribal governments and organizations, faith-based organizations, community-based organizations, hospitals, and institutions of higher education.

Contact Information:

Greg Case

E-mail: greg.case@aoa.hhs.gov

Phone: (202) 357-3442

Section II: Elder Housing Assistance – U. S. Department of Housing and Urban Development (HUD)

Mortgage Insurance-Rental Housing for the Elderly: Section 231

Section 231 insures lenders against loss on mortgages. Section 231 was designed to increase the supply of rental housing specifically for the use and occupancy of elderly persons, and/or persons with disabilities. However, few projects have been insured under Section 231 in recent years; non-profits have opted to use Section 221(d)(3), while profit motivated developers have used Section 221(d)(4).

Additional Information:

The Section 231 insures mortgage loans to facilitate the construction and substantial rehabilitation of multifamily rental housing for elderly persons (62 or older) and/or persons with disabilities.

Eligibility:

- Borrowers: Mortgagors include private profit-motivated developers, and non-profit sponsors.
- Customers: All elderly or persons with disabilities are eligible to occupy apartments in a project whose mortgage is insured under the program.

Contact Information:

Ms. Joyce Allen,
451 7th Street S.W. Washington, District of Columbia 20410
Phone: (202) 708-1142

Section III: Capital Assistance – U.S. Department of Transportation, Federal Transit Administration (DOT)

Capital Assistance Program for Elderly Persons and Persons with Disabilities

The program has been create to provide financial assistance in meeting the transportation needs of elderly persons and persons with disabilities where public transportation services are unavailable, insufficient or inappropriate. The Section 5310 program is designed to supplement FTA's other capital assistance programs by funding transportation projects for elderly persons and persons with disabilities in all areas.

Additional Information:

Section 5310 funding may be used for eligible capital expenses needed to provide efficient and coordinated specialized transportation service for elderly persons and persons with disabilities. Projects must provide for the maximum feasible coordination of transportation services assisted under this section with transportation assisted by other Federal sources and must provide for the maximum feasible participation of private-for-profit operators.

Eligibility:

States may apply for FTA grants. Eligible sub-recipients include private nonprofit organizations, public bodies approved by the State to coordinate services for elderly persons and persons with disabilities and public bodies which certify to the governor that no nonprofit corporations or associations are readily available in an area to provide the service. Eligible beneficiaries will be seniors with disabilities.

Contact Information:

Ms. Sylvia L. Marion
1200 New Jersey AVE SE, Washington, District of Columbia 20590
Phone: (202) 366-6680

National Council on Aging

The National Council on Aging (NCOA) is a nonprofit service and advocacy organization headquartered in Washington, DC. Our mission is to improve the lives of older Americans. NCOA is a national voice for older adults—especially those who are vulnerable and disadvantaged—and the community organizations that serve them. We bring together nonprofit organizations, businesses, and government to develop creative solutions that improve the lives of all older adults. They work with thousands of organizations across the country to help seniors find jobs and benefits, improve their health, live independently, and remain active in their communities.

Additional Information

As advocates, they work to improve public policies by being a national voice for older adults in greatest need and those who serve them, combining community service and advocacy, and empowering and engaging older adults.

- Resources
 - For all older Americans, they provide:
 - Improved health and reduced disability.
 - Increased participation in meaningful and rewarding work.
 - Increased community service that enriches participants and is productive for society.
 - Increased access to public and private benefits and resources.
 - Enhanced capacity to live in communities with dignity, choice, and financial security.

Contact Information:

National Council on Aging
1901 L Street, NW, 4th Floor · Washington, D.C. 20036 ·
Phone: (202) 479-1200

Section IV: Foster Grandparents Program – Corporation for National and Community Service

Foster Grandparents Program

The Foster Grandparent Program provides grants to qualified agencies and organizations for the dual purpose of engaging persons 55 or older, with limited incomes, in volunteer service to meet critical community needs; and to provide a high quality volunteer experience that will enrich volunteers lives.

Additional Information:

Program funds are used to support Foster Grandparents in providing supportive, person to person service to children with exceptional or special needs or in circumstances identified as limiting their academic, social or emotional development. Foster Grandparents may be assigned to children and youth in residential and non-residential facilities, including schools and preschools and to children.

Eligibility:

Foster Grandparents must be: 55 years of age or older, with an income of up to 200 percent of poverty, based on the Department of Health and Human Services Poverty Guidelines, and interested in serving infants, children, and youth with special or exceptional needs.

Contact Information:

Ms. Linda Esah
1201 New York Avenue, NW, Washington, District of Columbia 20525
E-mail: lesah@cns.gov
Phone: (202) 606-7538

TELECOMMUNICATIONS & DIGITAL INFRASTRUCTURE

Section I: Development and Loan Programs – U. S. Department of Agriculture (USDA)

Community Connect Grant Program

Provides community access to broadband services in unserved areas through a one-time grant to such organizations as tribes, cooperatives, private companies, and universities, and uses the infrastructure built by the grant to create opportunities for continued improvement.

Additional Information:

- The funding will support construction, acquisition, or lease of facilities, including spectrum, to deploy broadband transmission services to all critical community facilities and to offer such service to all residential and business customers located within the proposed service area.
- The funding can be put towards the improvement, expansion, construction, acquisition, or leasing of a community center that furnishes free access to broadband Internet service, provided that the community center is open and accessible to area residents before, during, and after normal working hours and on Saturday or Sunday.
- All equipment purchased with grant and/or matching funds must be new or non-depreciated.

Eligibility:

Applicants must be organized as an incorporated organization, an Indian tribe or tribal organization, a state or local unit of government, or other legal entity, including cooperatives or private corporations or limited liability companies organized on a for profit or not-for profit basis. The project must deploy Basic Broadband Transmission Service, free of all charges for at least 2 years, to all Critical Community Facilities located within the proposed Service Area. Additionally, it should offer Basic Broadband Transmission Service to residential and business customers within the proposed Service Area.

Contact Information:

Kenneth Kuchno, Director

Phone: (202) 690-4673

E-mail: Kenneth.kuchno@usda.gov or community.connect@wdc.usda.gov

Website: <http://www.usda.gov/rus/telecom/commconnect.htm>

Distance Learning and Telemedicine Loans and Grants Program

Provides loans and grants to rural community facilities (e.g., schools, libraries, hospitals, and tribal organizations) for advanced telecommunications systems that can provide health care and educational benefits to rural areas.

Additional Information:

The DLT Program provides three kinds of financial assistance; a full grant, grant-loan combination, and a full loan.

Eligibility:

- To be eligible for a grant, your organization must:
- Currently deliver or propose to deliver distance learning or telemedicine services for the term of the grant. To receive a grant, the purposes must meet the grant definition of distance learning and telemedicine. The DLT program is focused on sustainability. Planning studies, research projects, and short-term demonstration projects of less than two years will not be considered.
- Be legally organized as an incorporated organization or partnership; an Indian tribe or tribal organization; a state or local unit of government; a consortium; or other legal entity, including a private corporation organized on a for profit or not-for profit basis with the legal capacity to contract with the United States Government.
- Operate a rural community facility or deliver distance learning or telemedicine services to entities that operate a rural community facility or to residents of rural areas at rates calculated to ensure that the benefit of the financial assistance passes through to such entities or to residents of rural areas.

Contact Information:

Sam Morgan

Phone: (202) 205-3733

E-mail: sam.morgan@wdc.usda.gov

Gary Allan

Phone: (202) 720-0413

E-mail: gary.allan@wdc.usda.gov

Website: <http://www.usda.gov/rus/telecom/dlt/dlt.htm>

Rural Broadband Access Loans and Loan Guarantees Program

Provides loans (and loan guarantees) to eligible applicants, including telephone companies, telephone cooperatives, municipalities, nonprofit organizations, and tribes, to deploy infrastructures that provide broadband service in rural communities that meet the program's eligibility requirements.

Additional Information:

- Broadband Service means any technology having the capacity to transmit at a minimum transmission speed of 200 kbps both from and to a residential subscriber. The rate of data transmission is subject to annual review and will be published in the Notice of Funds Availability at the beginning of each fiscal year.
- Loans maturities are equal to the composite economic life of the facilities financed. The interest rate for the Cost-of-Money program is equal to the cost of borrowing to the Department of Treasury for a comparable maturity.
- The 4-Percent program interest rate is 4 percent and the maximum loan amount for the 4-Percent program is \$7.5 million. The interest rate for the Guarantee program is set by the lender.

Eligibility:

Eligible rural communities are any area of the United States (which includes its territories and insular possessions); that is not contained in an incorporated city or town with a population in excess of 20,000 inhabitants, based on the most recent available information of the Bureau of the Census. The following are eligible: cooperative, nonprofit, limited dividend or mutual associations, limited liability companies, commercial organizations, Indian tribes, tribal organizations, state government, local government, including those located in the U.S. territories and countries included in the Compact of Free Association Act of 1985, providing or proposing to provide broadband services in eligible rural communities.

Contact Information:

Phone: (202) 720-9554

Fax: (202) 720-0810

Website: <http://www.usda.gov/rus/telecom/broadband.htm> or <http://www.federalgrantswire.com/rural-broadband-access-loans-and-loan-guarantees.html>

Rural Development

USDA Rural Development is committed to helping improve the economy and quality of life in America. Through their programs, Rural Development touches upon each aspect of rural America in a precise and organized manner.

Additional Information:

- The financial program support such essential public facilities and services as water and sewer systems, housing, health clinics, emergency service facilities and electric and telephone. Rural Development has continuously promoted economic development by supporting loans to businesses through banks, credit unions and community-managed lending pools. They offer technical assistance and information to help agricultural producers and cooperatives get started and improve the effectiveness of their operations. Additionally, they have provided technical assistance to help communities undertake empowerment programs.
- USDA Rural Development has a \$115 billion portfolio of loans and will administer \$20 billion in loans, loan guarantees, and grants through their programs in the current fiscal year. To achieve their mission, Rural Development assists rural individuals, communities and businesses obtain the financial and technical assistance needed to address their diverse and unique needs through their specific programs.

Contact Information:

Thomas P. Williams
USDA Rural Development Pennsylvania State Director
(717) 237-2299

Telephone Loans and Loan Guarantees Program

Provides long-term-direct and guaranteed loans to qualified organizations, often incumbent local exchange carriers that finance voice telephone service. Since 1995, these telephone lines have been capable of providing broadband service using digital subscriber line (DSL) technology.

Additional Information:

Long-term direct and guaranteed loans to qualified organizations for the purpose of financing the improvement, expansion, construction, acquisition, and operation of telephone lines, facilities, or systems to furnish and improve. Telecommunications service in rural areas. "Rural area" is defined as any area of the United States, its territories and insular possessions (including any areas within the Federated States of Micronesia, the Republic of Palau) not included within the boundaries of any incorporated or unincorporated city, village, or borough having a population exceeding 5,000 inhabitants. The population figure is obtained from the most recent data available, such as from the Bureau of Census and Rand McNally and Company. For purposes of the "rural area" definition, the character of an area is determined at the time the initial loan for the system is made.

Eligibility:

Eligible applicants consist of telephone companies or cooperatives, nonprofit associations, limited dividend associations, mutual associations or public bodies including those located in the U.S. Territories and countries included in the Compact of Free Association Act of 1985, providing or proposing to provide telecommunications service to meet the needs of rural areas. A beneficiary must be a resident of rural areas and others who also receive telephone service as a result of service provided to rural areas.

Contact Information:

<http://www.federalgrantswire.com/rural-telephone-loans-and-loan-guarantees.html>

Section II: Telecommunications Programs –U. S. Federal Telecommunications Commission (FTC)

Universal Service High Cost Program

Provides funding to eligible telecommunications carriers to help pay for telecommunications services in high-cost, rural, and insular areas so that prices charged to customers are reasonably comparable across all regions of the nation.

Additional Information:

The goal of Universal Service is to promote the availability of quality services at just, reasonable and affordable rates for all consumers. The program will advance the availability of such services to all consumers, including those in low income, rural, insular, and high cost areas at rates that are reasonably comparable to those charged in urban areas. Moreover, the program plans to increase nationwide access to telecommunications and advanced services in schools, libraries and rural health care facilities.

Eligibility:

Wireline and wireless telephone companies seeking to participate in any of the High Cost Program support components must be designated as eligible telecommunications carriers (ETCs) and meet ongoing requirements for each component.

Contact Information:

Website: http://www.fcc.gov/wcb/tapd/universal_service/highcost.html,
http://www.fcc.gov/wcb/tapd/universal_service/ or <http://www.universalservice.org/hc/>

Universal Service Rural Health Care Pilot Program

Provides funds to cover 85 percent of the cost of constructing 66 statewide or regional broadband telehealth networks in 42 states and 3 U.S. territories and of connecting those projects to dedicated nationwide.

Additional Information:

The Rural Health Care Program of the Universal Service Fund makes discounts available to eligible rural health care providers for telecommunication services and monthly Internet service charges. The program is intended to ensure that rural health care providers pay no more for telecommunications in the provision of health care services than their urban counterparts. The Rural Health Care Program reimburses telecommunications and Internet service providers for services provided to rural health care providers. While health care providers apply for these discounts, USAC works in conjunction with service providers to make sure these discounts are passed on to program participants.

Eligibility:

- Post-secondary educational institutions offering health care instruction, (hospitals, medical schools)
- Community health centers or health centers providing health care to migrants
- Local health departments or agencies including emergency departments of rural for-profit hospitals
- Community mental health centers, non-profit hospitals
- Rural health clinics including mobile clinics
- Consortia of HCPs consisting of one or more of the above entities
- Part-time eligible entities located in otherwise ineligible facilities

Contact Information:

Phone: 1-800-229-5476

Website: <http://www.universalservice.org/rhc/>

Universal Service Schools and Libraries Program

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| Provides discounts for affordable telecommunications and Internet access services to ensure that schools and libraries have access to affordable telecommunications and information services. |
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Additional Information:

This program is also known as “E-Rate” and is administered by the Universal Service Administrative Company (USAC) under the direction of the Federal Communications Commission (FCC). It is one of four support programs funded through a Universal Service fee charged to companies that provide interstate and/or international telecommunications services.

Eligibility:

- Schools must provide elementary or secondary education as determined under state law.
- Schools may be public or private institutional day or residential schools, or public charter schools.
- Schools must operate as non-profit businesses.
- Schools cannot have an endowment exceeding \$50 million.
- Libraries must be eligible for assistance from a state library administrative agency under that Act.
- Libraries must have budgets completely separate from any schools (including, but not limited to, elementary and secondary schools, colleges and universities).
- Libraries cannot operate as for-profit businesses.

Contact Information:

Phone: 1-800-229-5476

Website: <http://www.universalservice.org/rhc/>

Section III: Broadband Programs – The National Telecommunications and Information Administration (NTIA)

Broadband Technology Opportunities Program (BTOP)

The National Telecommunications and Information Administration (NTIA) provides funding for the Broadband Technology Opportunities Program (BTOP). BTOP provides grants to support the deployment of broadband infrastructure in unserved and underserved areas, to enhance broadband capacity at public computer centers, and to encourage sustainable adoption of broadband service. Through this support, BTOP will also advance the Recovery Act's objectives to spur job creation and stimulate long-term economic growth and opportunity.

Additional Information:

Project categories:

- The Broadband Infrastructure category (up to \$1.2 billion) will fund projects that deliver broadband service through Last Mile or Middle Mile facilities to unserved and underserved areas.
- Unserved Area: an area, composed of one or more contiguous census blocks where at least 90 percent of households in the proposed funded service area lack access to facilities-based, terrestrial broadband service, either fixed or mobile, at the minimum broadband speed.
- Underserved Area: Last Mile Projects—an area composed of one or more contiguous census blocks where at least one of the following is met: 1) no more than 50 percent of households in the proposed funded service area have access to facilities-based, terrestrial broadband service at greater than the minimum broadband speed; 2) no fixed or mobile broadband service provider advertises broadband speeds of at least 3 Mbps downstream in the proposed funded service area.
- All Broadband Infrastructure grant recipients that offer Internet access services for a fee must agree to participate in the State Broadband Data and Development Program.
- The Public Computer Center category (up to \$50 million) will fund projects that expand computer center capacity at entities that permit the public to use these computer centers, such as community colleges and public libraries.
- The Sustainable Broadband Adoption category (up to \$150 million) will fund innovative projects that promote broadband demand, such as projects focused on broadband education, awareness, training, access, equipment or support, particularly among vulnerable populations.

Eligibility:

The NOFA states that it is in the public interest to allow for-profit corporations and other organizations not otherwise encompassed in the Recovery Act to be eligible for BTOP grants so as to promote the goals of the Recovery Act.

Contact Information:

Broadband Technology Opportunities Program 1401 Constitution Ave, NW Washington, DC 20230

Phone: (202) 482-2048

Email: bttop@ntia.doc.gov

Website: <http://www.ntia.doc.gov/broadbandgrants/>

Section IV: Telecommunications Initiative – Appalachian Regional Commission

Telecommunications Initiative

This initiative funds for projects that enable communities to capitalize on broadband access, such as distance learning, telehealth/telemedicine, e-government, and e-business applications and workforce development.

Additional Information:

The focus of Information Age Appalachia is not only on access to infrastructure, but also, and more importantly, on applications that use that access. Instead of simply promoting technology by itself, the program seeks to stimulate economic growth and improve the standard of living in the Region through technology-related avenues. The four keys aspects of the program are access and infrastructure, education and training, e-commerce, and technology-sector job creation.

Eligibility:

Only New York counties included in the Appalachian Regional Commission are eligible for funding. These counties include Allegany, Broome, Cattaraugus, Chautauqua, Chemung, Chenango, Cortland, Delaware, Otsego, Schoharie, Schuyler, Steuben, Tioga, and Tompkins. Interested organizations must Contact Information the New York State Department of State to discuss individual project eligibility requirements.

Contact Information:

1666 Connecticut Avenue, NW Suite 700 Washington, DC 20009-1068

Phone: (202) 884-7700

E-mail: info@arc.gov

TRANSPORTATION & INFRASTRUCTURE

Section I: Highway Programs - Federal Highway Administration, U. S. Department of Transportation (DOT)

Congestion Mitigation and Air Quality Improvement Program

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| Provides funding for projects and programs in air quality nonattainment and maintenance areas for ozone, carbon monoxide (CO), and particulate matter which reduce transportation related emissions. |
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Eligibility:

Projects and Programs that:

- Establish or operate advanced truck stop electrification systems
- Improve transportation systems management and operations that mitigate congestion and improve air quality
- Involve the purchase of integrated, interoperable emergency communications equipment
- Involve the purchase of diesel retrofits that are for motor vehicles or non-road vehicles and non-road engines used in construction projects located in ozone or particulate matter non-attainment or maintenance areas and funded under 23 USC
- Conduct outreach activities that provide assistance to diesel equipment and vehicle owners and operators regarding the purchase and installation of diesel retrofits

Contact Information:

Michael Koontz

CMAQ Coordinator

Federal Highway Administration

1200 New Jersey Avenue, SE

Washington, D.C. 20590

Phone: (202)366-2076

E-mail: Michael.koontz@dot.gov

Emergency Relief Program

A special program from the Highway Trust Fund for the repair or reconstruction of Federal-aid highways and roads on Federal lands which have suffered serious damage as a result of (1) natural disasters or (2) catastrophic failures from an external cause. This program, commonly referred to as the emergency relief or ER program, supplements the commitment of resources by States, their political subdivisions, or other Federal agencies to help pay for unusually heavy expenses resulting from extraordinary conditions.

Additional Information:

- State must apply and provide a complete list of project sites and costs within two years of the event; cost may not exceed the cost to repair or reconstruct a comparable facility.
- For emergency repairs, a 100 percent Federal share is allowed during the first 180 days following a disaster. MAP-21 allows the Secretary to extend the time period if access to damaged areas is limited.
- Debris removal for major disasters declared under the Stafford Act will be funded by FEMA.
- Maintenance and operation of additional ferryboats or transit is eligible as a temporary substitute service.

Eligibility:

- State and local governments or transit agencies are eligible to apply.
- The applicability of the ER program to a natural disaster is based on the extent and intensity of the disaster. Damage to highways must be severe, occur over a wide area, and result in unusually high expenses to the highway agency.
- Applicability of ER to a catastrophic failure due to an external cause is based on the criteria that the failure was not the result of an inherent flaw in the facility but was sudden, caused a disastrous impact on transportation services, and resulted in unusually high expenses to the highway agency.

Contact Information:

FHWA Pennsylvania Division
228 Walnut Street, Room 508
Harrisburg, PA 17101-1720
Phone: (717) 221-3461
Email: Pennsylvania.FHWA@dot.gov

Ferry Boat and Ferry Terminal Construction

MAP-21 has allocated \$67 million to construct ferry boats and ferry terminals which are distributed by a FHWA formula.

Additional Information:

Unlike the previous ferry boat discretionary program, there are no set-asides for specific States.

Eligibility:

States, ferry systems, and other public entities responsible for developing ferry facilities are eligible for this grant. Eligible public entities must submit their application through their State transportation agency.

Contact Information:

Tony DeSimone

Office of Program Administration

317-226-5307

Anthony.DeSimone@dot.gov

Highway Safety Improvement Program

The overall purpose of this program is to achieve a significant reduction in traffic fatalities and serious injuries on all public roads through the implementation of infrastructure-related highway safety improvements.

Additional Information:

Administration of the HSIP is generally unchanged, except that the High Risk Rural Roads (HRRR) set-aside is eliminated. However, safety projects on HRRR remain eligible, and if the fatality rate increases on rural roads over any two year period, in the next fiscal year the State must obligate 200% of the HRRR funds it received in FY 2009 for projects on HRRR. Continues a set-aside for the Section 130 Rail-Highway Grade Crossing Program which has been \$220 million per year.

Eligibility:

HSIP funds may be used to carry out highway safety improvement projects on any public road or publicly owned bicycle or pedestrian pathway or trail.

Contact Information:

Karen Timpone

Communications Director

Federal Highway Administration

Office of Safety - HSSI, E71-318

1200 New Jersey Avenue, SE

Washington, D.C. 20590

Karen.Timpone@dot.gov

National Highway Performance Program

The NHPP is authorized at an average of \$21.8 billion per year to support the condition and performance of the NHS, for the construction of new facilities on the NHS, and to ensure that investments of Federal-aid funds in highway construction are directed to support progress toward the achievement of performance targets established in an asset management plan of a State for the NHS.

Additional Information:

The NHPP consolidated existing programs such as the Interstate Maintenance program, National Highway System program, and all bridges on the NHS. This program will eliminate the barriers between existing programs that limit states' flexibility to address the most vital needs for highways and bridges and holds states accountable for improving outcomes and using tax dollars efficiently.

Contact Information:

Phone: 202-366-4000

Surface Transportation Program

Provides flexible funding that may be used by States and localities for projects on any Federal-aid highway, including the NHS, bridge projects on any public road, transit capital projects, and intra-city and intercity bus terminals and facilities.

Additional Information:

With the elimination of the bridge program, all bridges are eligible through the STP program. A set-aside is continued which ensures that an amount equal to 15 percent of the 2009 bridge program apportionments (estimated at \$9.5 million per year) is available for off-system bridges.

Eligibility:

Funding may be used by States and localities to preserve or improve conditions and performance on:

- Any federal-aid highway
- Bridges on any public road
- Facilities for non-motorized transportation
- Transit capital projects
- Public bus terminals and facilities.

Contact Information:

Phone: 202-366-4000

Transportation Alternatives

Transportation alternatives (new definition incorporates many transportation enhancement activities and several new activities).

Additional Information:

- Recreational trails program (program remains unchanged)
- Safe routes to schools program
- Planning, designing, or constructing roadways within the right-of way of former Interstate routes or other divided highways.

Contact Information:

Website: http://www.fhwa.dot.gov/environment/bicycle_pedestrian/overview/bp-broch.cfm

Section II: Transit Grants & Programs – Federal Transit Administration, U. S. Department of Transportation (DOT)

Automobile and Special Adaptive Equipment Grants

Financial assistance is available to certain disabled veterans and service-members to purchase new or used automobiles (or other conveyances) to accommodate a disability. The veteran or service-member may only receive the automobile grant once in his or her lifetime. The grant is up to \$11,000 (\$18,000 beginning October 1, 2011) and is paid directly to the seller of the automobile to be applied to the total price of the automobile. Certain veterans who need to have a vehicle specially adapted due to their service-connected disability may qualify for the adaptive equipment grant.

Additional Information:

The adapted automobile grants are available to any veteran or service-member entitled to compensation as the result of certain injuries incurred or diseases contracted in or aggravated by active military, naval, or air service. Those specific injuries include the loss or permanent loss of use of one or both feet, one or both hands, or permanent impairment of vision. The Veterans' Benefits Act of 2010 expanded eligibility to include veterans with a severe burn injury effective October 2, 2011.

Eligibility:

Veterans can apply for the grant by submitting VA Form 21-4502 (completing only Section I) to the local VA regional office to establish entitlement. The VA will complete Section II, which certifies eligibility, and return the original to the veteran. The veteran is then responsible for obtaining the invoice from the seller, completing Section III, and submitting the completed form to the local VA regional office. If the veteran is entitled to adaptive equipment only (i.e., service-connected for ankylosis, or stiff or fixed joints, of the knees or hips), the veteran would complete VA Form 10-1394, Application for Adaptive Equipment-Motor Vehicle, and submit it to the local VA medical center. Additionally, VA Form 10-1394 should be completed for approval of equipment not specified on VA Form 21-4502.

Contact Information:

Phone: 1-800-827-1000

Website: <http://www.va.gov>

Bus and Bus Facilities Formula Program

Provides capital funding to replace, rehabilitate, and purchase buses and related equipment, and to construct bus-related facilities.

Additional Information:

- Replaced the Bus and Bus Facilities Discretionary Program
- Funded at \$420 million for FY 2013

Contact Information:

Website: http://www.fta.dot.gov/grants/13094_3557.html

Capital Assistance Program for Elderly Persons and Persons with Disabilities

To provide financial assistance in meeting the transportation needs of elderly persons and persons with disabilities where public transportation services are unavailable, insufficient or inappropriate. The Section 5310 program is designed to supplement the Federal Transit Administration's other capital assistance programs by funding transportation projects for elderly persons and persons with disabilities in all areas - urbanized, small urban, and rural.

Eligibility:

Eligible sub-recipients include private nonprofit organizations, public bodies approved by the State to coordinate services for elderly persons and persons with disabilities and public bodies which certify to the governor that no nonprofit corporations or associations are readily available in an area to provide the service.

Additional Information:

Funding may be used for eligible capital expenses needed to provide specialized transportation service for elderly persons and persons with disabilities. Projects must provide for the maximum feasible coordination of transportation services assisted under this section with transportation assisted by other Federal sources and must provide for the maximum feasible participation of private-for-profit operators

Contact Information:

Gilbert Williams

Phone: (202) 366-0797

Email: Gilbert.Williams@dot.gov

<http://www.fta.dot.gov>

Enhanced Mobility of Seniors and Individuals with Disabilities

This program is intended to enhance mobility for seniors and persons with disabilities by providing funds for programs to serve the special needs of transit-dependent populations beyond traditional public transportation services and Americans with Disabilities Act (ADA) complementary paratransit services.

Additional Information:

- Consolidates current 5310 and New Freedom program eligibilities into single formula program
- Requires FTA to establish performance measures
- Funding: \$255 million (FY 2013) authorized

Eligibility:

- States (for all areas under 200,000 in population) and designated recipients.
- Sub-recipients: states or local government authorities, private non-profit organizations, or operators of public transportation that receive a grant indirectly through a recipient.

Contact Information:

Website: <http://www.fta.dot.gov/map21>

Large Urban Cities Grant Program

The Urbanized Area Formula Funding program (49 U.S.C. 5307) makes Federal resources available to urbanized areas and to Governors for transit capital and operating assistance in urbanized areas and for transportation related planning. An urbanized area is an incorporated area with a population of 50,000 or more that is designated as such by the U.S. Department of Commerce, Bureau of the Census.

Eligibility:

Funding is made available to designated recipients that must be public bodies with the legal authority to receive and dispense Federal funds. Governors, responsible local officials and publicly owned operators of transit services are to designate a recipient to apply for, receive, and dispense funds for transportation management areas. Generally, a transportation management area is an urbanized area with a population of 200,000 or over. The Governor or Governor's designee is the designated recipient for urbanized areas between 50,000 and 200,000.

Contact Information:

Phone: (202) 366-2053.

Website: http://www.fta.dot.gov/grants/13093_3561.html

Major Capital Investments (New Starts & Small Starts) Grant Program

The New Starts program provides funds for construction of new fixed guide-way systems or extensions to existing fixed guide-way systems. The Small Starts program provides funds to capital projects that either meet the definition of a fixed guide-way for at least 50 percent of the project length in the peak period or are corridor-based bus projects with 10 minute peak/15 minute off-peak headways or better while operating at least 14 hours per weekday. The Federal assistance provided or to be provided under must be less than \$75 million and the project must have a total capital cost of less than \$250 million, both in year of expenditure dollars.

Eligibility:

Eligible applicants under the New Starts program are public bodies and agencies (transit authorities and other state and local public bodies and agencies thereof) including states, municipalities, other political subdivisions of states; public agencies and instrumentalities of one or more states; and certain public corporations, boards, and commissions established under state law.

Contact Information:

Beth Day, Director, Office of Capital Project Development, Federal Transit Administration

Phone: (202) 366-5159

Email: Elizabeth.day@dot.gov

Website: http://www.fta.dot.gov/grants/13094_3559.html

Metropolitan and Statewide Planning Grants Program

These programs provide funding to support cooperative, continuous, and comprehensive planning for making transportation investment decisions in metropolitan areas and statewide.

Eligibility:

State Departments of Transportation (DOTs) and Metropolitan Planning Organizations (MPOs). Federal planning funds are first apportioned to State DOTs. State DOTs then allocate planning funding to MPOs.

Contact Information:

Phone: (202) 366-2053

Website: http://www.fta.dot.gov/grants/13093_3563.html

National Research & Technology Program

The National Research and Technology Program (49 U.S.C. 5312) seeks to improve public transportation by funding research, development, demonstration and deployment projects.

Eligibility:

Eligible recipients are determined for each competition, and may include: universities, public transportation systems, state DOTs, non-profit and for-profit entities, amongst others.

Contact Information:

Website: http://www.fta.dot.gov/grants/13094_3551.html

Rural Area Formula Grants

Provides funding to States for the purpose of supporting public transportation in rural areas with population of less than 50,000.

Additional Information:

- Now allow funding to be used for activities that were eligible under the Job Access and Reverse Commute program
- Establishes \$20 million Appalachian Development Public Transportation formula tier
- Funding: \$630 million (FY 2013) authorized (includes funds from Growing States & High Density States formula [5340])

Contact Information:

Website: <http://www.fta.dot.gov/grants/13093.html>

Phone: 202-366-4043

Rural Transit Assistance Program

The Rural Transit Assistance Program provides a source of funding to assist in the design and implementation of training and technical assistance projects and other support services tailored to meet the needs of transit operators in non-urbanized areas.

Eligibility:

States, local governments, and providers of rural transit services.

Contact Information:

Website: http://www.fta.dot.gov/grants/13093_3554.html

State of Good Repair Grants

Provides formula-based funding to maintain public transportation systems in a state of good repair.

Additional Information:

- New formula comprises: (1) former Fixed Guideway formula; (2) new service-based formula; (3) new formula for buses on HOV lanes
- Replaces Fixed Guideway Modernization Program
- Funding: \$2.1 billion (FY 2013) authorized

Contact Information:

Federal Transit Administration
1200 New Jersey Avenue, SE
Washington, DC 20590
202-366-4043

Tiger II: Discretionary Grants for a National Surface Transportation System

Under this grant program, the U.S. Department of Transportation Secretary shall award funds on a competitive basis for highway and bridge, public transportation, passenger and freight rail transportation, or port infrastructure investment projects that have a significant impact for the nation, a metropolitan area or a region.

Additional Information:

- Projects that are eligible for TIGER II Discretionary Grants under the FY 2010 Appropriations Act include, but are not limited to:
- Highway or bridge projects eligible under title 23, United States Code;
- Public transportation projects eligible under chapter 53 of title 49, United States Code;
- Passenger and freight rail projects; and
- Port infrastructure investments.

Eligibility:

Eligible Applicants for TIGER II Discretionary Grants are State and local governments, including U.S. territories, tribal governments, transit agencies, port authorities, metropolitan planning organizations (MPOs), other political subdivisions of State or local governments, and multi-State or multi-jurisdictional groups applying through a single lead applicant.

Contact Information:

Mr. Robert Mariner, Senior Policy Analyst

Phone: (202) 366-8914

Email: robert.mariner@dot.gov

Transit Cooperative Research Program

The Transit Cooperative Research Program is an applied, contract research program that develops near-term, practical solutions to problems facing transit agencies. The transit industry driven program, TCRP, promotes operating effectiveness and efficiency in the public transportation industry by conducting practical, near-term research designed to solve operational problems, adopt useful technologies from related industries and introduce innovation that provides better customer service. The industry driven program serves as one of the principal means by which the transit industry can develop innovative short-term solutions to meet demands placed on it.

Contact Information:

Phone: 202-366-4052

Transit-Oriented Development (Discretionary Pilot Program)

A Pilot Program aimed at promoting compact, mixed-use development near transit facilities and high-quality walking environments.

Eligibility:

- Eligible projects are related to Fixed Guideway or core capacity projects as defined in section 5309
- Funded at \$10 million for FY 2013

Contact Information:

Phone: 202-366-4043

University Transportation Centers Program

To advance U.S. technology and expertise in the many disciplines comprising transportation through the mechanisms of education, research and technology transfer at university-based centers of excellence.

Contact Information:

<http://utc.dot.gov/>

Urbanized Area Formula Grants

The Urbanized Area Formula Funding program makes Federal resources available to urbanized areas and to Governors for transit capital and operating assistance in urbanized areas and for transportation related planning. An urbanized area is an incorporated area with a population of 50,000 or more that is designated as such by the U.S. Department of Commerce, Bureau of the Census.

Additional Information:

Now allow funding to be used for activities that were eligible under the Job Access and Reverse Commute program

Eligibility:

Funding is made available to designated recipients that must be public bodies with the legal authority to receive and dispense Federal funds. Governors, responsible local officials and publicly owned operators of transit services are to designate a recipient to apply for, receive, and dispense funds for transportation management. Generally, a transportation management area is an urbanized area with a population of 200,000 or over. The Governor or Governor's designee is the designated recipient for urbanized areas between 50,000 and 200,000.

Contact Information:

Phone: (215) 656-7100

Website: http://www.fta.dot.gov/grants/13093_3561.html

Veterans Transportation and Community Living Initiative

Access to reliable and affordable transportation is an essential ingredient to empower today's service members, veterans, and their families to participate fully and successfully in their communities and achieve economic stability.

Contact Information:

Website: http://www.fta.dot.gov/grants/13094_13528.html

Phone: 202-366-4043

Section III: Railroad Grants & Programs –Federal Railroad Administration, U. S. Department of Transportation (DOT)

Disaster Assistance

The Consolidate Security, Disaster Assistance, and Continuing Appropriations Act, 2009, provides the Secretary of Transportation \$20,000,000 for necessary expenses to make grants to repair and rehabilitate Class II and Class III railroad infrastructure damaged by hurricanes, floods, and other natural disasters in areas for which the President declared a major disaster under Title IV of the Robert T. Stafford Disaster Relief and Emergency Assistance Act of 1974. These funds are to remain available until expended and are to be awarded to States on a competitive, case-by-case basis.

Additional Information:

- The grantees must exhaust all other Federal and State resources prior to seeking assistance under this Program.
- The Act allows the Secretary to retain up to one-half of 1 percent of the funds (up to \$100,000) to fund the oversight by the Administrator of the Federal Railroad Administration of the design and implementation of projects funded by these grants.

Eligibility:

Under this Program, a State may apply for a grant from the Department of Transportation's Federal Railroad Administration (FRA) to cover up to 80% of the cost of a project to repair and rehabilitate Class II and Class III railroad infrastructure damaged by hurricanes, floods, and natural disasters, provided that the infrastructure is located in a county that has been identified in a Disaster Declaration for Public Assistance issued by the President (<http://www.fema.gov/news/disasters.fema#sev1>). Class II and Class III railroad infrastructure eligible for repair and rehabilitation consists of railroad rights-of-way, bridges, signals and other infrastructure that are part of the general railroad system of transportation and primarily used by railroads to move freight traffic. At least 20% of the cost of eligible repair and rehabilitation projects must be covered by non-Federal sources in the form of cash, equipment, or supplies. Section 24312 (Labor Standards) of Title 49, United States Code, applies to grantees assisted under this Program.

Contact Information:

Alice Alexander
Office of Railroad Development
Federal Railroad Administration
1200 New Jersey Avenue SE,
Mail Stop 20
Washington, DC 20590
E-mail: Alice.alexander@dot.gov
Phone: (202)-493-6363

Railroad Safety Technology Grants

Facilitate the deployment of train control technologies, train control component technologies, processor-based technologies, electronically controlled pneumatic brakes, rail integrity inspection systems, rail integrity warning systems, switch position indicators and monitors, remote control power switch technologies, track integrity circuit technologies, and other new or novel railroad safety technology.

Additional Information:

Projects that make train control technologies interoperable between railroad systems, accelerate the deployment of train control technology on high risk corridors such as those that have high volumes of hazardous materials shipments or over which commuter or passenger trains operate or benefit both passenger and freight safety and efficiency.

Eligibility:

- Passenger and freight railroad carriers, railroad suppliers, and State and local governments. Applicants need not have developed plans required under subsection 20156(e)(2) of title 49, United States Code section 20157 of such title.
- Priority will be given to applicants who have completed the plans required under subsection 20156(e)(2) of title 49, United States Code section 20157
- Individuals are not eligible for these awards

Contact Information:

Dr. Mark Hartong
Mark.Hartong@dot.gov
202-493-1332

Railroad Rehabilitation and Repair Program

The Consolidate Security, Disaster Assistance, and Continuing Appropriations Act of 2009 provides the Secretary of Transportation \$20,000,000 for necessary expenses to make grants to repair and rehabilitate Class II and Class III railroad infrastructure damaged by hurricanes, floods, and other natural disasters in areas for which the President declared a major disaster under Title IV of the Robert T. Stafford Disaster Relief and Emergency Assistance Act of 1974.

Additional Information:

Under this program, a state may apply for a grant from the Department of Transportation's Federal Railroad Administration (FRA) to cover up to 80% of the cost of a project to repair and rehabilitate Class II and Class II railroad infrastructure damaged by hurricanes, floods, and natural disasters, provided that the infrastructure is located in a county that has been identified in a Disaster Declaration for Public Assistance issued by the President.

Eligibility:

Class II and Class III railroad infrastructure eligible for repair and rehabilitation consists of railroad rights-of-way, bridges, signals and other infrastructure that are part of the general railroad system of transportation and primarily used by railroads to move freight traffic. At least 20% of the cost of eligible repair and rehabilitation projects must be covered by non-Federal sources in the form of cash, equipment, or supplies.

Contact Information:

Ms. Alice Alexander
Office of Railroad Development
Federal Railroad Administration
1200 New Jersey Avenue SE
Washington, DC 20590
Phone: (202) 493-6363
Email: Alice.Alexander@dot.gov

Rail Line Relocation and Improvement Capital Grant Program

To assist State and local governments in mitigating the adverse effects created by the presence of rail infrastructure.

Additional Information:

Pre-construction activities, such as preliminary engineering, design, and costs associated with project-level compliance with the National Environmental Policy Act (NEPA), are considered part of the overall construction project and are also eligible for funding. However, activities such as planning studies and feasibility analyses are not eligible for funding.

Eligibility:

Only States, political subdivisions of States (such as a city or county), and the District of Columbia are eligible for grants under the program. Grants may only be awarded for construction projects that improve the route or structure of a rail line and:

- Are carried out for the purpose of mitigating the adverse effects of rail traffic on safety, motor vehicle traffic flow, community quality of life, or economic development; or
- Involve a lateral or vertical relocation of any portion of the rail line.

Contact Information:

Website: <https://www.fra.dot.gov/Page/P0090>

Competitive Grants

John Winkle

Phone: (202)-493-6067

E-mail: John.Winkle@dot.gov

Non-Competitive Grants

Alice Alexander

Phone: (202)-493-6363

E-mail: Alice.Alexander@dot.gov

University Grants

This Program enhances FRA's safety R&D program by developing cooperative research relationships with selected academic institutions. In doing so, FRA is establishing Strategic Partnership Initiatives, and supporting Transportation Education and Training. Each year, a small number of grants are awarded with a typical value in the range of \$100,000 to \$200,000 and a combined value of about one million dollars. Applicants are encouraged to consider sharing the cost of their proposed projects or identify in-kind contributions. Awards are made to universities that have expertise that complements FRA's R&D program.

Additional Information:

Announcements are normally published in the Federal Register once every three years soliciting proposals from accredited academic institutions in identified areas of research.

Eligibility:

Applicants must have demonstrable expertise in rail transportation research, and have a minimum of five years of rail-related research experience.

Contact Information:

Website: <https://www.fra.dot.gov/Page/P0664>

Section IV: Aviation Grants & Programs – Federal Aviation Administration, U. S. Department of Transportation (DOT)

Airport Improvement Program

Provides grants to public agencies — and, in some cases, to private owners and entities -for the planning and development of public-use airports that are included in the National Plan of Integrated Airport Systems (NPIAS).

Additional Information:

States that participate in the *State Block Grant Program* assume responsibility for AIP grants at airports classified as "other than primary" airports — that is, non-primary commercial service, reliever, and general aviation airports. Each State is responsible for determining which locations will receive funds for ongoing project administration. For large and medium primary hub airports, the grant covers 75 percent of eligible costs (or 80 percent for noise program implementation). For small primary, reliever, and general aviation airports, the grant covers a range of 90-95 percent of eligible costs.

Eligibility:

Public-use airports (including heliports and seaplane bases):

- Open to the public
- Privately owned but designated by FAA as a reliever
- Privately owned but having scheduled service and at least 2,500 annual enplanements

The airport must be included in the National Plan of Integrated Airport Systems (NPIAS)

Contact Information:

Airports Financial Assistance Department

Phone: 202-267-3831

Fax: 202-267-5302

Federal Aviation Administration Research Grants (Jan. 2012-Dec. 2019)

The FAA is soliciting proposals for research grants and cooperative agreements to pursue the long-term growth and short-term technical needs of civil aviation.

Eligibility:

- Public and State controlled institutions of higher education
- Nonprofits having a 501(c)(3) status with the IRS, other than institutions of higher education
- Private institutions of higher education

Contact Information:

Elizabeth Ford

Contracts Specialist

Phone: 609-485-5557

E-mail: Elizabeth.OCHS@FAA.gov

**Section V: Transportation Grants & Programs – U.S. Department of Homeland Security (DHS)
Federal Emergency Management Administration (FEMA)**

Driver's License Security Grant Program

DLSGP will provide \$48,000,000 to prevent terrorism by reducing fraud and improving the reliability and accuracy of personal identification documents that states and territories issue.

Additional Information:

For the purposes of this grant, states are grouped into four categories based upon the number of DL/IDs issued. Final determinations for awards will be based upon applications received as well as the results of reviews by FEMA and the DHS Office of Policy.

Eligibility:

Eligible applicants under the FY 2011 DLSGP are State Driver's License Agencies (DLA), also known as State Department of Motor Vehicles (DMV) or Motor Vehicle Administrations (MVA).

Contact Information:

500 C Street, S.W. Washington, D.C. 20472
Phone: (800) 621-FEMA

Freight Rail Security Grant Program (FRSGP)

In FY 2011, the FRSGP will fund freight railroad carriers and owners and offerors of railroad cars to protect critical surface transportation infrastructure from acts of terrorism, major disasters, and other emergencies.

Additional Information:

Applicants will be selected through a competitive process based on their ability to deliver protection to underground rail and other high-risk assets, counter-terrorism training, security plans, and vulnerability assessments. For purposes of this grant program, "offerors" are entities that lease rail cars in order to ship materials poisonous by inhalation/TIH materials by railroad.

Eligibility:

Eligibility has been determined by DHS as Class I, II, and III freight railroad carriers that transport Rail Security-Sensitive Materials (RSSM) and owners and offerors of railroad cars that transport toxic inhalation hazardous (TIH) materials.

Contact Information

500 C Street, S.W. Washington, D.C. 20472
Phone: (800) 621-FEMA

Intercity Bus Security Grant Program (IBSGP)

IBSGP has been created to provide funding to create a sustainable program for the protection of intercity bus systems and the traveling public from terrorism.

Additional Information:

The program seeks to assist operators of fixed route intercity and charter bus services in obtaining the resources required to support security measures such as enhanced planning, facility security upgrades, and vehicle and driver protection.

Eligibility:

- Owners and operators of fixed route intercity and charter buses that serve Urban Areas Security Initiative (UASI) jurisdictions are eligible to apply.
- Charter companies must make a minimum of 50 trips annually to one or more UASI jurisdictions to be eligible.
- Tier I consists of companies with 250 or more over-the-road buses in operation that provide the highest volume of services to high-risk urban areas.
- Tier II consists of all other applicants that meet the minimum eligibility requirements.

Contact Information:

500 C Street, S.W. Washington, D.C. 20472

Phone: (800) 621-FEMA

Port Security Program

The purpose of the FY 2011 PSGP is to create a sustainable, risk-based effort to protect critical port infrastructure from terrorism, particularly attacks using explosives and non-conventional threats that could cause major disruption to commerce.

Additional Information:

The PSGP provides grant funding to port areas for the protection of critical port infrastructure from terrorism. The PSGP funds are primarily intended to assist ports in enhancing maritime domain awareness, enhancing risk management capabilities to prevent, detect, respond to and recover from attacks involving improvised explosive devices (IEDs), and Chemical, Biological, Radiological, Nuclear Explosive (CBRNE).

Eligibility:

Seven port areas have been selected as Group I (highest risk) and forty-eight port areas have been selected as Group II. Ports not identified in Group I or II are eligible to apply as a Group III or “All Other Port Areas” applicant.

Contact Information:

500 C Street, S.W. Washington, D.C. 20472

Phone: (800) 621-FEMA

Transit Security Grant Program

The purpose of the FY 2011 TSGP is to create a sustainable, risk-based effort to protect critical surface transportation infrastructure and the traveling public from acts of terrorism, major disasters, and other emergencies.

Eligibility:

- Eligible agencies were determined by the Urban Areas Security Initiative (UASI) urban areas list and the National Transit Database based on unlinked passenger trips.
- TSGP Tier I will continue to be comprised of the transit agencies in the eight highest risk urban areas and will continue to utilize the cooperative agreement process.
- TSGP Tier II will consist of all other eligible transit agencies.

Contact Information:

500 C Street, S.W. Washington, D.C. 20472
Phone: (800) 621-FEMA

Trucking Security Program

These programs have been created to implement security improvement measures and policies deemed valuable by DHS as indicated in the Security Action Items publication of June 26, 2008.

Additional Information:

These items are primarily focused on the purchase and installation or enhancement of equipment and systems related to tractor and trailer tracking systems. TSP will provide funding to develop a system for DHS to monitor, collect, and analyze tracking information; and develop plans to improve the effectiveness of transportation and distribution of supplies and commodities during catastrophic events.

Eligibility:

Eligible applicants will be placed into one of two tiers: Tier I consisting of eligible applicants that have 11 or more tractors or Tier II, consisting of eligible applicants that have 10 or less tractors. There are no restrictions on the eligibility for the Monitoring and Planning priority of TSP.

Contact Information:

500 C Street, S.W. Washington, D.C. 20472
Phone: (800) 621-FEMA

VETERANS

Section I: Affordable Housing Programs – U. S. Department of Veterans Affairs (VA)

VA Home Loan Guaranty Program

The Servicemen's Readjustment Act of 1944 established the VA home loan guaranty program. The program authorized the guaranty of 20-year loans at a 4% interest rate under which the VA guaranteed to pay the lender the lesser of 50% of the loan amount or \$2,000 if the borrower defaulted. The loan term, interest rate, and the percentage and dollar guaranty limitations have been increased over the years.

Additional Information:

- The VA loan guaranty program was an alternative to cash bonuses for the millions of men and women who served in the Armed Forces during World War II. There was the feeling that veterans, during their service to the country, had missed the opportunity to accumulate savings or to establish a credit rating which could be the basis of borrowing to acquire a home or establish a business.
- The loan guaranty program was an attempt to put them on par with their nonveteran counterparts. The program also provided an investment outlet for the country's post-war wealth. Under this program, an eligible veteran may purchase a home through a private lender and the VA guarantees to pay the lender a portion of the losses if the veteran defaults on the loan.
- Although initially established to benefit veterans who had served during war times, the program has been amended to extend eligibility to all parties who are on active duty or honorably discharged from the services. The main objective of the current VA home loan guaranty program is to help veterans finance the purchase of homes on favorable loan terms.

Eligibility:

Eligibility for VA-guaranteed housing loans is generally based upon the period and length of active duty service of honorably discharged veterans. Veterans who served more than 90 days of active duty during World War II, the Korean conflict, the Vietnam Era, or the Persian Gulf War are eligible for VA-guaranteed housing loans. Loan guarantee program is to help veterans finance the purchase of homes on favorable loan terms.

Contact Information:

<http://www.benefits.va.gov/homeloans/>

VA Homeless Providers Grant and Per Diem Program

To assist public and nonprofit private entities in establishing new programs and service centers to furnish supportive services and supportive housing for homeless veterans through grants that may be used to acquire, renovate or alter facilities, and to provide per diem payments, or in-kind assistance in lieu of per diem payments, to eligible entities which established programs after November 10, 1992 that provide supportive services and supportive housing for homeless veterans.

Additional Information:

The Homeless Veterans Comprehensive Service Programs Act of 1992 established the VA Homeless Providers Grant and Per Diem Program. The Act authorizes VA to assist public or nonprofit private entities with partial funding for "bricks and mortar" to create supportive housing or services centers or to purchase vans for outreach and transportation. The Act also authorizes VA to partially fund operating expenses for these facilities through per diem payments.

Eligibility:

Applicants eligible for grants include public and nonprofit private entities with the capacity to effectively administer a grant; which demonstrate that adequate financial support will be available to carry out the project; and which agree to and demonstrate capacity to meet the applicable criteria and requirements of the grant program. Applicants eligible for per diem payments include public or nonprofit private entities who are either grant recipients, or who are eligible to receive a grant. Programs eligible for coverage under per diem payments must have been established after November 10, 1992.

Contact Information:

Victor Harris
810 Vermont Avenue, NW Washington, DC 20420
Phone: (202) 273-8443

Veterans Homelessness Prevention Demonstration Program

The Veterans Homelessness Prevention Demonstration Program (VHPD) explores ways for the Federal Government to offer early intervention homelessness prevention, primarily to veterans returning from wars in Iraq and Afghanistan. The authorizing statutory language for the VHPD acknowledges the increasing number of women veterans, veterans with families especially with a single head of household, as well as those from the National Guard and Reserve who are being discharged from the military and whose unique needs should be more closely examined.

Additional Information:

It is anticipated that this demonstration program will provide an opportunity to understand the unique needs of this new cohort of veterans, and will support efforts to identify, reach, and assist them to regain and maintain housing stability.

Eligibility:

HUD allows grantees significant discretion in program design and operations while targeting those who are most in need of homelessness prevention housing assistance.

Contact Information:

Robyn Raysor
Room 7262, 451 7th Street, SW Washington, District of Columbia 20410
E-mail: Robyn.S.Raysor@hud.gov
Phone: (202) 708-4300

Section II: Home-Building Grants – U.S. Department of Veterans Affairs (VA)

Adapted Housing Grants

Housing grants are provided for constructing an adapted home or modifying an existing home to meet the adaptive needs of veterans and totally disabled members of the Armed Forces before discharge or release from the Armed Forces.

Additional Information:

There are three major grant programs:

- The Specially Adapted Housing (SAH) grant, available for permanent and some temporary residences, which is focused on the construction or acquisition of a specially adapted home, or remodeling of an existing home into a specially adapted home for veterans and service-members;
- The Special Home Adaptation (SHA) grant, available for permanent and some temporary residences, which is focused on adapting homes to reduce the barriers to living for veterans and service-members;
- The Home Improvements and Structural Alterations (HISA) grant, available for permanent residences, which is focused on improvements necessary for treatment continuation, or for disability access to the home and essential lavatory and sanitary facilities for disabled veterans.

Contact Information:

George Woulfe
VA Regional Office and Insurance Center
(215) 842-2000 Ext. 5845
george.woulfe@va.gov

Home Improvements and Structural Alterations (HISA) Grant

Under the HISA grant program, assistance is provided for any home improvement necessary for the continuation of the disabled veteran's treatment, or for disability access to the home and essential lavatory and sanitary facilities. A HISA grant is available to veterans who have received medical determinations indicating that improvements and structural alterations are necessary or appropriate for the effective and economical treatment of their disabilities. A veteran may receive both a HISA grant and either an SHA or SAH grant.

Additional Information:

To apply for the HISA grant, the veteran completes and submits VA Form 10-0103, Veterans Application for Assistance in Acquiring Home Improvement and Structural Alterations, to the local VA medical center.

Eligibility:

The HISA program is available for both service-connected disabled veterans and non-service-connected disabled veterans as detailed below:

- Home improvement benefits up to \$4,100 may be provided to service-connected disabled veterans; and
- Home improvement benefits up to \$1,200 may be provided to non-service-connected disabled veterans.

Contact Information:

Website: <http://www.prosthetics.va.gov/psas/HISA2.asp>

Specially Adapted Housing (SAH) Grant

The SAH grants are focused on the construction or acquisition of a specially adapted home, or remodeling of an existing home into a specially adapted home for veterans and service-members. The goal is to provide a barrier-free living environment that affords the veteran or service-member a level of independent living that he or she may not have otherwise enjoyed. The grant is currently limited to \$60,000 per lifetime, and it may be used up to three times.

Additional Information:

The VA can provide an SAH grant of up to \$14,000 to disabled veterans and service-members temporarily living in a home owned by a family member. This program for temporary residence grants is scheduled to expire on December 31, 2011. To apply for the SAH grant, a veteran or service-member completes and submits VA Form 26-4555, Veterans Application in Acquiring Specially Adapted Housing or Special Home Adaptation Grant, to the local VA regional office.

Eligibility:

The SAH benefit is available to veterans and service-members who have service-connected disabilities. These service-connected disabilities entitle compensation for permanent and total disability due to:

- The loss or loss of use of both lower extremities, such as to prevent movement without the aid of braces, crutches, canes, or a wheelchair;
- Blindness in both eyes, having only light perception, plus the loss of use of one lower extremity;
- The loss or loss of use of one lower extremity together with (1) residual of organic disease or injury, or (2) the loss or loss of use of one upper extremity, which also affects the functions of balance or propulsion so as to prevent movement without the aid of braces, crutches, canes, or a wheelchair;
- Disability due to a severe burn injury; and
- The loss or loss of use of both upper extremities such as to prevent the use of the arms at or above the elbow.
- In addition, it must be medically feasible for the veteran or service-member to live in the house; the house must be adapted to meet the veteran's or service-member's needs; and it must be feasible, with the grant assistance, for the veteran or service-member to buy the house.

Contact Information:

<http://www.benefits.va.gov/homeloans/adaptedhousing.asp>

Special Home Adaptation (SHA) Grant

This grant is used to assist disabled veterans and service-members with mobility throughout their homes. The grant is currently limited to \$12,000 per lifetime, and it may be used up to three times.

Additional Information:

To apply for the SHA grant, a veteran or service-member completes and submits VA Form 26-4555, Veterans Application in Acquiring Specially Adapted Housing or Special Home Adaptation Grant, to the local VA regional office. The VA can provide an SHA grant of up to \$2,000 to disabled veterans and service-members temporarily living in a home owned by a family member. This program for temporary residence grants is scheduled to expire on December 31, 2011.

Eligibility:

The SHA grant is available to veterans and service-members who have service-connected disabilities, entitling them to compensation for permanent and total disability due to:

- Blindness in both eyes with 5/200 visual acuity or less;
- The anatomical loss or loss of use of both hands or extremities below the elbow; or
- A severe burn injury.

Contact Information:

Website: <http://www.benefits.va.gov/homeloans/adaptedhousing.asp>

Section III: Vocational Rehabilitation Programs – U. S. Department of Labor (DOL)

Disabled Veterans' Outreach Program (DVOP)

Disabled Veterans' Outreach Program (DVOP) seeks to provide intensive services to meet the employment needs of disabled and other eligible veterans with maximum emphasis in meeting the employment needs of those who are economically or educationally disadvantaged, including homeless veterans and veterans with barriers to employment.

Eligibility:

Funds must be used only for salaries and expenses and reasonable support of Disabled Veterans' Outreach Program (DVOP). Applicants must be the state administrative entity designated by each Governor. Veterans and eligible persons with emphasis on Special Disabled veterans, disabled veterans, economically or educationally disadvantaged veterans, and veterans with other barriers to employment.

Contact Information:

Patrick Hecker

200 Constitution Avenue, NW, Room S-1312, Washington, District of Columbia 20210

E-mail: hecker.patrick@dol.gov

Phone: (208) 693-4709

Website: <http://www.dol.gov/vets/programs/hvrp/>

Homeless Veterans Reintegration Project

To provide services to assist in reintegrating homeless veterans into meaningful employment within the labor force; and to stimulate the development of effective service delivery systems that will address the complex problems facing homeless veterans.

Additional Information:

Projects supported with these funds shall provide for employment and training services and support services directly or through linkages with other service providers to assist homeless veterans to reenter the workforce. Outreach is necessary and is to be performed by formerly homeless veterans.

Eligibility:

State and Local Workforce Investment Boards, State and State Agencies, local public agencies, private nonprofit organizations (including faith-based and community organizations) and for-profit/commercial entities. Potential jurisdictions are metropolitan areas of the largest U.S. cities or non-urban areas in need, as announced in the solicitation for grant applications (SGA).

Contact Information:

Kenneth Fenner

200 Constitution Avenue, NW, Room S-1312 Washington, District of Columbia 20210

E-mail: Fenner.Kenneth@dol.gov

Phone: (202) 693-4728

Local Veterans' Employment Representative Program

Conduct outreach to employers including conducting seminars for employers, conducting job search workshops and establishing job search groups; and to facilitate employment, training, and placement services furnished to veterans in a State under the applicable State employment service or One-Stop Career Center delivery systems whose sole purpose is to assist veterans in gaining and retaining employment.

Additional Information:

Funds must be used only for salaries, expenses and reasonable support of Local Veterans' Employment Representatives who shall be assigned only those functions directly related to providing services to veterans according to provisions of 38 U.S.C. 4103 and 4104.

Contact Information:

Patrick Hecker

200 Constitution Avenue, NW, Room S-1312, Washington, District of Columbia 20210

E-mail: hecker.patrick@dol.gov

Phone: (202) 693-4709

Veteran's Employment Program

Programs supported with these funds shall include: 1) Activities to enhance services provided to eligible veterans by other providers of employment and training services funded by Federal, State, local or tribal governments; 2) activities to provide innovative employment and training services and projects to eligible veterans not currently provided by other public employment and training service providers; and 3) outreach and public information activities to develop and promote maximum job and training or retraining opportunities for eligible veterans.

Additional Information:

Beneficiaries must be service-connected disabled veterans, veterans who have significant barriers to employment, veterans who served on active duty in the armed forces during a war or in a campaign or expedition for which a campaign badge has been authorized, and veterans who are recently separated from military service (48 months).

Eligibility:

State and Local Workforce Investment Boards, State and State Agencies, local public agencies, private nonprofit organizations and community-based organizations (including faith-based and community organizations) are eligible to compete for these grant funds.

Contact Information:

Kenneth Fenner

200 Constitution Avenue, NW Room S-1312, Washington, District of Columbia 20210

E-mail: Fenner.Kenneth@dol.gov

Phone: (202) 693-4728

Website: <http://www.dol.gov/vets/grants/state/jvsg.htm>

Section IV: Healthcare Grants & Services – U. S. Department of Veterans Affairs (VA)

Veterans State Hospital Care

The assistance provided is for hospital care: the provision of diagnosis and treatment for in-patients with medical, surgical, or psychiatric conditions who generally require the continuous services of a physician with attendant diagnostic, therapeutic, and rehabilitative services.

Eligibility:

Applicant in any State which operates a designated facility to furnish hospital care primarily for veterans. A veteran eligible for care in a VA facility needing hospital care and meeting one of the following conditions:

- Has a service-connected disability for which such care is being provided.
- Has a non-service-connected disability and States under oath his or her inability to defray the expenses of necessary care.
- Was discharged or released from active military, naval and air service for a disability incurred or aggravated in line of duty.
- Is in receipt of, or but for the receipt of retirement pay.

Contact Information:

Chief Consultant, Geriatrics and Extended Care Service, Department of Veterans Affairs

Phone: (202) 461-6771

<https://www.cfda.gov/index?s=program&mode=form&tab=core&id=90262cf2be396ef63a2c1e601c75c591>

Veterans State Nursing Home Care

The assistance provided is for nursing home care: the accommodation of convalescents or other persons who are not acutely ill and not in need of hospital care or domiciliary care but who require skilled nursing care and related medical services.

Eligibility:

Applicant is any State which operates a designated facility to furnish nursing home care primarily for veterans. A veteran eligible for care in a VA facility needing nursing home care and meeting one of the following conditions:

- Has a service-connected disability for which such care is being provided.
- Has a non-service-connected disability and states under oath his or her inability to defray the expenses of necessary nursing home care.
- Was discharged or released from active military, naval and air services for a disability incurred or aggravated in line of duty.
- Is in receipt of, or but for the receipt of retirement pay.

Contact Information:

Chief Consultant, Geriatrics and Extended Care Service, Department of Veterans Affairs

Phone: (202) 461-6771

Website: http://www.va.gov/geriatrics/guide/longtermcare/state_veterans_homes.asp

Traumatic Brain Injury (TBI) State Demonstration Grant Program

To improve access to health and other services for individuals with TBI and their families.

Additional Information:

- Project grants to States to improve access to health and other TBI-related services for people of all ages are established within HRSA. Any State seeking TBI grant funds is required to agree to establish or have an advisory board within the appropriate health department of the State or within another department as designated by the Chief Executive Officer of the State.
- The Board's composition as specified must include representatives of the involved State agencies; public and nonprofit private health related organizations; disability advisory or planning groups; members of an organization or foundation representing individuals with TBI; State and local injury control programs if they exist, and a substantial number of individuals with TBI and/or their families.
- The grants are called "Implementation Partnership Grants." These grants are to be used in building a statewide system to assure access to comprehensive and coordinated TBI services. Grants can address a wide range of activities and should reflect gaps or needed system change identified through the statewide TBI needs assessment.

Eligibility:

Only State governments are eligible to apply for funding under the TBI grant program. The application for Implementation Partnership funds may only come from the State agency designated as the lead for TBI services. The State maternal and child health program is expected to be involved in the program. Only one application from each State may enter the review process and be considered for an award.

Contact Information:

LT Donelle McKenna

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E-mail: jmartin-heppel@hrsa.gov

Phone: (301) 443-2370

Section V: Research & Development – Department of Veterans Affairs (VA)

Military Medical Research and Development

To reduce illness and injury among U.S. military personnel on the battlefield through basic and applied medical research executed largely through grants and contracts with civilian educational and research institutions.

Additional Information:

Recipients are required to conform to their accepted research protocol and are usually required to submit some form of annual or project report. Project support is limited to fundamental research which is defined as basic and applied research aimed at sustaining the technology base or understanding a biological-medical process, thereby providing a technological edge in solving complex biological-medical problems and countering future military medical threats. Some grants are also awarded to support conferences and symposiums.

Eligibility:

Applicants must be a public, nonprofit institution/organization, other public institution/organization, private nonprofit institution/organization, specialized group, or quasi-public nonprofit institution/organization.

Contact Information:

Mr. Craig D. Lebo.

Phone: (301) 619- 2036

Veterans Business Development

To provide long term training, counseling, and mentoring to benefit small business concerns and potential small business concerns owned and controlled by eligible veterans.

Additional Information:

An eligible applicant for the VBOC Program is a responsible educational institution, private business, veterans' nonprofit community-based organization, and Federal, State and local.

Eligibility:

Applicants must be a public, nonprofit institution/organization, other public institution/organization, private nonprofit institution/organization, specialized group, or quasi-public nonprofit institution/organization departments and agencies for the establishment and implementation of outreach programs for veterans and service disabled veterans. Eligible veterans means (A) A veteran who is entitled to compensation (or who but for the receipt of military retired pay would be entitled to compensation) under laws administered by the Secretary; (B) a person who was discharged or released from active duty because of a service- connected disability; (C) a veteran as defined in Section 101 (2) of Title 38, United States Code; or (D) a member of a reserve component of the armed forces of the United States.

Contact Information:

Mr. Craig D. Lebo

Phone: (301) 619- 2036